#### Towards the Development of a Comprehensive Vessel Traffic Risk Management Tool

Presentation by: J. Rene van Dorp



GWU Personnel: Dr. J. Rene van Dorp, Dr. Jack Harrald, Dr. Greg Shaw, Adil Caner Sener, Christian Salmon

VCU Personnel: Dr. Jason R. W. Merrick, Christina Werner

RPI Personnel: Dr. Martha Grabowski, Zhi Zhou, Michael Steward, Brittany Steward, Huawei Song, Zhuyu You

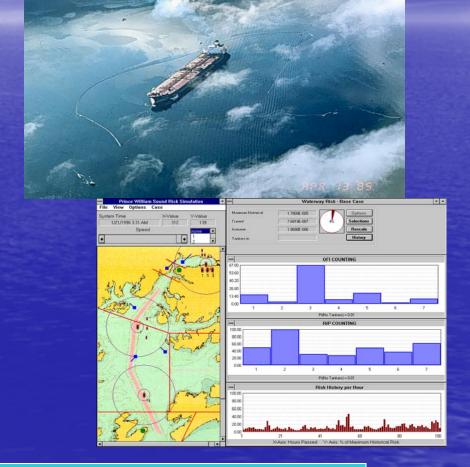
TU Delft Personnel: Giel van de Wiel

**Puget Sound Harbor Safer Committee Presentation April 2012** 

### Previous Work

#### Prince William Sound Risk Assessment

- Site of the Exxon Valdez Disaster
- Objective—reduce oil spill risk
- Model used system simulation, data analysis and expert judgment
- Capable of modeling systemic effects of proposed interventions
- Multi-million dollar investments made to reduce risk of further oil spills



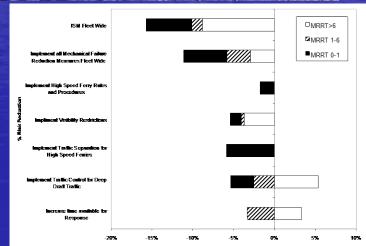
Merrick, J. R. W., J. R. van Dorp, T. Mazzuchi, J. Harrald, J. Spahn, M. Grabowski. 2002. The Prince William Sound Risk Assessment. *Interfaces* **32**(6) 25-40.

### Previous Work

#### Washington State Ferries Risk Assessment

- Largest ferry system in the United States
- Objective—Subchapter W determination, reduce risk alternatives to lifeboats
- Simulation/expert judgment model improved based on NRC review of PWS study
- Legislature approved funding of Safety Management System, training and emergency preparedness exercises

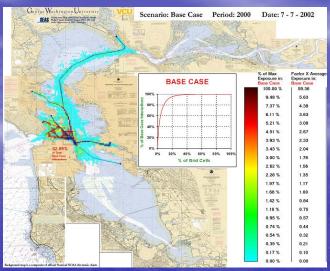


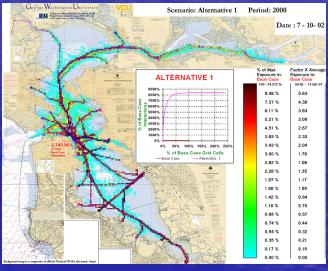


#### Previous Work

#### San Francisco Bay Exposure Assessment

- California legislature examining the effects of major expansion of ferry services
- Objective—fulfill environmental impact requirement
- Simulation model tested the impact of proposed expansion on vessel interactions
- Legislature considering implementing proposed expansions

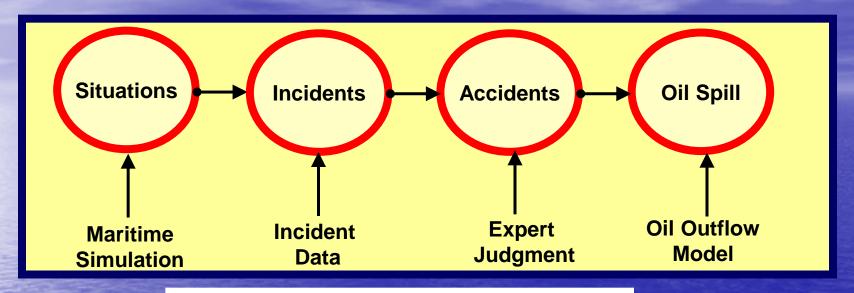




Merrick, J. R. W., J. R. van Dorp, J. P. Blackford, G. L. Shaw, J. Harrald, T.A. Mazzuchi. 2003. Traffic Density Analysis of Proposed Ferry Service Expansion in San Francisco Bay Using a Maritime Simulation Model. *Reliability Engineering and System Safety* **81**(2) 119-132.



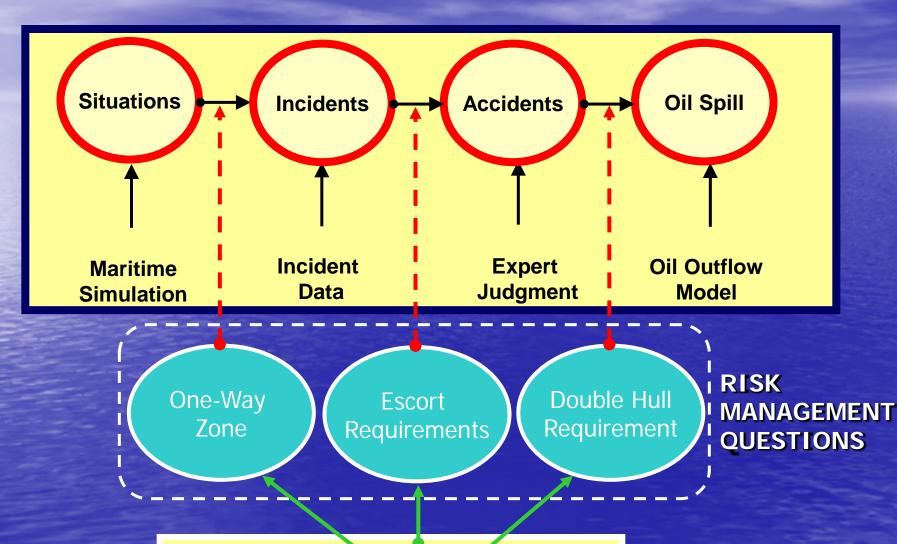
## An Oil Spill is a series of cascading events referred to as a Causal Chain



$$R = \{ \langle s_i, l_i, x_i \rangle \}_c$$
 Complete Set

Use Kaplan's (1997) definition of system risk in: "The Words of Risk Analysis", Risk Analysis 17 (4), 407-417

### Risk Management of a Causal Chain

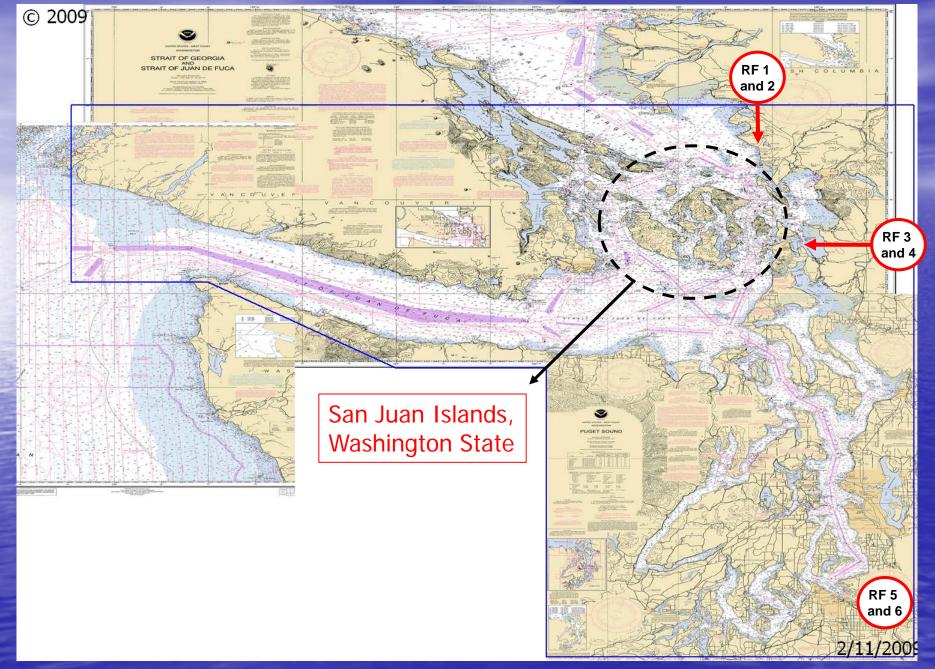


Kaplan's (1997) Risk Definition

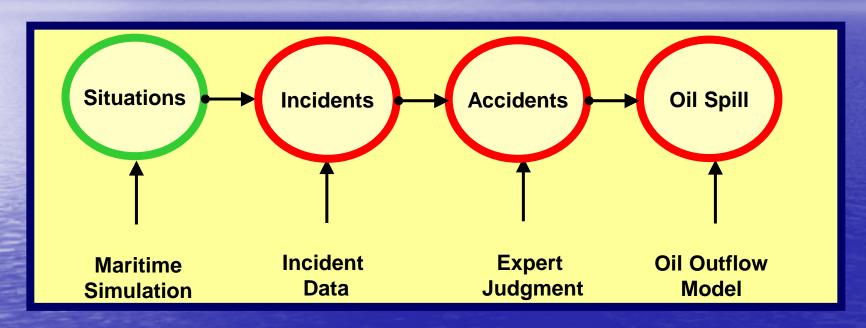
$$R = \{\langle s_i, l_i, x_i \rangle\}_c$$

## Description of Case Study

- The analysis results herein evaluate the effectiveness of the three risk intervention measures on the previous slide by considering four scenarios of an MTS simulation of the geographic area within the bleu border on the next slide.
- The vessels of interest (VOI's) are tankers, articulated tug barges and integrated tug barges serving six refineries within this geographic area. The approximate locations of these refineries are identified on the next slide. (One of them in the south operates only as a petroleum tank farm since 1998).
- The four scenarios in question are fictitious scenarios that look back in time, not into the future.
- **SCENARIO 1:** Two-way traffic in Rosario Strait, No Escorting and all VOI's have a single hull.
- SCENARIO 2: One-way traffic in Rosario Strait, No Escorting and all VOI's have a single hull.
- **SCENARIO 3:** One-way traffic in Rosario Strait, Escorting Scheme that mimics current regime in study area and all VOI's have a single hull.
- SCENARIO 4: One-way traffic in Rosario Strait, Escorting Scheme that mimics 8 current regime in study area and all VOI's have a double hull.



## Step 1: Generate Accident Scenarios

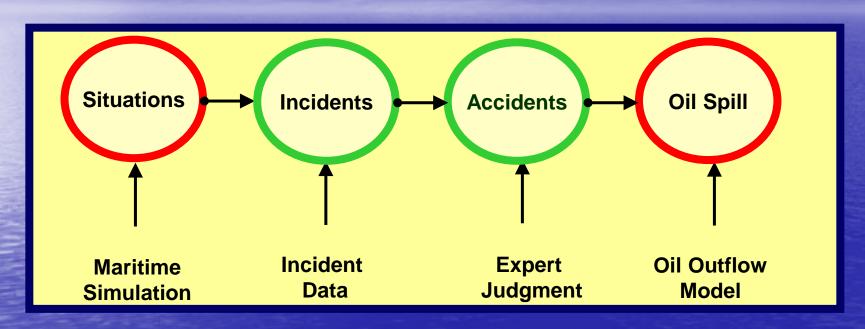


Joint work with:



VCU Personnel:
Dr. Jason R. W. Merrick,
Christina Werner

## Step 2: Evaluate Accident Likelihood per Accident Scenario



Joint work with:

#### **VCU Personnel:**

Dr. Jason R. W. Merrick, and Team

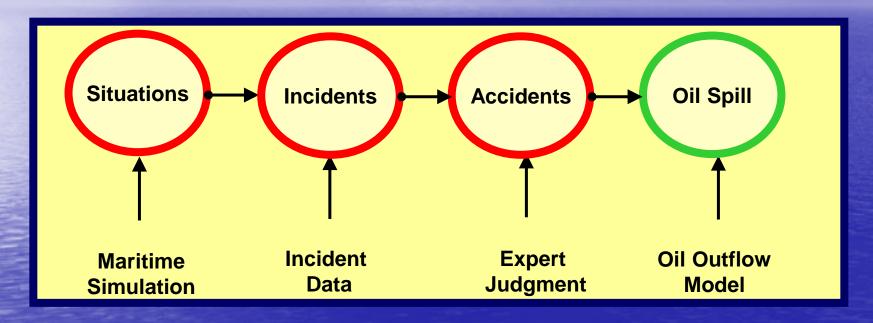


#### **RPI Personnel:**

Dr. M. Grabowsku, and Team



## Step 3: Evaluate Consequence per Accident Scenario

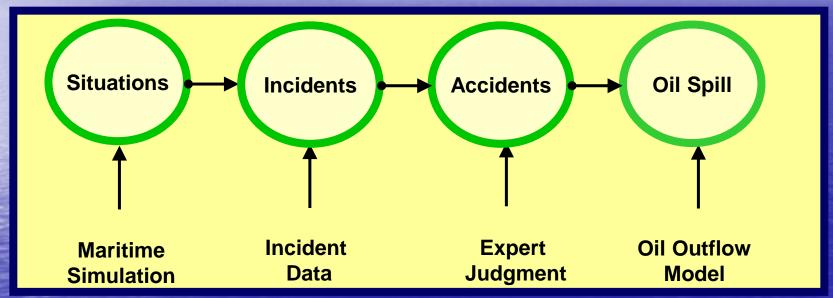


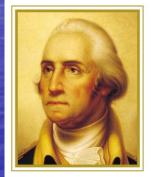
Joint work with:



