

# CSCI 3411: Operating Systems

Acknowledgements: Some slide material derived from Silberschatz, et al.

# Administrative Fun

- See course webpage (up tomorrow)!
- [http://www.seas.gwu.edu/~gparmer/courses/f13\\_3411](http://www.seas.gwu.edu/~gparmer/courses/f13_3411)
- Forget the URL:
  - google “gabe parmer” or “gparmer”...first link
  - Go to the “courses” tab
  - Click: Fall '13 3411

# Administrative Fun II

- Format of Class
  - Lecture
    - Concepts
    - Written HW/tests
  - Lab: Jiguo Song ([jiguos@gwu.edu](mailto:jiguos@gwu.edu)) – there is lab this week!
    - Implementation: C, Linux
    - Programming assignments & final project
- Book(s)
- Grading – hw, exams, **participation**
- Piazza (search for csci3411) – homework: signup now!
  - Good app
- Academic Honesty

# Undergraduate Education

Why are you here???

- In college?
- In CS?
- In OS?

# This Semester: Perspective

- You have at least 2 years under your belt.
- Undergraduate – a unique, *limited* opportunity
  - Learning for learning's sake
  - Constant intellectual progress
- Decision time
  - Are you here to get a degree and a job?
  - Are you here to improve as a human being?

# This Semester: Perspective

- Question: If you kept on doing what you're doing, ***would you be happy with your undergraduate education?***
- This semester
  - challenging
  - opportunity
- *You can do more with your next two years*
  - **Take responsibility for your education**

# “High-level”



Cars

The Google logo, consisting of the word "Google" in its characteristic multi-colored font (blue, red, yellow, blue, green, red).

[Advanced search](#)  
[Language tools](#)

Google Search

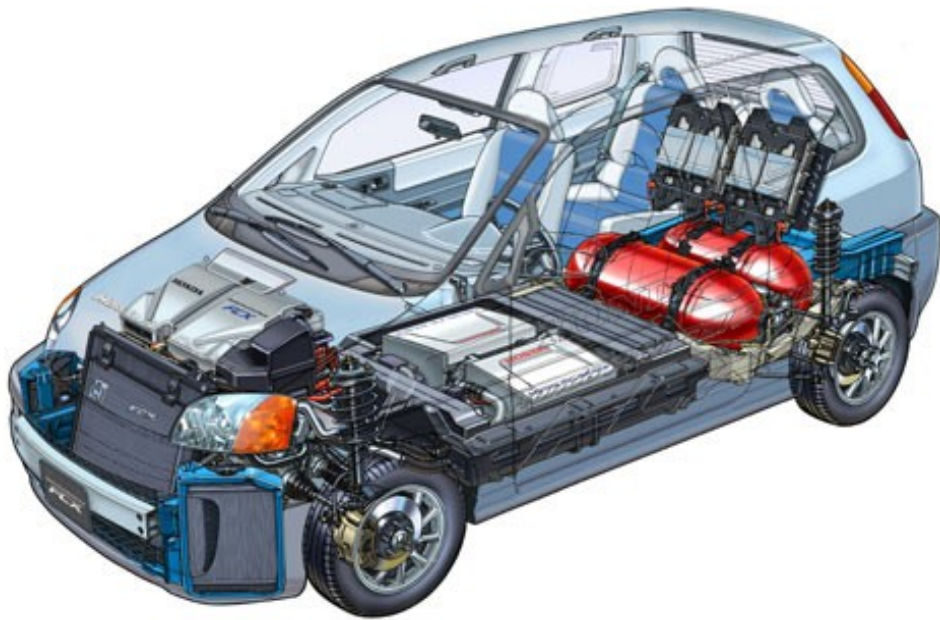
I'm Feeling Lucky

[Advertising Programs](#) [Business Solutions](#) [About Google](#)

© 2011 - [Privacy](#)