TU Delft
Personnel:
Giel van de Wiel

## Step 4: Integrate Previous 3 Steps

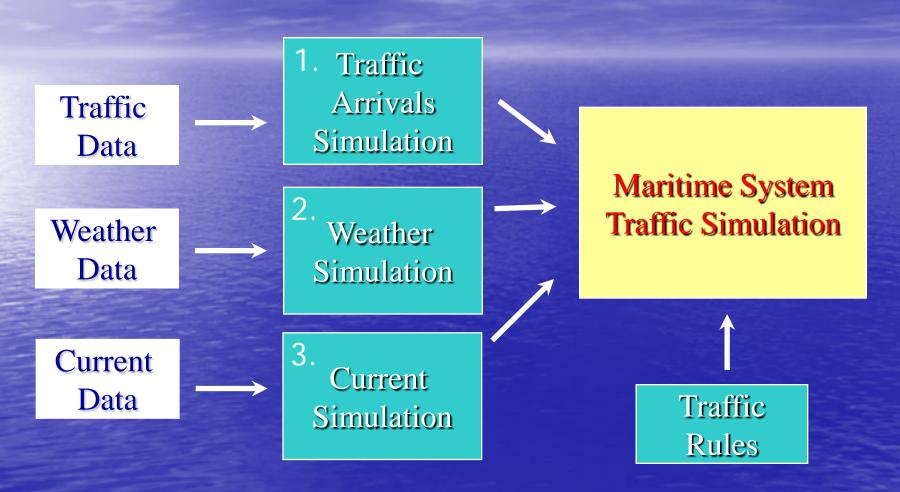




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# Step 1a: Model Maritime Traffic Simulation (MTS) Model



Required close cooperation with the USCG VTS and Puget Sound Harbor Safety Committee for data + validation

#### Route Modeling:

Assessment of Oil Spill Risk due to Potential Increased Vessel Traffic at Cherry Point, Washington

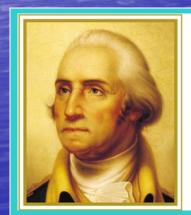
#### GWU Personnel:

Dr. Jack R. Harrald, Dr. J. Rene van Dorp, Dr. Greg Shaw, Dr. Thomas A. Mazzuchi, Adil Caner Sener

RPI Personnel: Dr. Martha Grabowski, Zhi Zhou

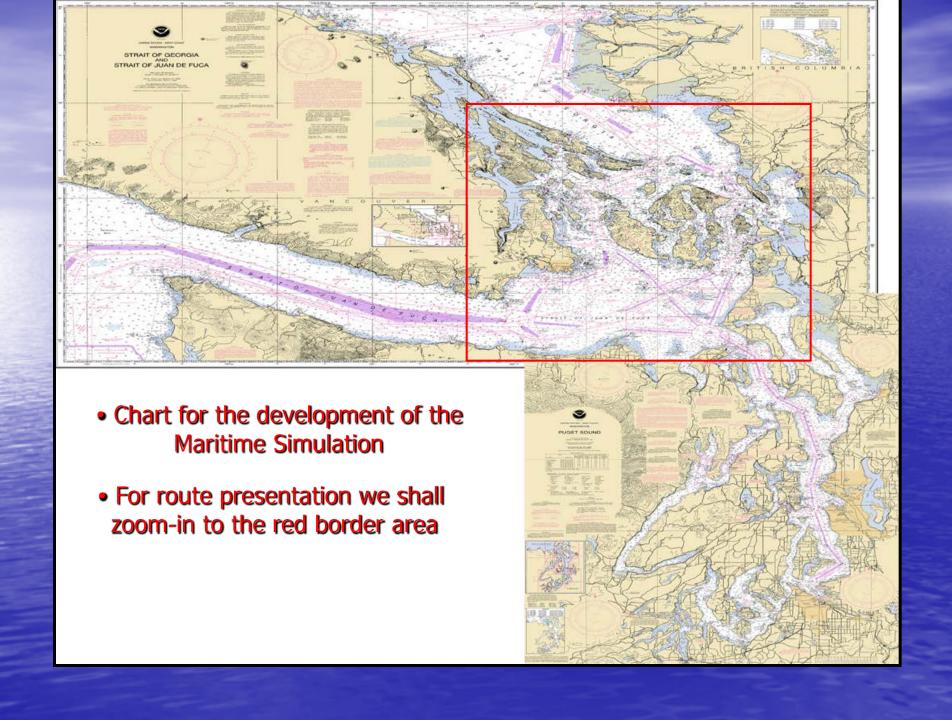
VCU Personnel:
Dr. Jason R. W. Merrick, Christina Werner

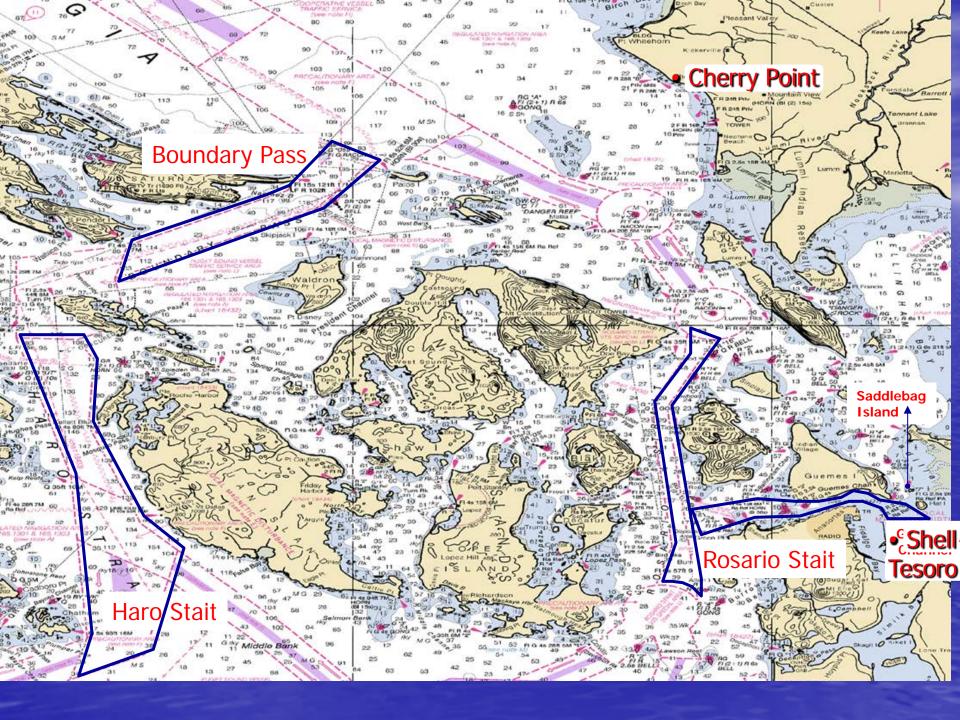
July 7, 2006

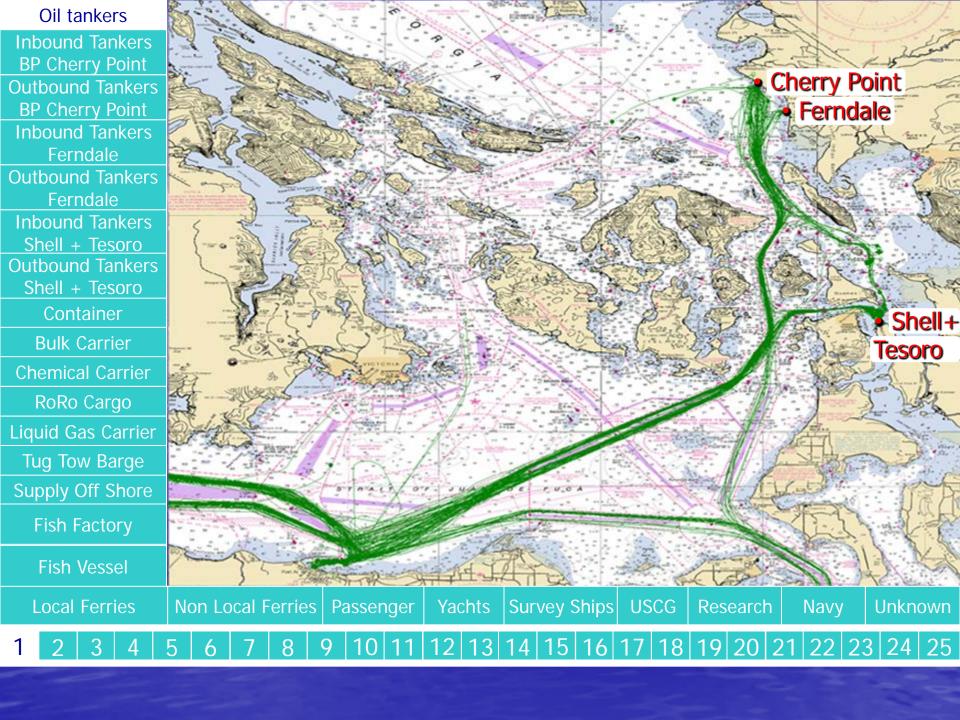


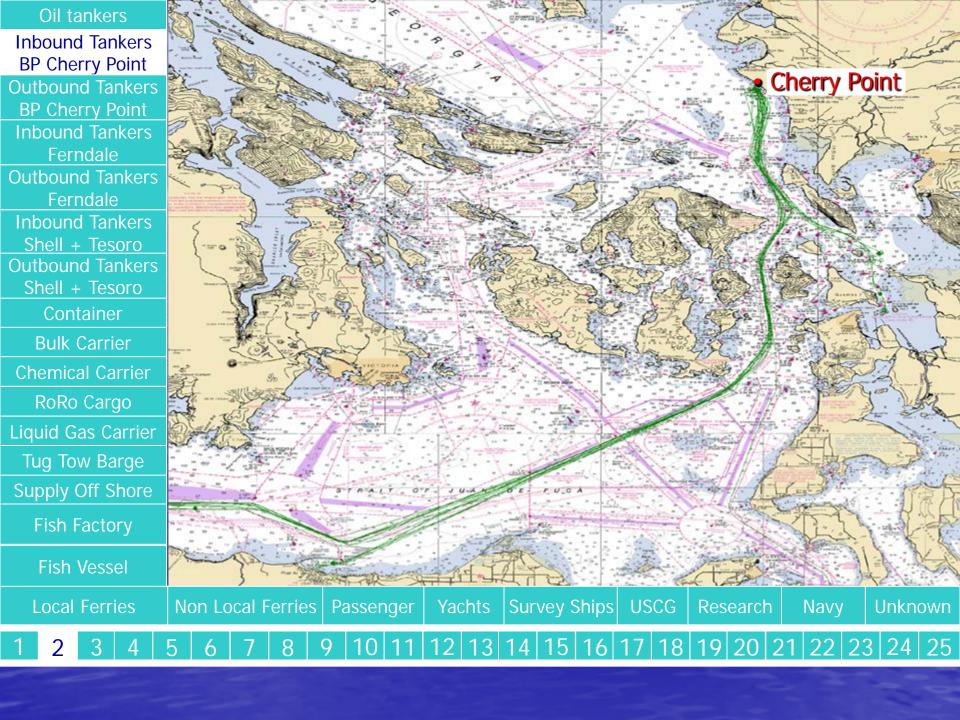
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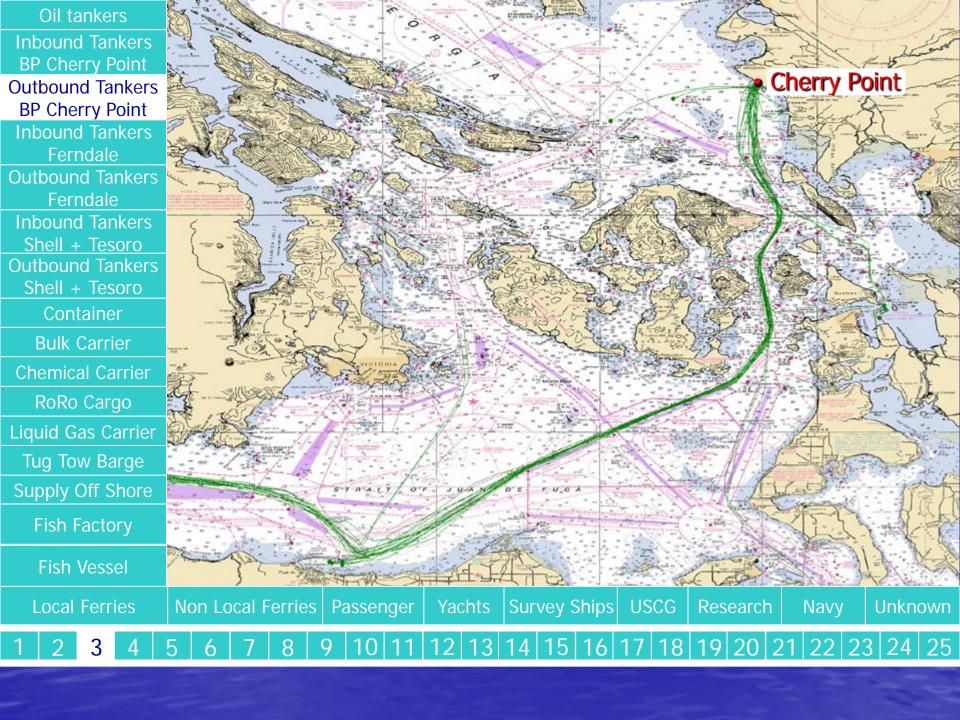