Computers

# ...details...



Cars

```
/*
 * So far all flags should be taken in the context of the
 * actual invoking thread (they effect the thread switching
 * _from_ rather than the thread to switch _to_) in which case
 * we would want to use the sched_page flags.
 */
flags = rflags;
switch_thread_update_flags(da, &flags);

if (unlikely(flags)) {
    thd = switch_thread_slowpath(curr, flags, curr_spd, rthd_id, da, &ret_code,
                                &curr_sched_flags, &thd_sched_flags);
    /* If we should return immediately back to this
     * thread, and its registers have been changed,
     * return without setting the return value */
    if (ret_code == CDS_SCHED_RET_SUCCESS && thd == curr) goto ret;
    if (thd == curr) goto_err(ret_err, "sloooow\n");
} else {
    next_thd = switch_thread_parse_data_area(da, &ret_code);
    if (unlikely(0 == next_thd)) goto_err(ret_err, "data_area\n");

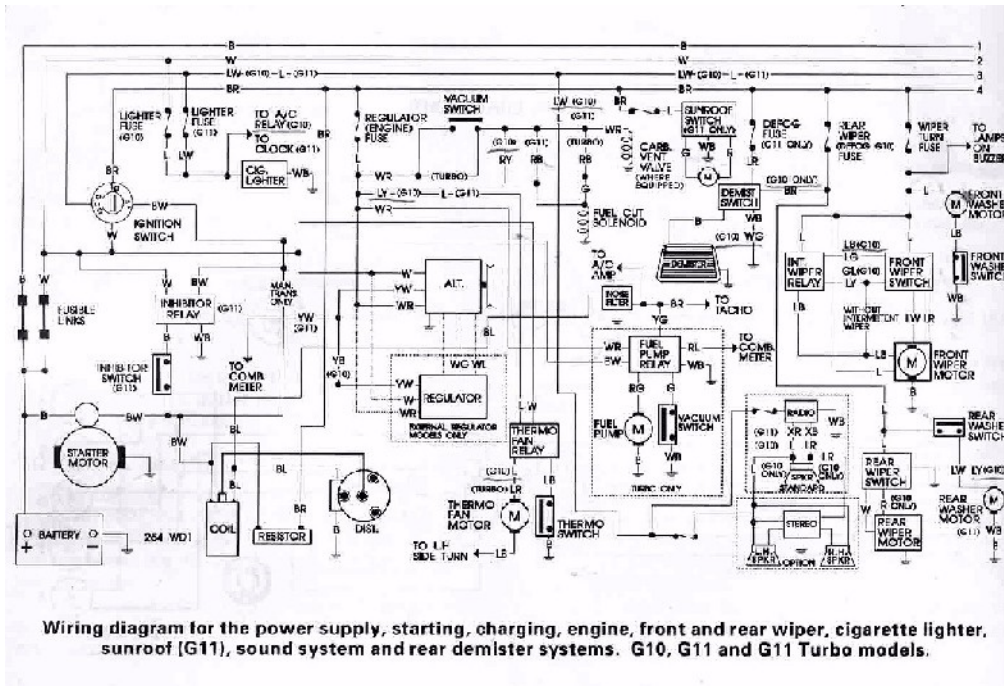
    thd = switch_thread_get_target(next_thd, curr, curr_spd, &ret_code);
    if (unlikely(NULL == thd)) goto_err(ret_err, "get target");
}

/* If a thread is involved in a scheduling decision, we should
 * assume that any preemption chains that existed aren't valid
 * anymore. */
break_preemption_chain(curr);
```

Computers



# ...“low-level”



## Cars

```
0000000004006b0 <_libc_csu.init>:
4006b0: 48 89 6c 24 d8      mov     %rbp,-0x28(%rsp)
4006b5: 4c 89 64 24 e0      mov     %r12,-0x20(%rsp)
4006ba: 48 8d 2d 53 07 20 00 lea    0x200753(%rip),%rbp      # 600e14 <__init_array_end>
4006c1: 4c 8d 25 4c 07 20 00 lea    0x20074c(%rip),%r12      # 600e14 <__init_array_end>
4006c8: 4c 89 6c 24 e8      mov     %r13,-0x18(%rsp)
4006cd: 4c 89 74 24 f0      mov     %r14,-0x10(%rsp)
4006d2: 4c 89 7c 24 f8      mov     %r15,-0x8(%rsp)
4006d7: 48 89 5c 24 d0      mov     %rbx,-0x30(%rsp)
4006dc: 48 83 ec 38        sub     $0x38,%rsp
4006e0: 4c 29 e5          sub     %r12,%rbp
4006e3: 41 89 f6          mov     %edi,%r14
4006e6: 49 89 f6          mov     %rsi,%r14
4006e9: 48 c1 fd 03       sar     $0x3,%rbp
4006ed: 49 89 d7          mov     %rdx,%r15
4006f0: e8 33 fd ff ff    callq  400428 <init>
4006f5: 48 85 ed          test    %rbp,%rbp
4006f8: 74 1c            je     400716 <_libc_csu_init+0x66>
4006fa: 31 db            xor     %ebx,%ebx
4006fc: 0f 1f 40 00      nopl   0x0(%rax)
400700: 4c 89 fa          mov     %r15,%rdx
400703: 4c 89 f6          mov     %r14,%rsi
400706: 44 89 ef          mov     %r13d,%edi
400709: 41 ff 14 dc      callq  *(%r12,%rbx,8)
40070d: 48 83 c3 01      add     $0x1,%rbx
400711: 48 39 eb          cmp     %rbp,%rbx
400714: 72 ea            jb     400700 <_libc_csu_init+0x50>
400716: 48 8b 5c 24 08      mov     0x8(%rsp),%rbx
40071b: 48 8b 6c 24 10      mov     0x10(%rsp),%rbp
400720: 4c 8b 64 24 18      mov     0x18(%rsp),%r12
400725: 4c 8b 6c 24 20      mov     0x20(%rsp),%r13
40072a: 4c 8b 74 24 28      mov     0x28(%rsp),%r14
40072f: 4c 8b 7c 24 30      mov     0x30(%rsp),%r15
400734: 48 83 c4 38        add     $0x38,%rsp
400738: c3              retq
400739: 90              nop
40073a: 90              nop
40073b: 90              nop
40073c: 90              nop
40073d: 90              nop
40073e: 90              nop
40073f: 90              nop
```

## Computers

What is an Operating System!?



# What is an OS: Where is it?

Applications  
(excel, word, browser, ...)

Operating Systems

Hardware  
(CPU, memory, hard drive)  
“things you can kick”



# What is an OS: Where is it?

Applications  
(excel, word, browser, ...)

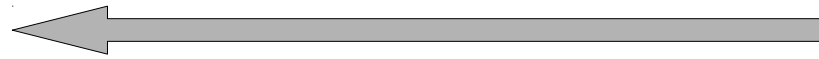
Operating Systems

Hardware  
(CPU, memory, hard drive)  
“things you can kick”



COURTESY: KFC

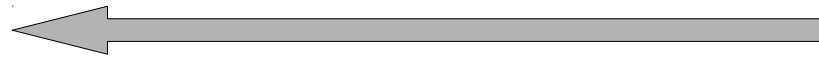
# What is an OS: Analogy



You!



Customer<sub>1</sub>



Customer<sub>2</sub>



Customer<sub>n</sub>



# What is an OS: Analogy



You!

Customer<sub>1</sub>

Customer<sub>2</sub>

Customer<sub>n</sub>

# What is an OS: Analogy

Hardware



Operating System



Applications

You!

Customer<sub>1</sub>

Customer<sub>2</sub>

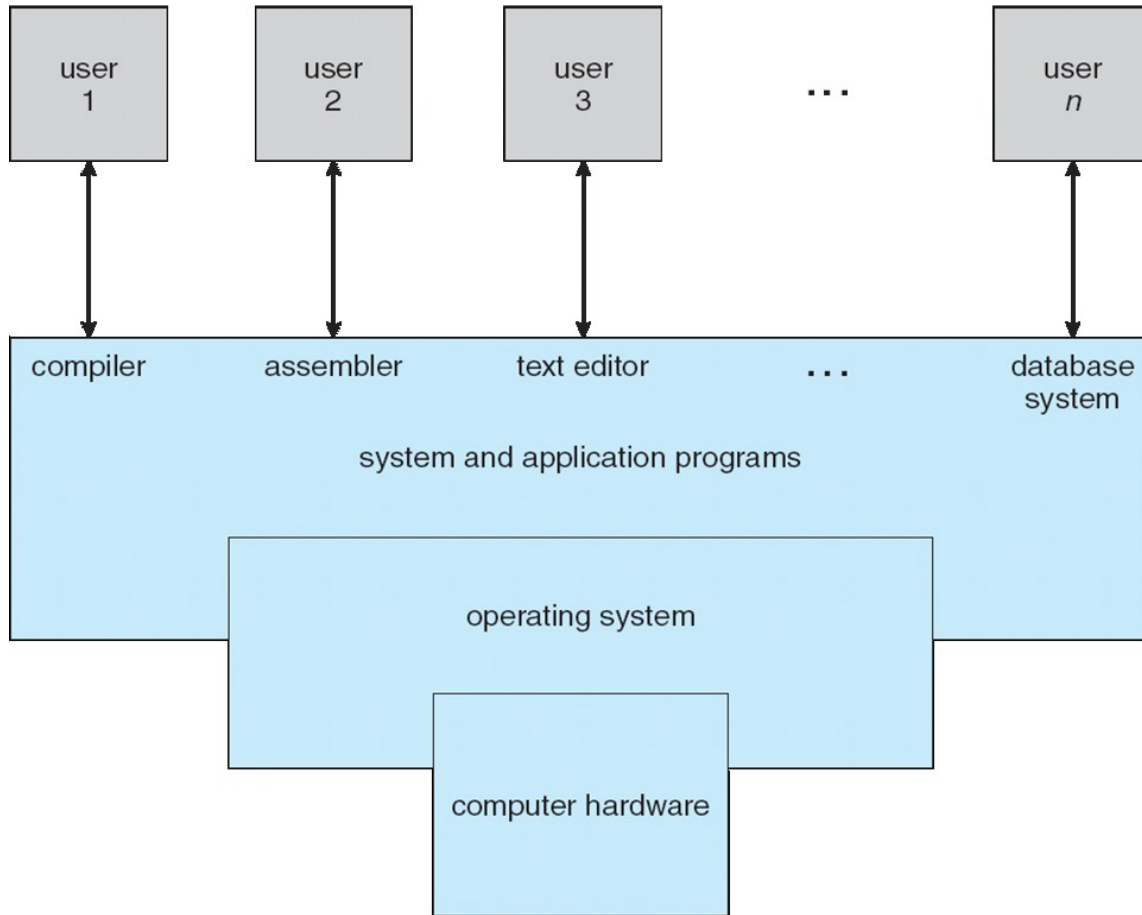
Customer<sub>n</sub>