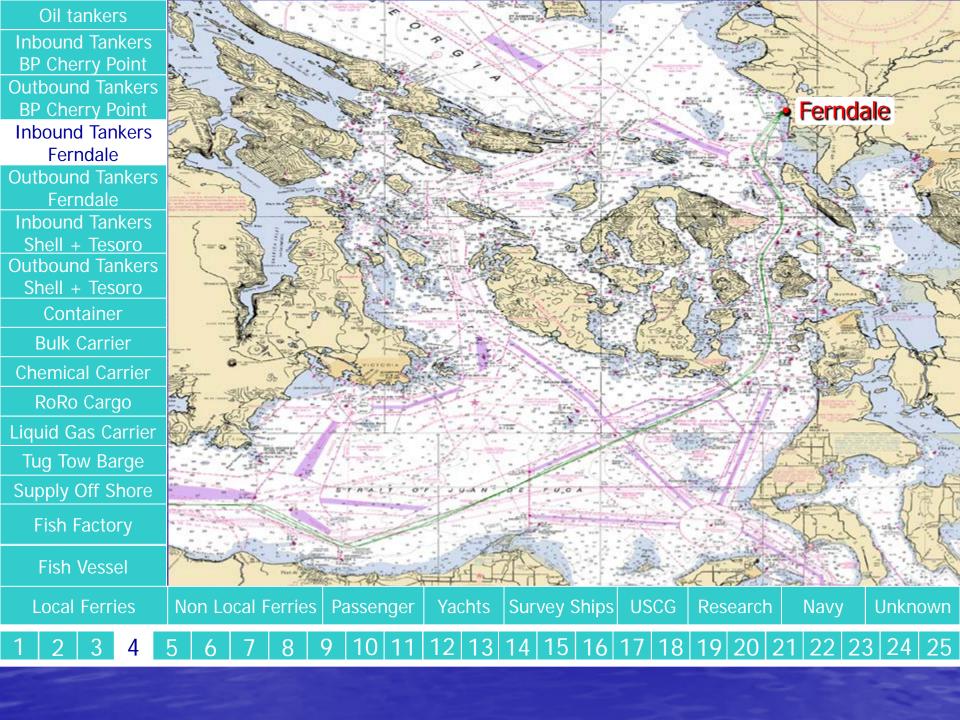


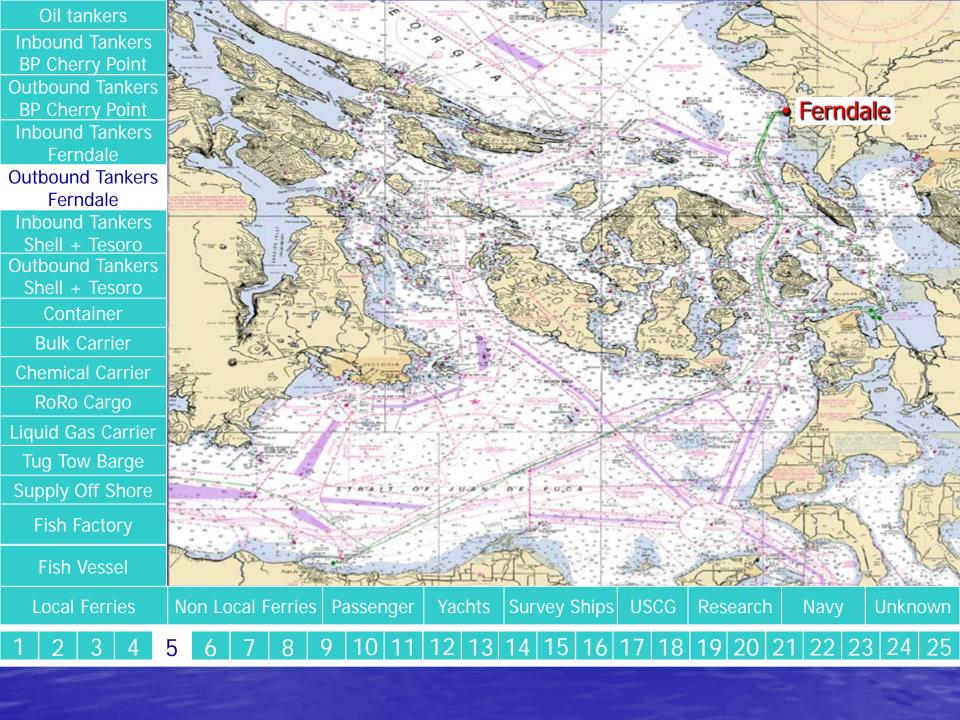


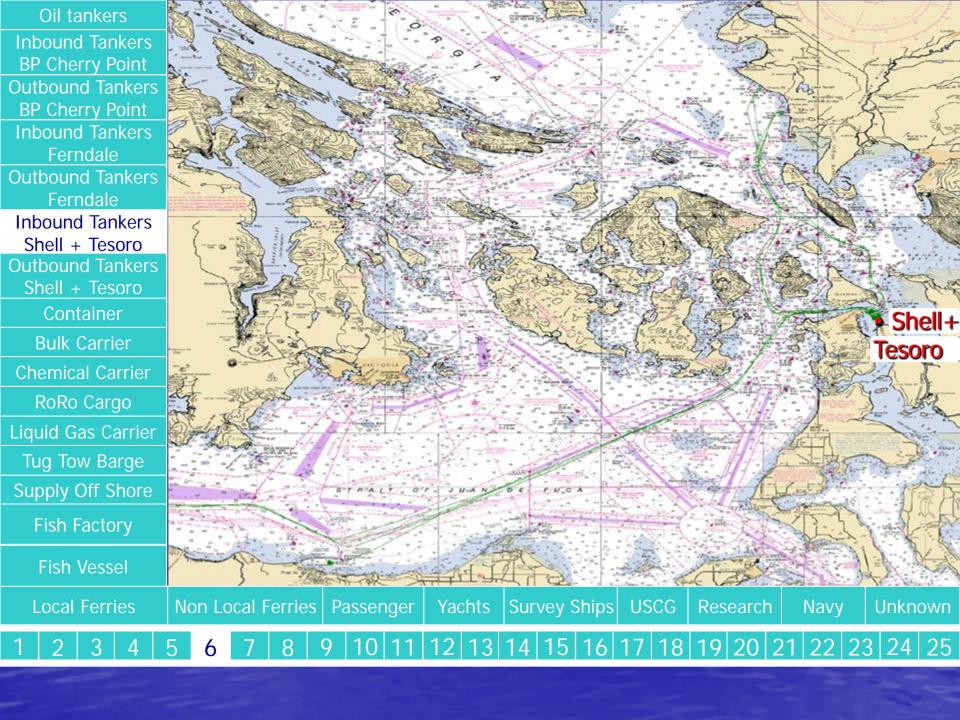


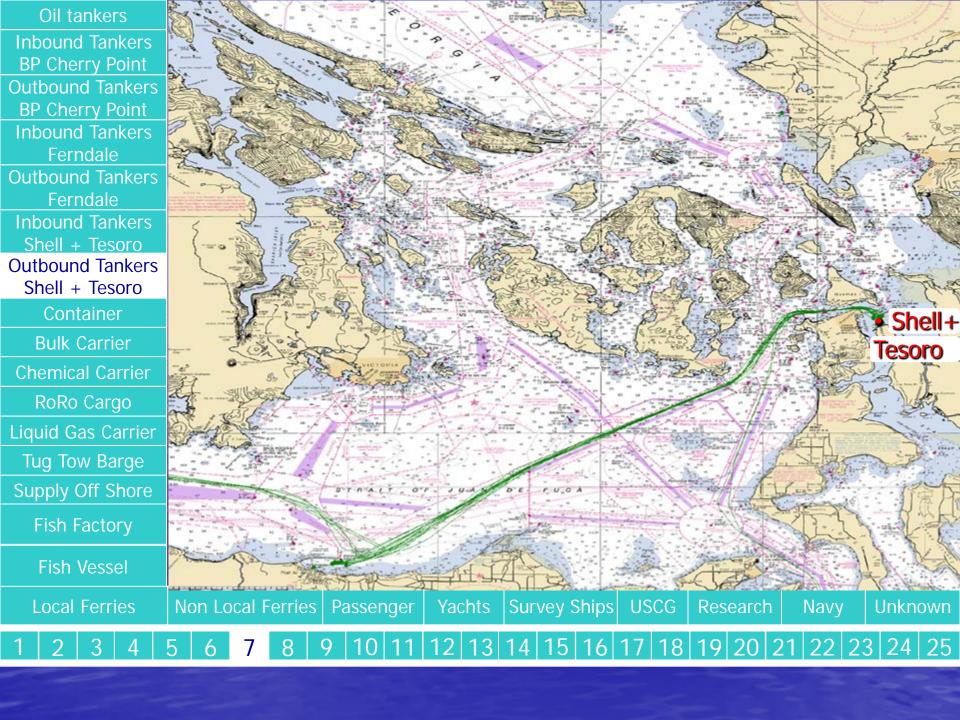


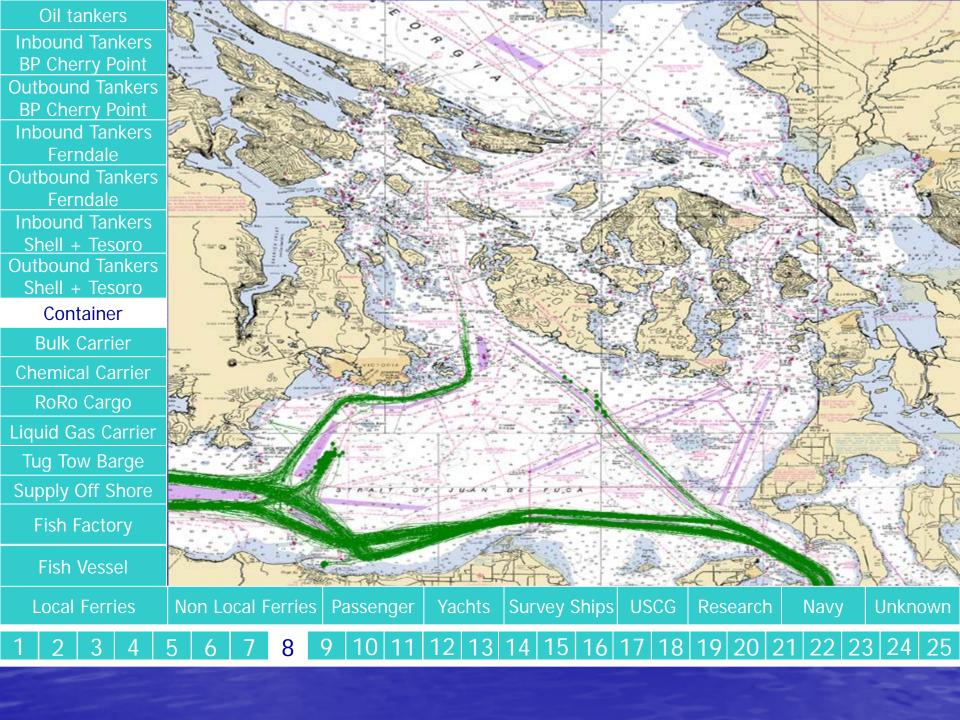


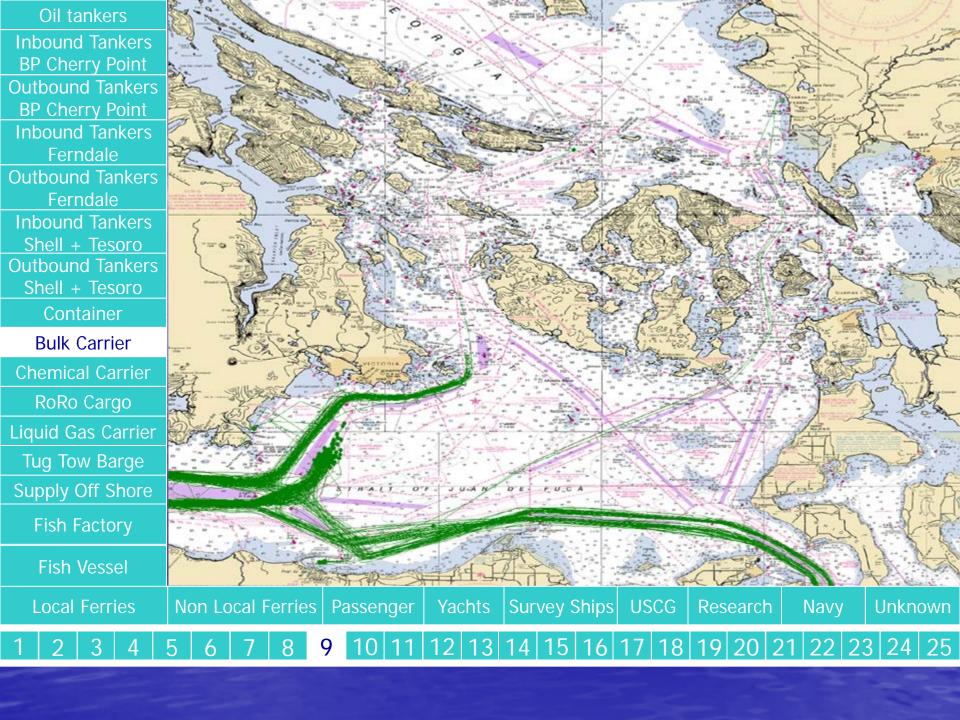


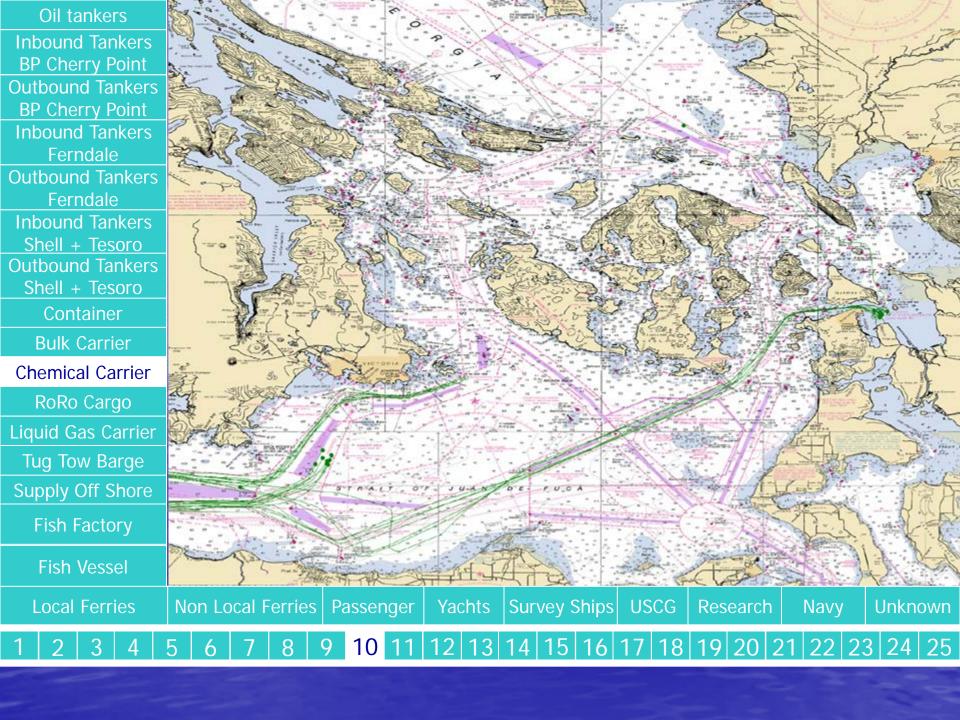


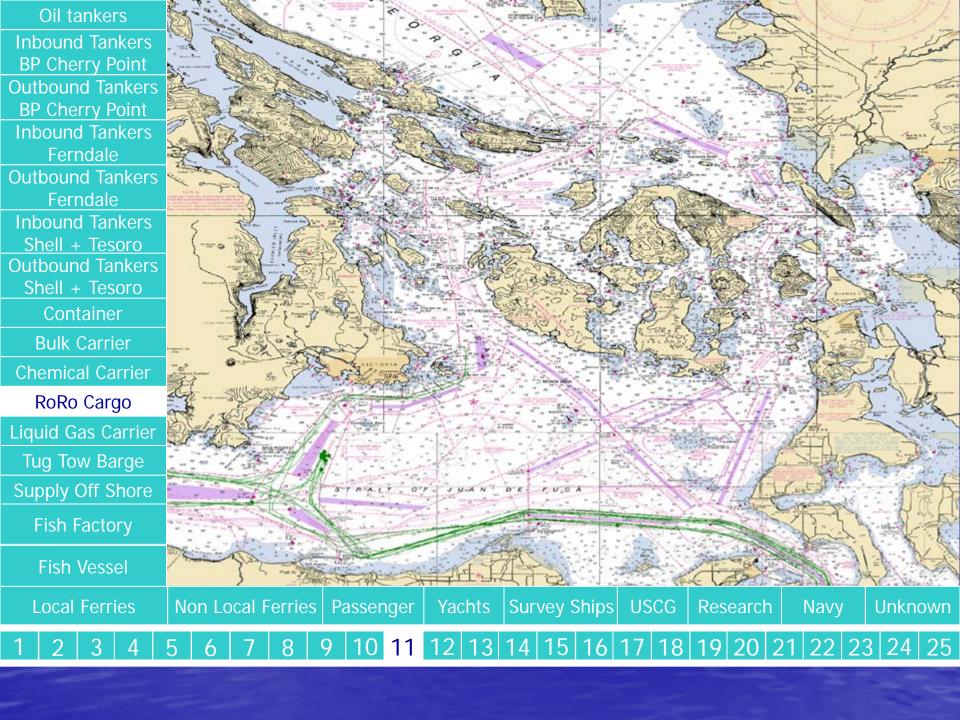


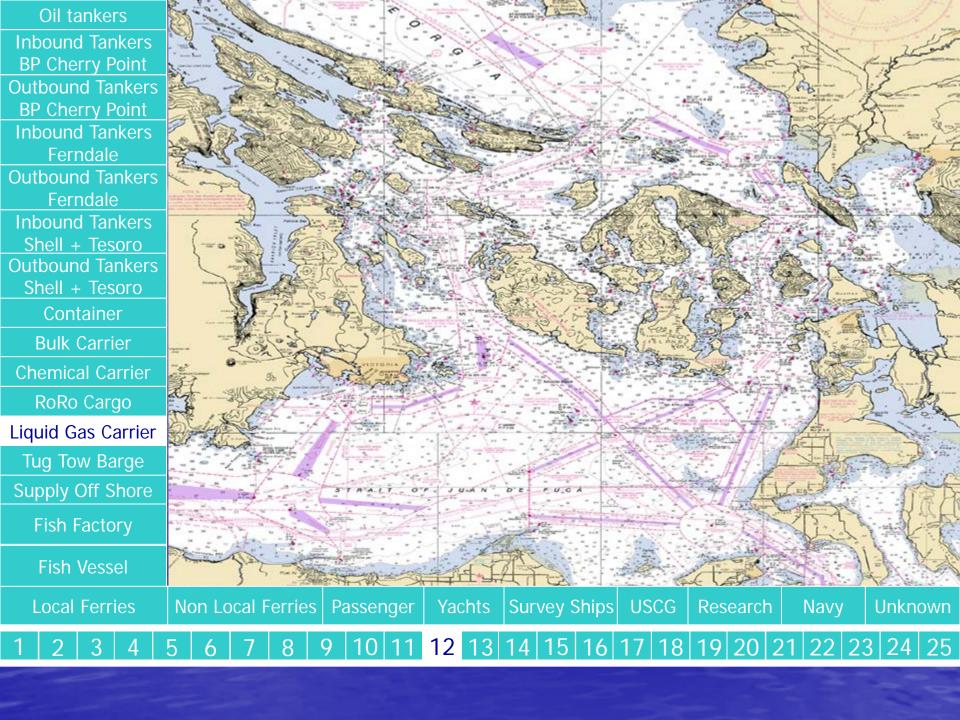


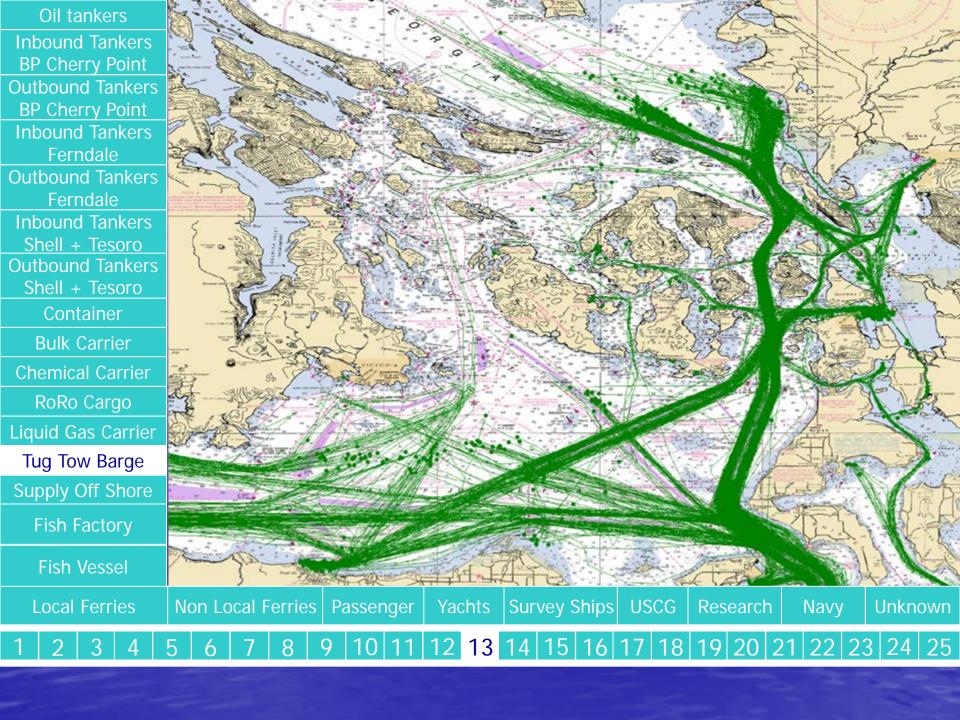


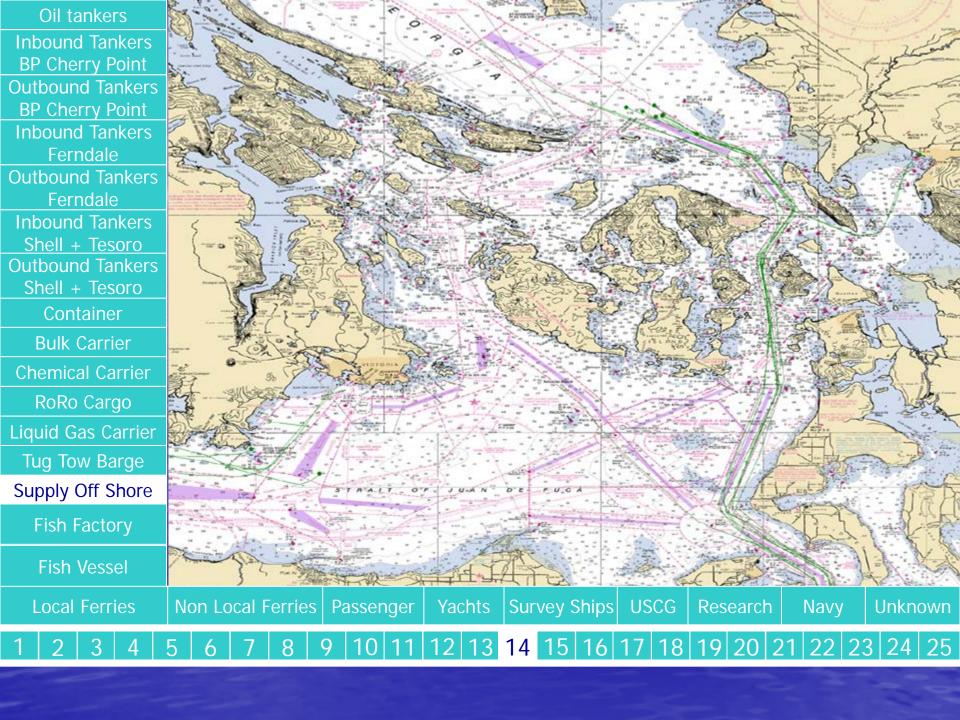


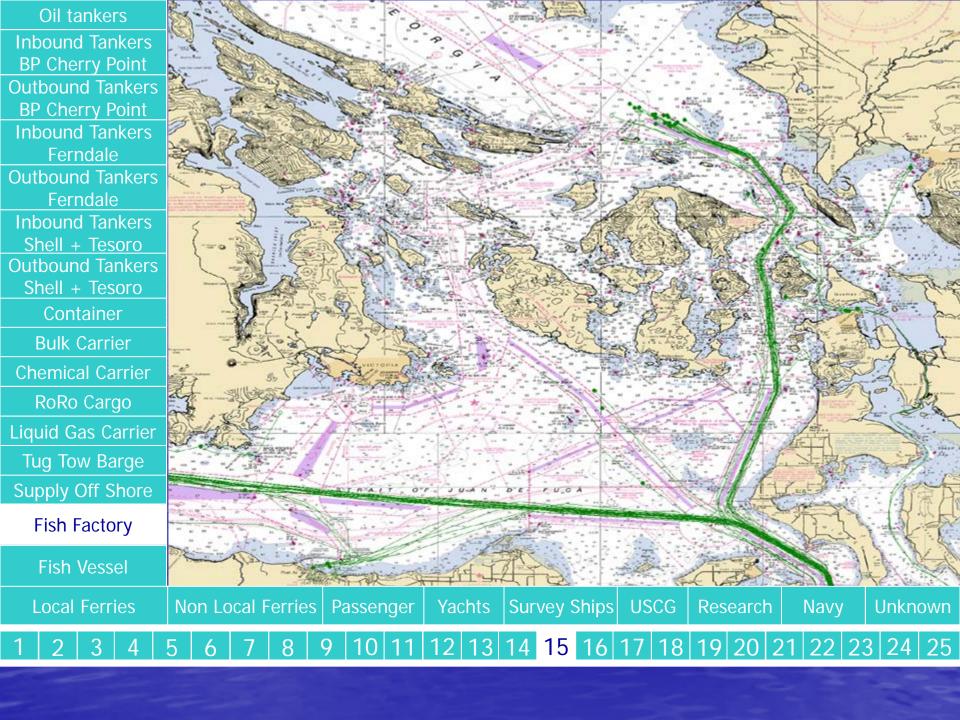


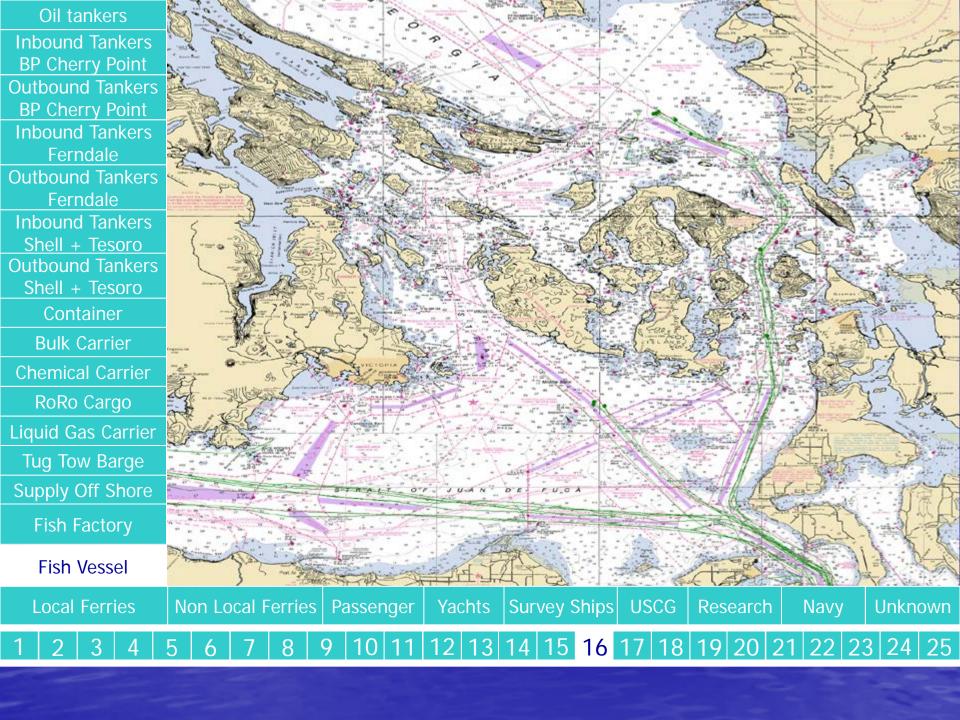


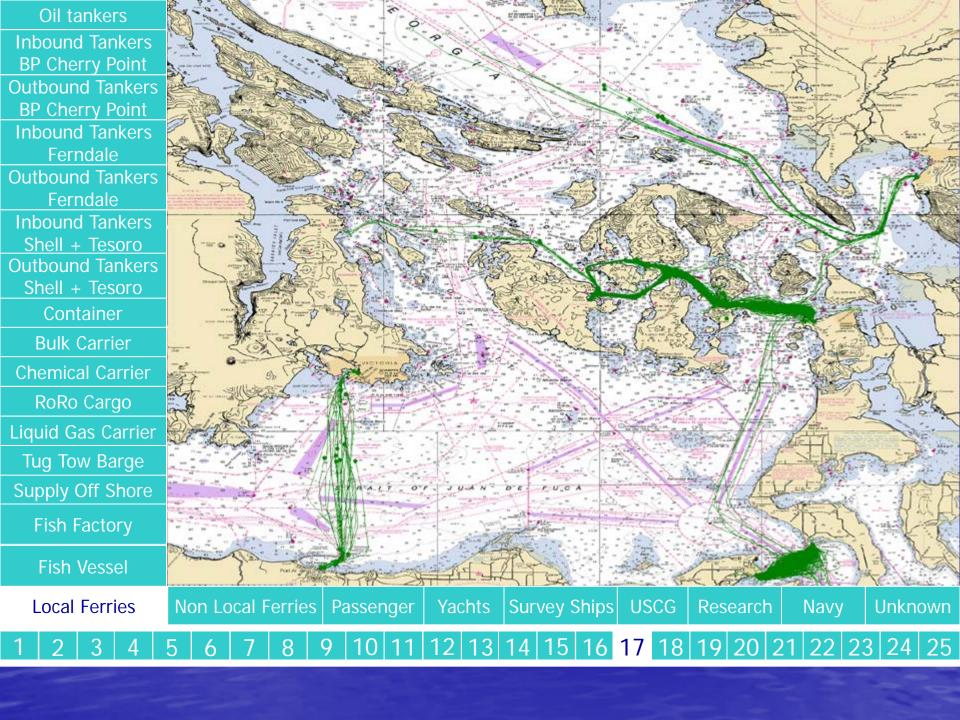


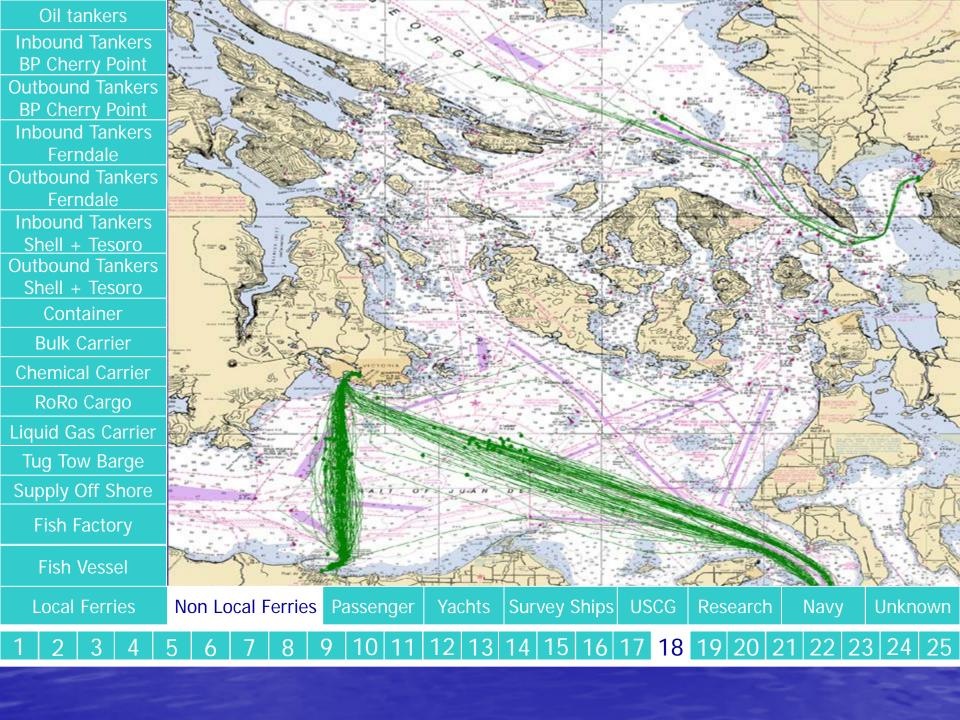


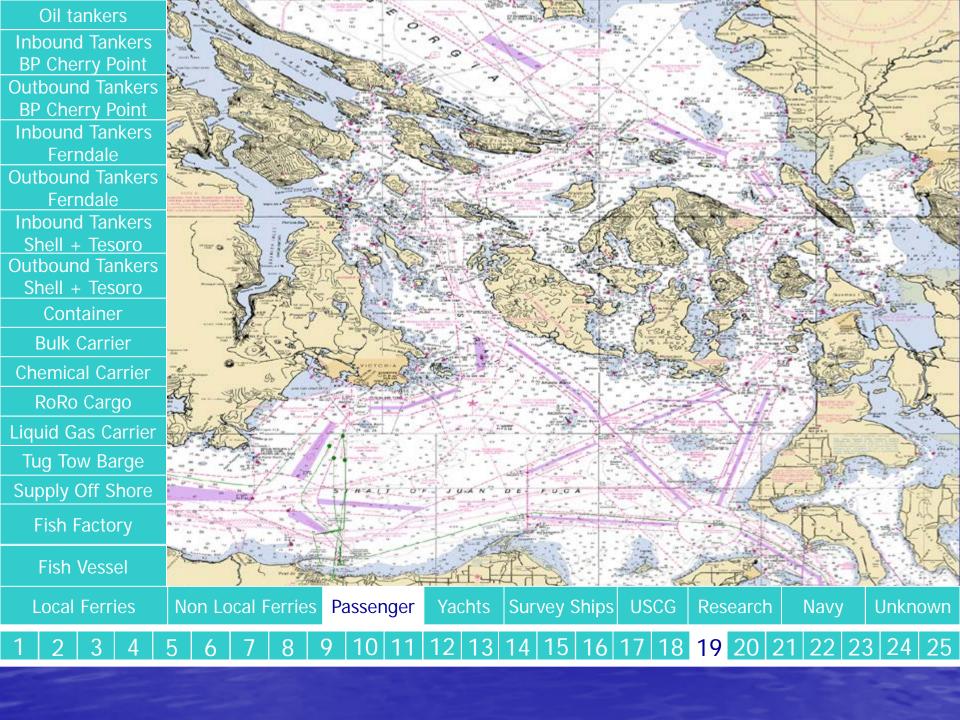


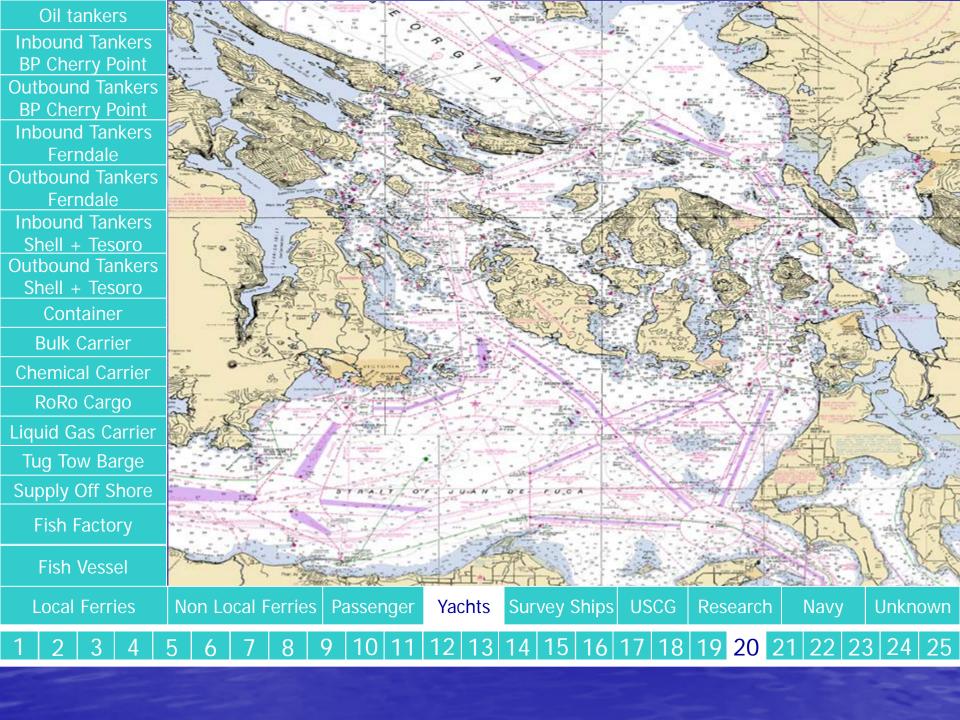


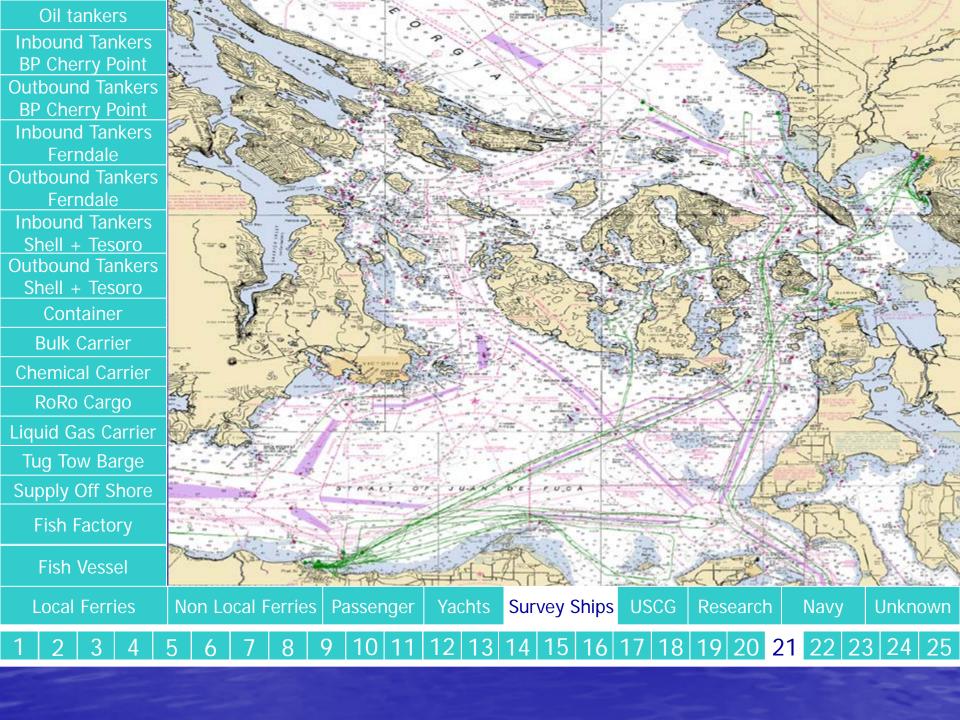


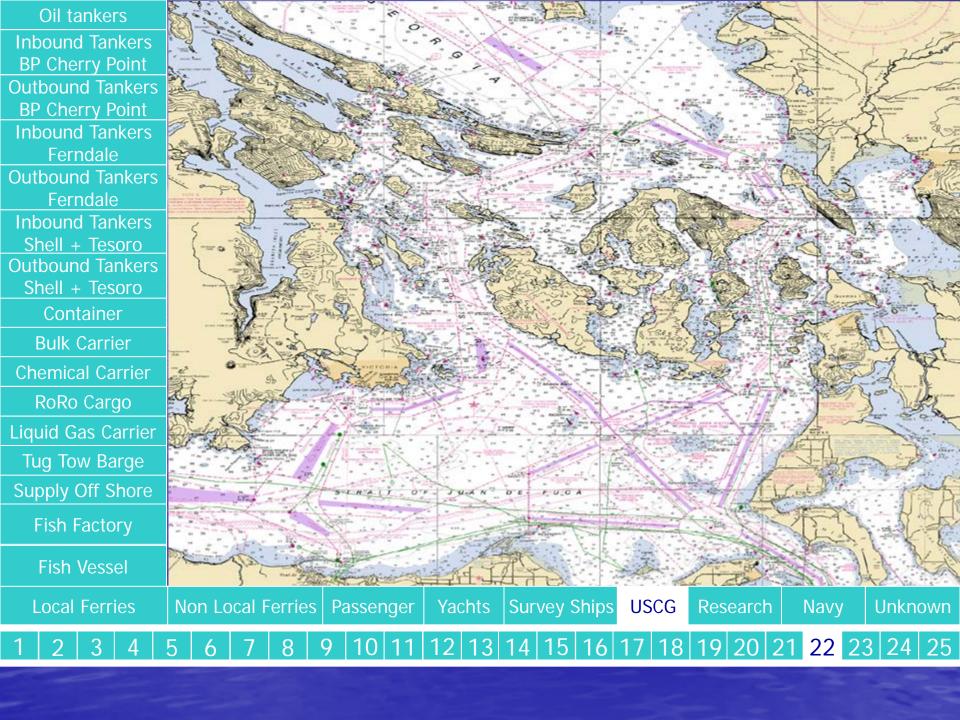


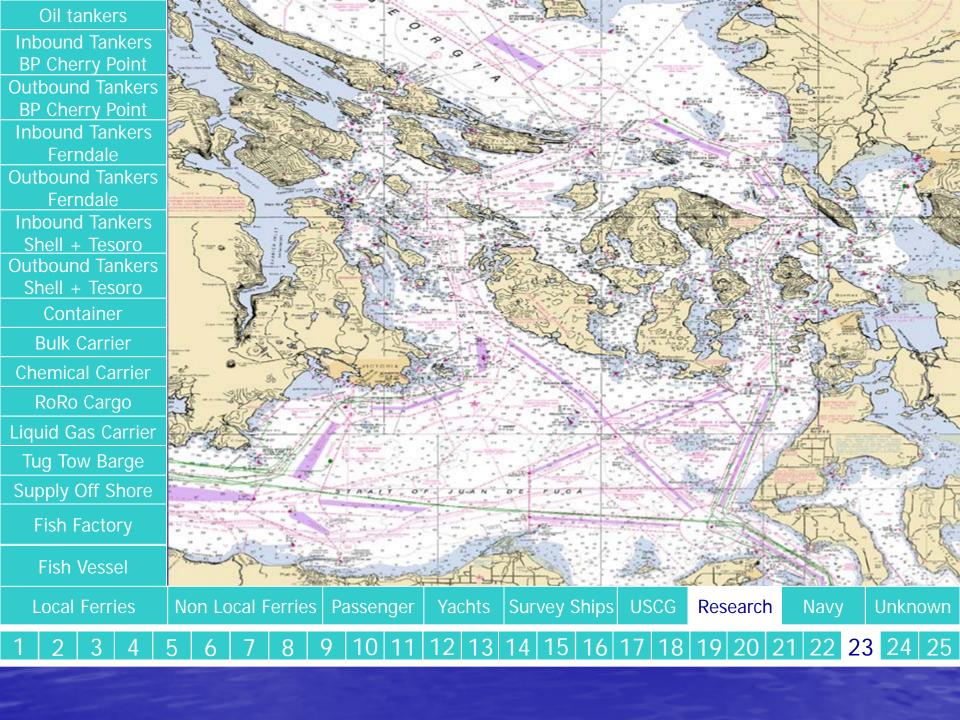


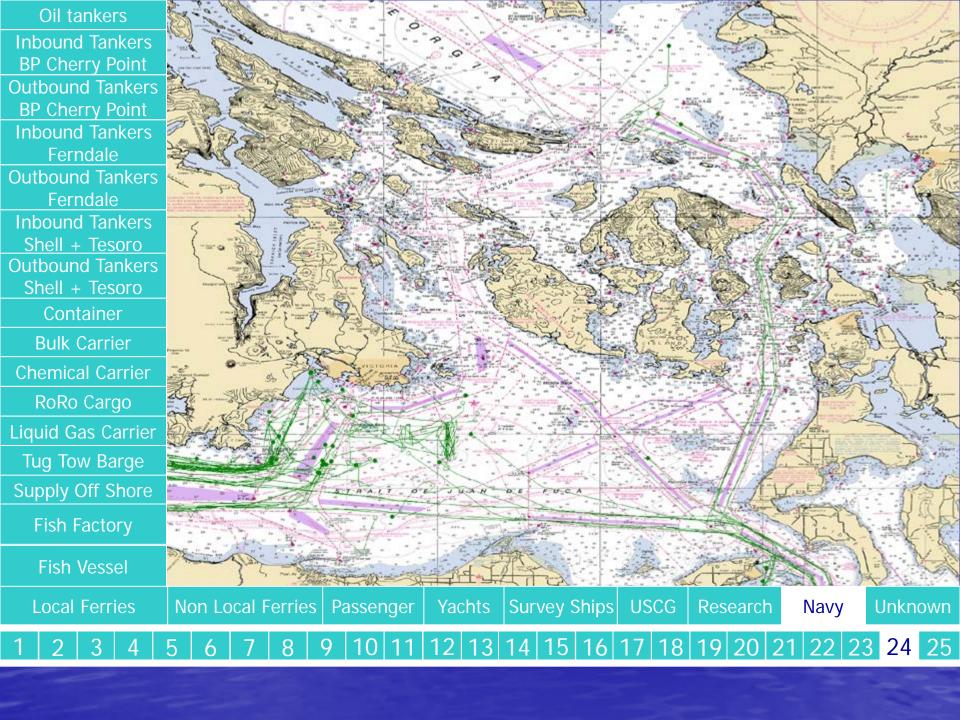


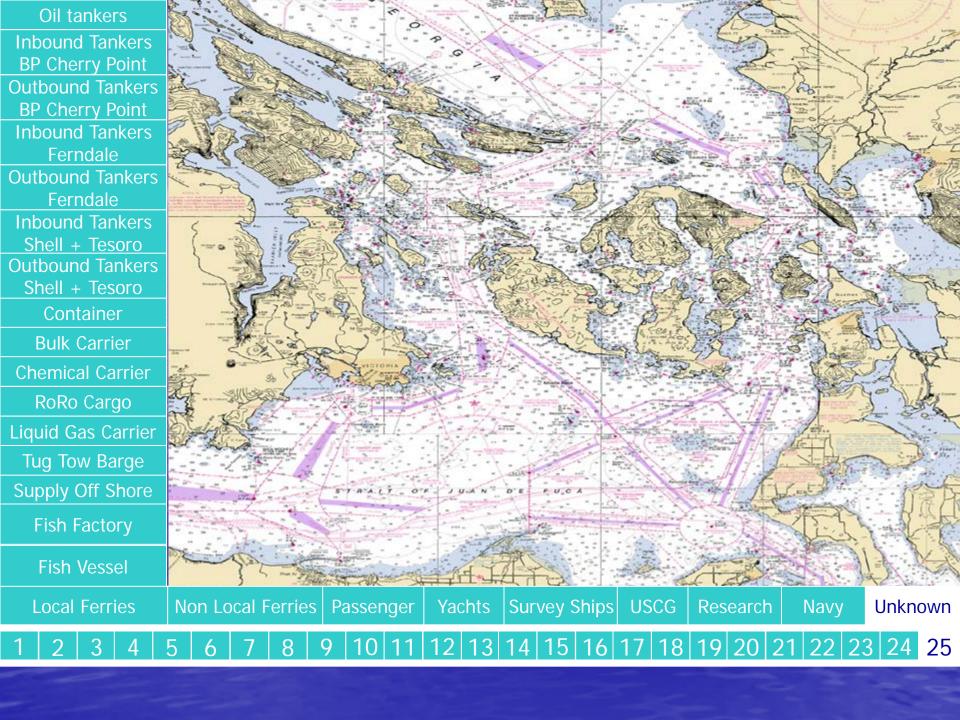




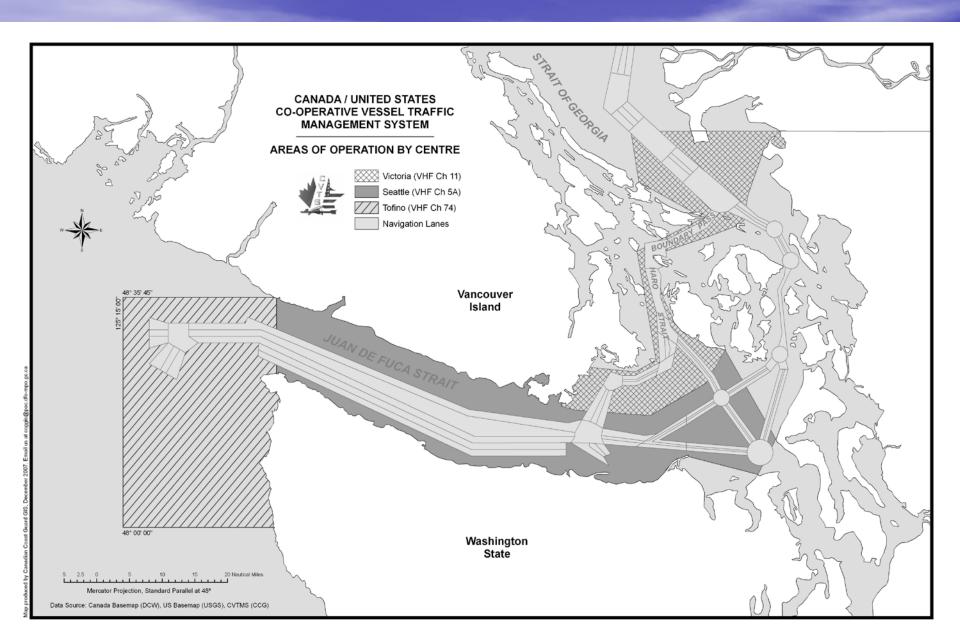








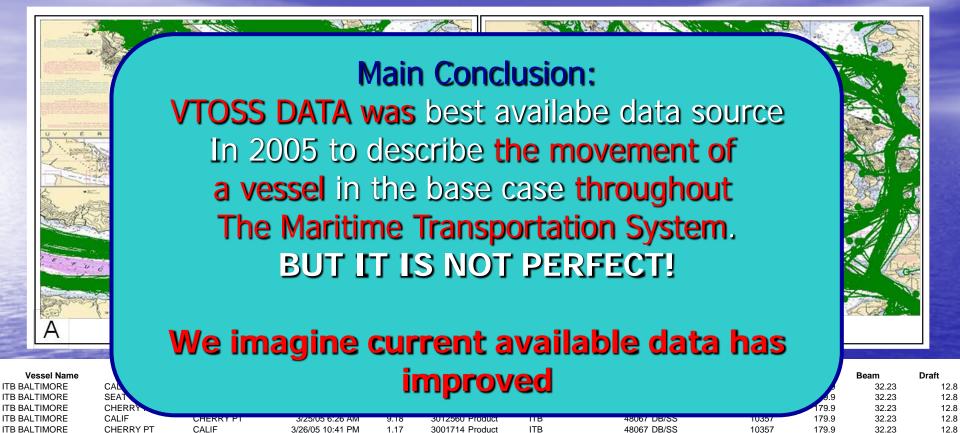
## The Vessel Traffic Operation Support System (VTOSS)



## Main Data Source for VTS Responding Traffic for VTRA Simulation Construction was the VTOSS Database:

NAME	LAST_UDDTG	VSL_ID	CALLSIGN	LLOYDS_ID	FLAG	SATCOMNUM	TYPE_ENC	TYPE_DEC	POS_LAT	POS_LONG	POS_SRC	CVTS_ZONE	FROM_AT	NEXT_TO
ITB BALTIMORE	200503112017	2005111414	WXKM	8001189	US		ОТ	OIL TANKER	48.233	123.715	AIS	PUG	SAN F	SEAT
ITB BALTIMORE	200503112022	2005111414	WXKM	8001189	US		ОТ	OIL TANKER	48.233	123.686	AIS	PUG	SAN F	SEAT
ITB BALTIMORE	200503112028	2005111414	WXKM	8001189	US		ОТ	OIL TANKER	48.234	123.655	AIS	PUG	SAN F	SEAT
ITB BALTIMORE	200503112034	2005111414	WXKM	8001189	US	CL	от	OIL TANKER	48.232	123.628	AIS	PUG	SAN F	SEAT
ITB BALTIMORE	200503112037	2005111414	w <b>V</b> M	800 189	US		<i>J</i> -\	DIL ANK R	) <mark>3</mark> 1	12 61	AIS	PUG	SAN F	SEAT
ITB BALTIMORE	200503112043	2005111414	WXKM	8001189	US		ОТ	OIL TANKER	48.229	123.594	AIS	PUG	SAN F	SEAT