# Operating System as Abstraction

- *"The effective exploitation of his powers of abstraction must be regarded as one of the most vital activities of a competent programmer." - Edsger W. Dijkstra*
- Abstractions for resources (memory, CPU, disk)
- Environment for application execution
  - Self-centered processes
- Aside: Edsger Dijkstra - Discipline in Thought



# OS as Abstraction: System Layers



AN x64 PROCESSOR IS SCREAMING ALONG AT BILLIONS OF CYCLES PER SECOND TO RUN THE XNU KERNEL, WHICH IS FRANTICALLY WORKING THROUGH ALL THE POSIX-SPECIFIED ABSTRACTION TO CREATE THE DARWIN SYSTEM UNDERLYING OS X, WHICH IN TURN IS STRAINING ITSELF TO RUN FIREFOX AND ITS GECKO RENDERER, WHICH CREATES A FLASH OBJECT WHICH RENDERS DOZENS OF VIDEO FRAMES EVERY SECOND

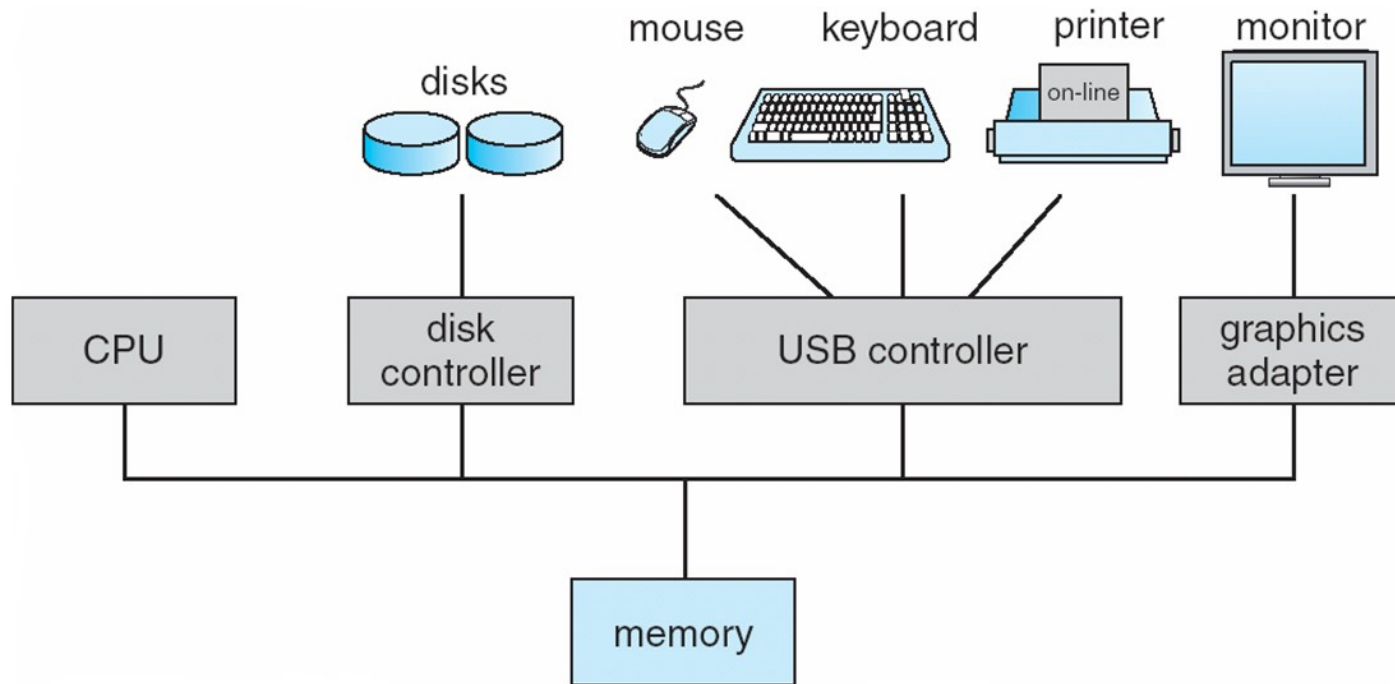
BECAUSE I WANTED TO SEE A CAT JUMP INTO A BOX AND FALL OVER.