ITB BALTIMORE	200503112046	2005111414	WXKM	8001189	US		ОТ	OIL TANKER	48.228	123.588	AIS	PUG	SAN F	SEAT
ITB BALTIMORE	200503112051	2005111414	WXKM	8001189	US		ОТ	OIL TANKER	48.225	123.572	AIS	PUG	SAN F	SEAT
ITB BALTIMORE	200503112057	2005111414	WXKM	8001189	US		ОТ	OIL TANKER	48.221	123.546	AIS	PUG	SAN F	SEAT
ITB BALTIMORE	200503112103	2005111414	WXKM	8001189	US		ОТ	OIL TANKER	48.213	123.522	AIS	PUG	SAN F	SEAT

From this VTOSS Database routes and input files were constructed that describe vessel movements arrivals to routes:



VTS responding traffic moves over constructed traffic routes according to their arrivals in the VTOSS database

ITB

ITB

3012560 Product

3001714 Product

3012560 Product

3001714 Product

48067 DB/SS

48067 DB/SS

48067 DB/SS

48067 DB/SS

48067 DB/SS

10357

10357

10357

10357

10357

179.9

179.9

179 9

179.9

179.9

CALIF

CALIF

CHERRY PT

CHERRY PT

3/26/05 10:41 PM

4/6/05 9:10 PM

4/8/05 2:14 PM

4/19/05 3:21 PM

4/21/05 1:10 AM

1.17

1 20

10.58

0.90

10.47

CHERRY PT

CHERRY PT

CHERRY PT

CALIF

ITB BALTIMORE

32.23

32.23

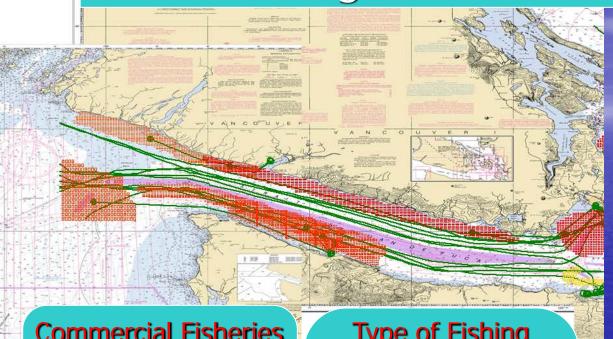
32 23

32.23

32.23

12.8





#### **Commercial Fisheries**

- 1. State
- Tribal
- Canadian

#### Type of Fishing

- Salmon Seine
- Salmon Gillnet
- Crab-Pod
- Shrim-Pod
- Halibut-Long Line

## **USCG Permitted Non-Commercial Traffic** Type of Regatta's Sailing Regattas Vessel parades 3. Sport Fishing Competition 4. Powerboat races

## Whale Watching – Sound Watch Data

The movements of whale watching vessels are determined by the movements of the orca pods. The Sound Watch data gives the location of the orcas and then the number of vessels within a 2 mile radius of them. We move the orcas in the simulation and then add a swarm whale watching vessels around them. The number of vessels in the swarm is varied over time according to the counts in the Sound Watch data.