I AM A GOD.

# Computers as Distributed Systems

*“Hardware: The parts of a computer system that can be kicked.”*  
- Jeff Pesis



# OS as Hardware Manager

- Control a diverse set of hardware
  - Processors
  - Memory
  - Disks
  - Networking cards
  - Video cards
- Coordinates these hardware resources amongst user programs
- OS as a *resource manager/multiplexer*

# History, or How did we get where we are now?

- Bare metal
  - Life cycle:
    - Boot up
    - Run a single application
    - Output result
    - Power down
- *OS support for these systems???*

# History: Batch Systems

- Goal: Maximize amount work done for multiple users
- Applications run one after the other
  - One application at a time!
- Application uses all computer resources
- *OS support for batch systems???*

# History: Batch Multiprogramming

- Multiple applications in memory
- When one waits for I/O, another executes
  - Better utilization of CPU
- *OS support for these systems???*

# History: Timesharing Systems

- Interactive use of computers
  - Responsiveness matters
  - Expect system to respond to keyboard input immediately
- Several users/applications can share computer
  - Share CPU, Disk, Memory...
- *OS support???*



# Batch vs. Timesharing: Fight!

- Which is more efficient? Which gets more work done?

# Batch vs. Timesharing: Fight!

- Which is more efficient? Which gets more work done?
  - Computer work: instructions processed per second
  - Human work: perform operations user requires

# History: PCs, Servers, Mobile

- Iterations on timesharing systems
- PCs
  - Less emphasis initially on protection (argh!)
- Servers
  - Throughput oriented
- Mobile
  - Power consumption

# iPhone vs. Android

- Original iPhone vs. Android
- Which paradigm does each fall into?

# iPhone vs. Android

- Which paradigm does each fall into?
- Iphone: single user application running at any point in time
  - Back to the 70s
- Android: multiple applications concurrently execute
  - What happens when memory runs out?

# Fundamental OS Concepts

- Abstraction
- Resource management (CPU, RAM, devices)
- Concurrency
- Parallelism
- Protection/Security
- Performance
  - Kernel doesn't **do** useful work, enables it

# Course Objectives

- Explore core ideas in Operating Systems in two ways:
  - 1) understanding the concepts behind resource management, abstraction, and hardware interface
  - 2) practical coding experience with a real OS to understand the subtleties and challenges of systems

# Why should you care about OSes!?

- Fundamentally: Understand what's going on under the hood
  - *"In theory, there is no difference between theory and practice. But, in practice, there is."* - Jan L. A. van de Snepscheut/Yogi Berra
- The world runs on systems
  - Microsoft, VMWare, Google (Operating systems, virtual machines)
  - Google, Yahoo, Facebook, Twitter (distributed systems)
  - Boeing, NASA, BMW (embedded/distributed systems)
  - Financial firms (have to spend stimulus money on something!)
  - AppNexus (GWU staffed NYC startup focusing on systems)
- The world is concurrent!
- Industry feedback