## Wind/Visibility/Current Model Presentation:

Assessment of Oil Spill Risk due to Potential Increased Vessel Traffic at Cherry Point, Washington

#### GWU Personnel:

Dr. Jack R. Harrald, Dr. J. Rene van Dorp, Dr. Greg Shaw, Dr. Thomas A. Mazzuchi, Adil Caner Sener

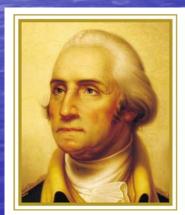
#### **RPI Personnel:**

Dr. Martha Grabowski, Zhi Zhou, Michael Steward

#### **VCU Personnel:**

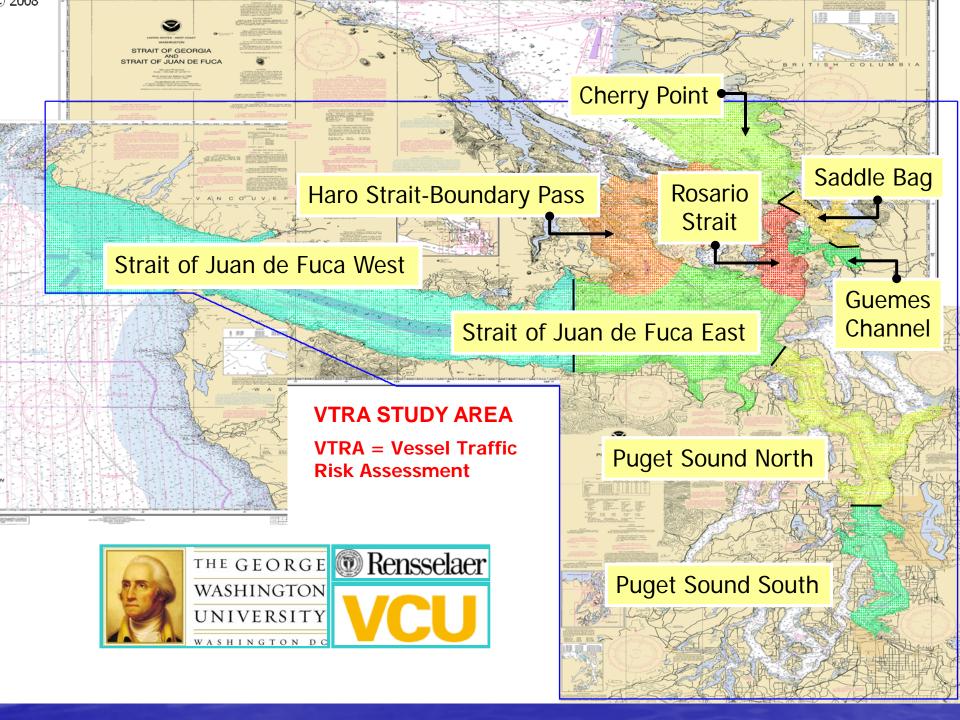
Dr. Jason R. W. Merrick, Kristina Werner

July 28, 2008



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## Wind Model Presentation:

Assessment of Oil Spill Risk due to Potential Increased Vessel Traffic at Cherry Point, Washington

#### GWU Personnel:

Dr. Jack R. Harrald, Dr. J. Rene van Dorp, Dr. Greg Shaw, Dr. Thomas A. Mazzuchi, Adil Caner Sener

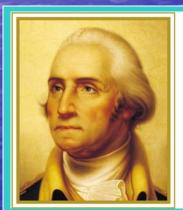
#### RPI Personnel:

Dr. Martha Grabowski, Zhi Zhou, Michael Steward

#### **VCU Personnel:**

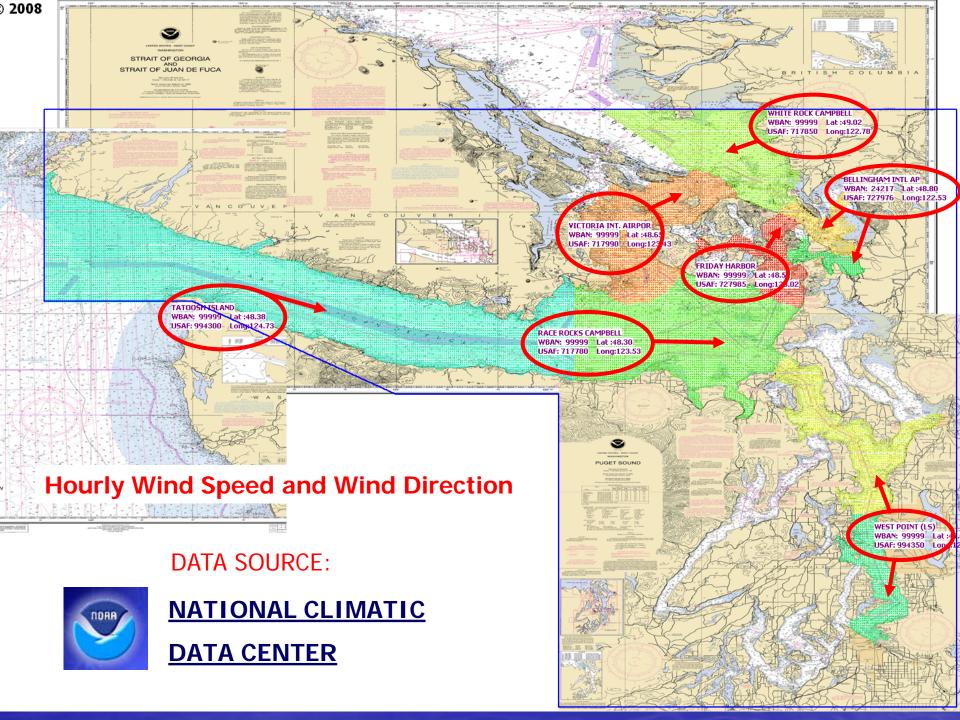
Dr. Jason R. W. Merrick, Kristina Werner

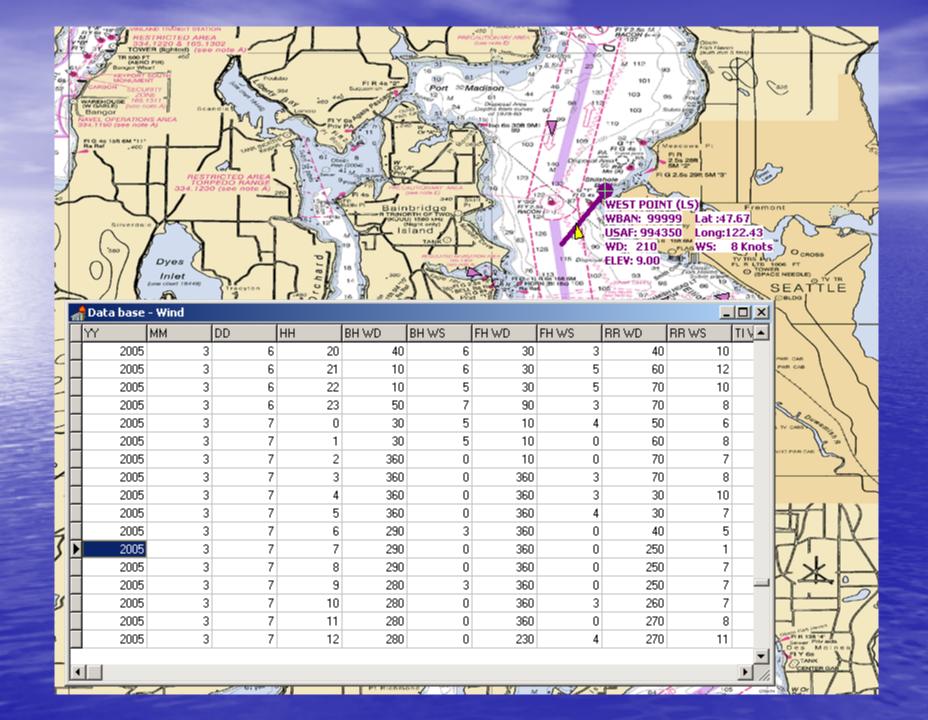
December 7, 2006



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## VISIBILITY Model Presentation:

Assessment of Oil Spill Risk due to Potential Increased Vessel Traffic at Cherry Point, Washington

#### GWU Personnel:

Dr. Jack R. Harrald, Dr. J. Rene van Dorp, Dr. Greg Shaw, Dr. Thomas A. Mazzuchi, Adil Caner Sener

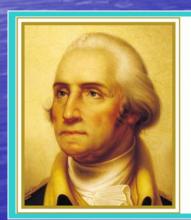
#### RPI Personnel:

Dr. Martha Grabowski, Zhi Zhou, Michael Steward

#### **VCU Personnel:**

Dr. Jason R. W. Merrick, Kristina Werner

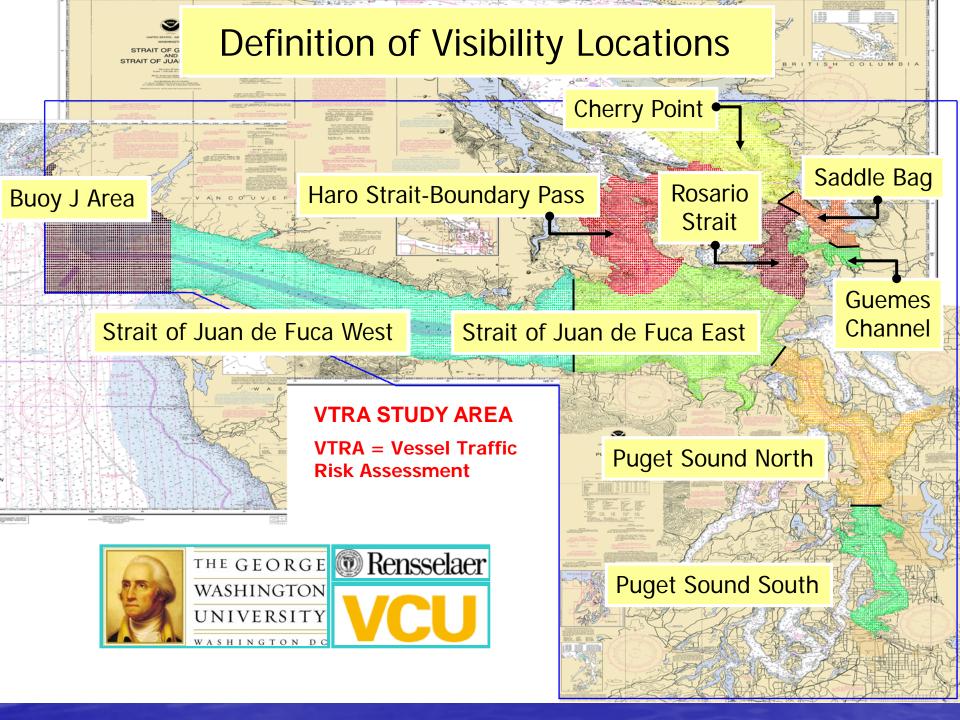
December 7, 2006

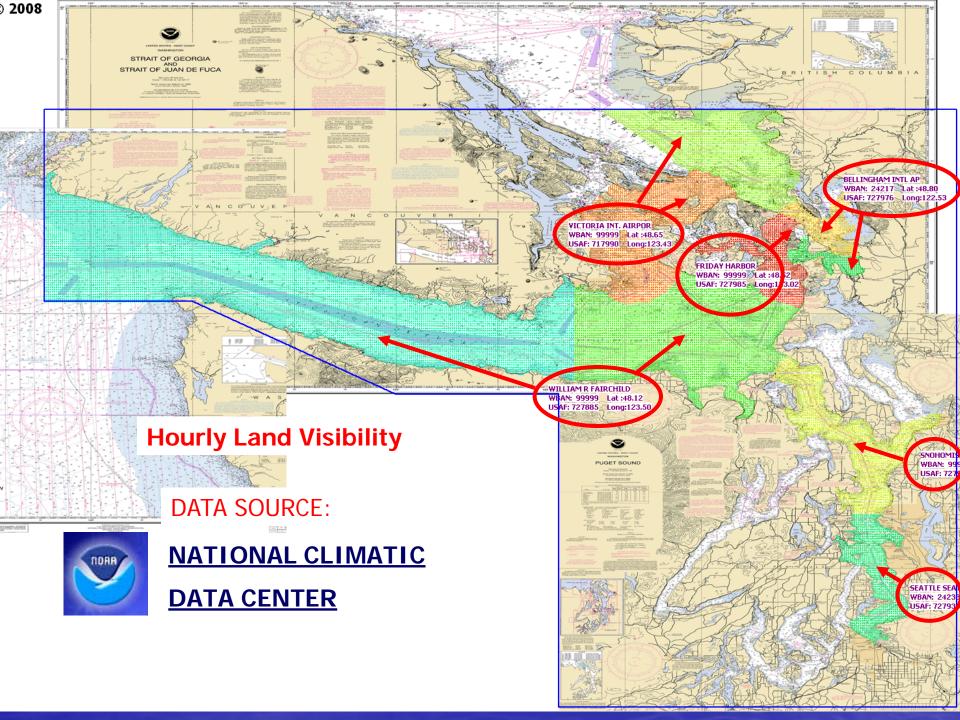


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## Visibility Model

- Any time there is bad land visibility (less than 0.5 nautical mile) we assume that there is bad visibility on the water as well.
- Even when we have good land visibility it is possible that we have poor visibility on the water (vessels are required to operate their fog signals). We need a separate Sea Visibility Model to model such a weather phenomenon.

## Sea Visibility Model

Sea Visibility = 
$$\begin{cases} Bad when & (D - W) \approx \Delta \text{ and } WS \approx up \text{ to 3 Beaufort} \\ Good & Otherwise \end{cases}$$

Good = More than 0.5 nautical mile

Bad = Less than 0.5 nautical mile

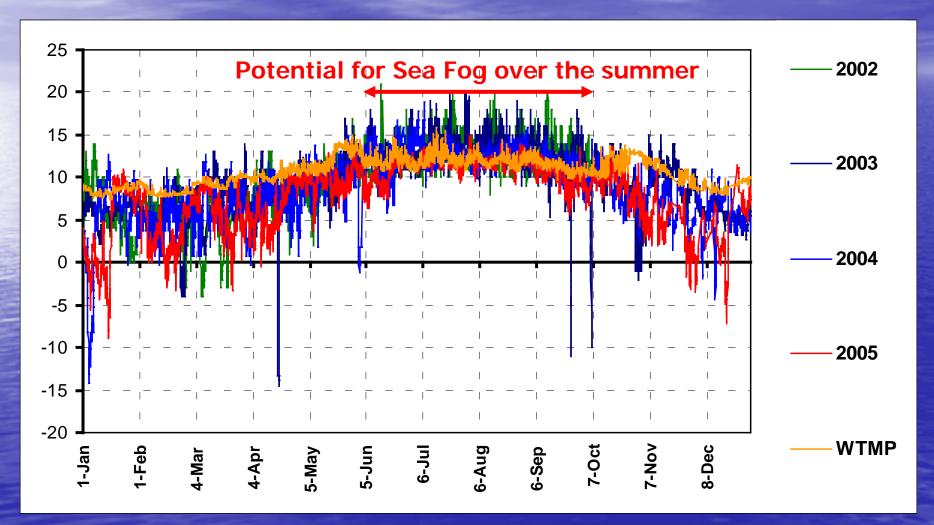
Δ between 0 and 2 Celsius (Patches of Fog)

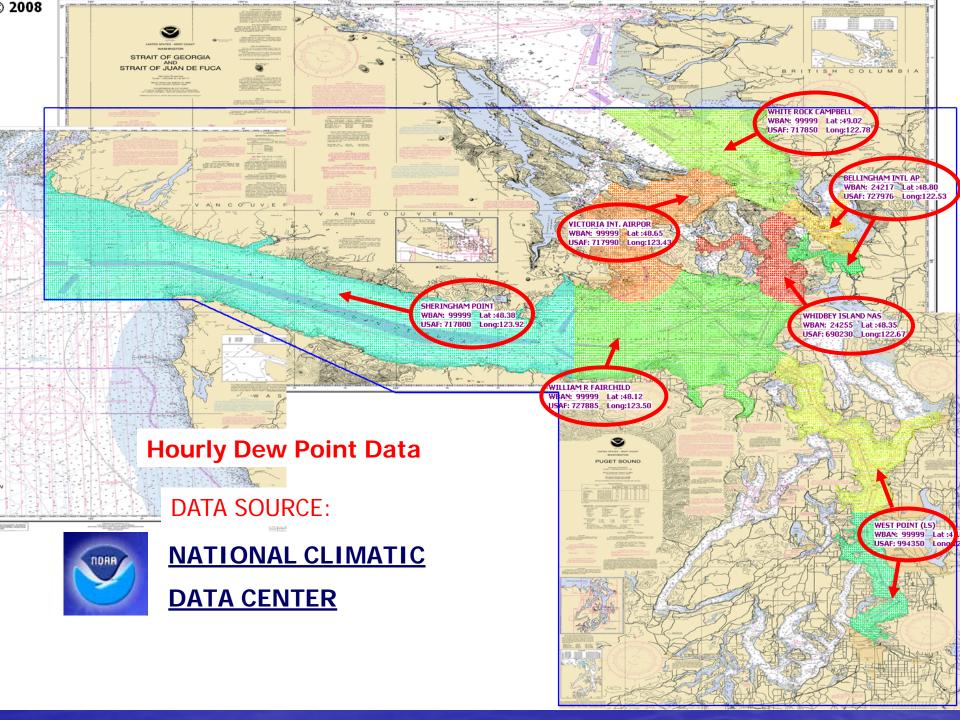
 $\Delta$  larger than 2 Celsius dense fog

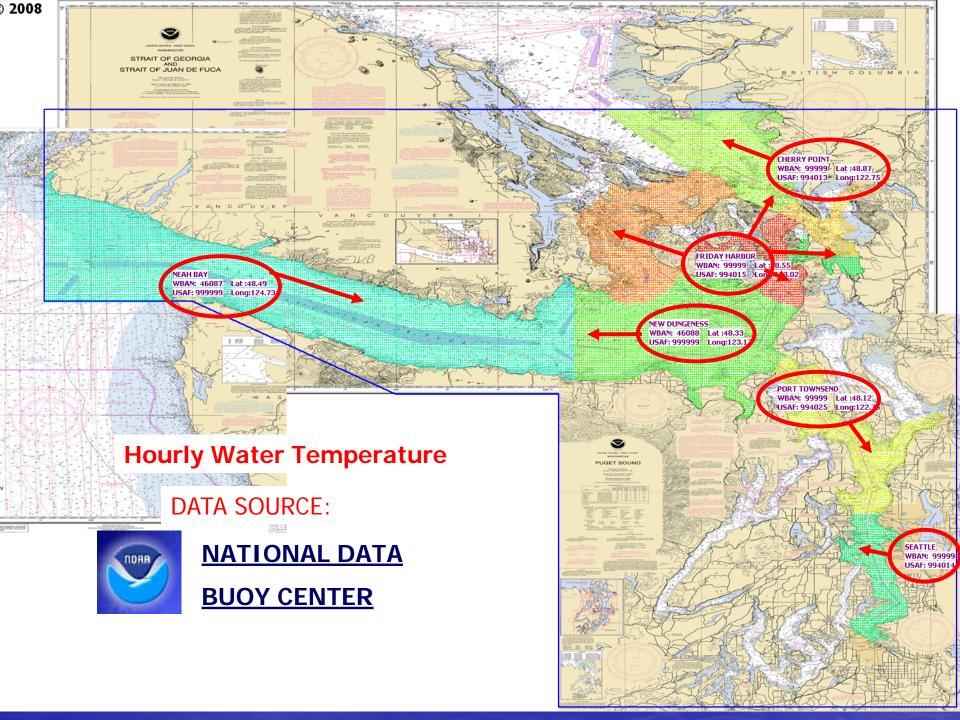
Breeze up to 3 Beaufort ≈ 4-7 knots

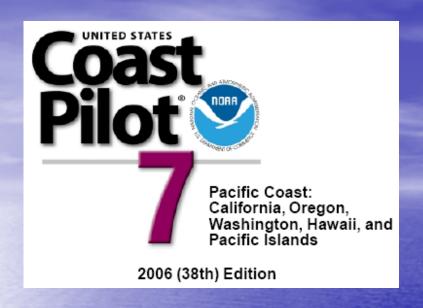
Reference: Ray Sanderson, Meteorology at Sea, Stanford Maritime Limited, 1982

# Time Series of WTMP and DEWPT For West Strait of Juan de Fuca



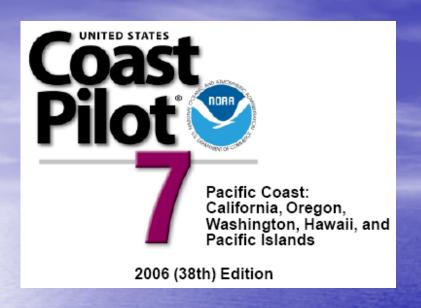






We calibrate to 54 days at 0.75 mile and at 50 days annually at 0.5 miles in West Strait of Juan de Fuca

"In few parts of the world is the vigilance more called upon than when entering the Strait of Juan de Fuca from the Pacific in fog. Sea fog is the most common type, and it is at its worst from about July through October. Local land fog extends the visibility hazard into the winter. Fog is most frequent at the West end of the Strait. Here, visibilities drop to less than 0.75 mile on about 55 days annually, compared to about 35 days in the East end."



We calibrate to 35 days at 0.75 mile and at 31 days annually at 0.5 miles in East Strait of Juan de Fuca

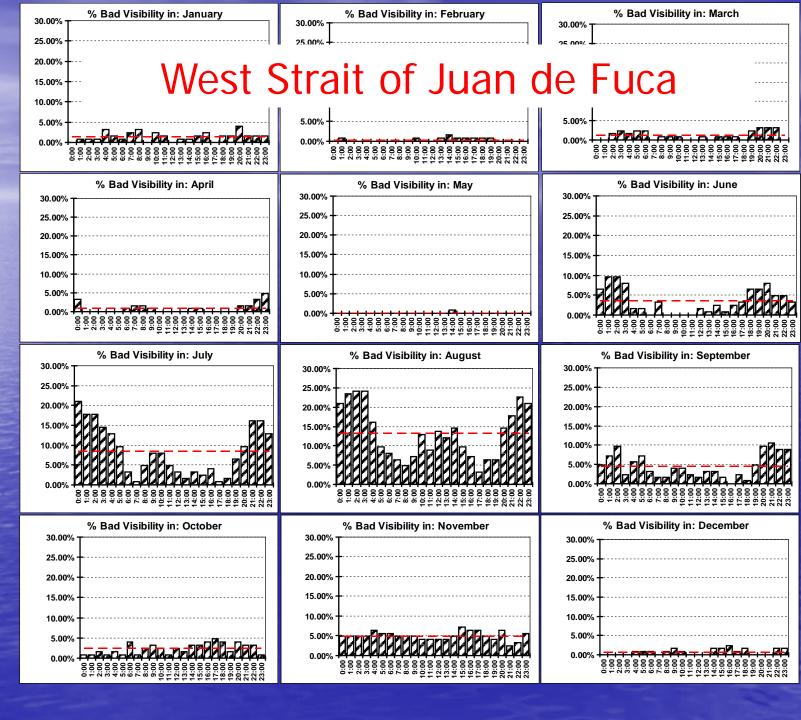
"In few parts of the world is the vigilance more called upon than when entering the Strait of Juan de Fuca from the Pacific in fog. Sea fog is the most common type, and it is at its worst from about July through October. Local land fog extends the visibility hazard into the winter. Fog is most frequent at the West end of the Strait. Here, visibilities drop to less than 0.75 mile on about 55 days annually, compared to about 35 days in the East end."

1st ()

2<sup>nd</sup> Q

3rd ()

4<sup>th</sup> Q

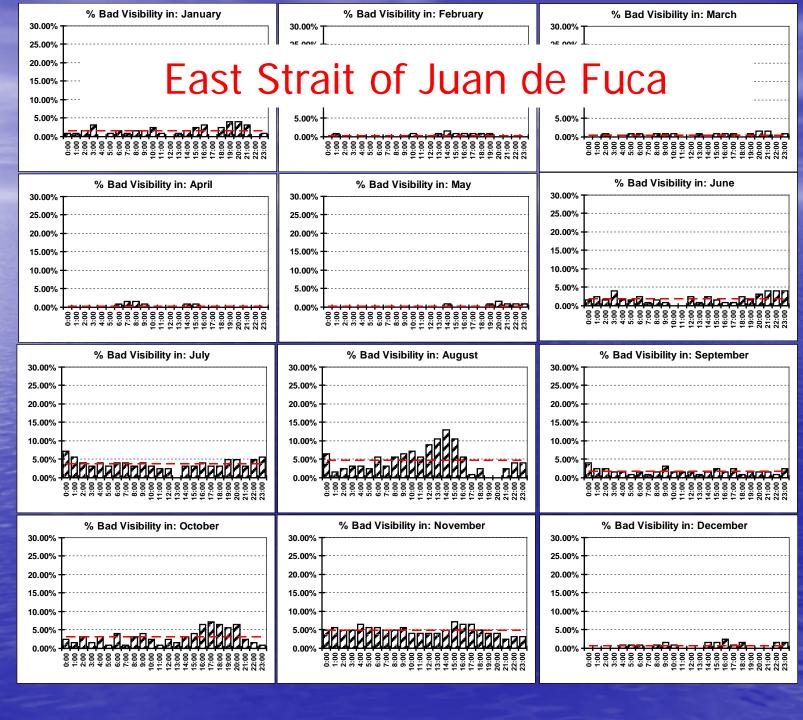


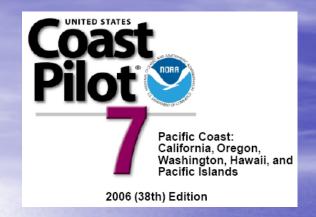
1st O

2nd O

3rd Q

4th Q





"In few parts of the world is the vigilance more called upon than when entering the Strait of Juan de Fuca from the Pacific in fog. Sea fog is the most common type, and it is at its worst from about July through October. Local land fog extends the visibility hazard into the winter. Fog is most frequent at the West end of the Strait. Here, visibilities drop to less than 0.75 mile on about 55 days annually, compared to about 35 days in the East end."

		Average 2002-2005				
	US Coast Pilot	Simulation				
	# Bad Visibility Days	# Bad Visibility Days				
West Strait of Juan De Fuca	55	54				
East Strait of Juan de Fuca	35	35				
Cherry Point	20	20				
Puget Sound North	25 to 40	28				
Puget Sound South	25 to 40	26				
Haro Strait Boundary Pass	Expert Judgment	19				
Rosario Strait	Expert Judgment	25				
Guemes Channel	Expert Judgment	18				
Saddle Bag	Expert Judgment	18				

## Further Refine with Expert Judgment

No anecdotal data from US
Coast Pilot for locations:
Haro St- B. Pass, Rosario
Strait, Saddle Bag and
Guemes Channel.

We use a questionnaire to refine visibility in the San Juan Islands area, since NOAA weather observations themselves do not allow us to model their particulars.

### **EXAMPLE QUESTION**

Please compare the two locations in terms of the percentage of time that vessel operate in restricted visibility (I.e. vessel are required to use their fog signal) in the specified quarter.

FIRST QUARTER: Jan - Feb - March

Location

Location

Haro St. - B. Pass

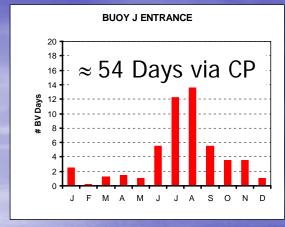
**Rosario Strait** 

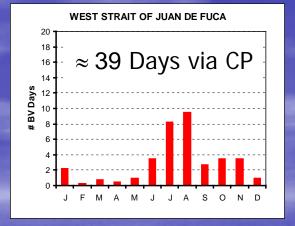
**Left Hand Side More** 

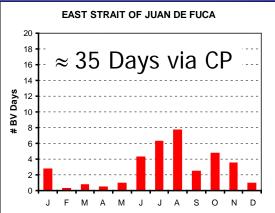
**Right Hand Side More** 

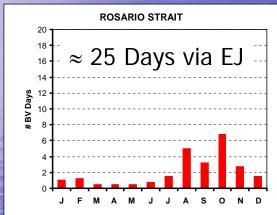
9 8 7 6 5 4 3 2 1 2 3 4 5 6 7 8 9

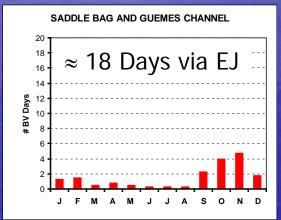
- 1 Same amount of time
- 3 Three times more
- 5 Five times more
- 7 Seven times more
- 9 Nine times or more

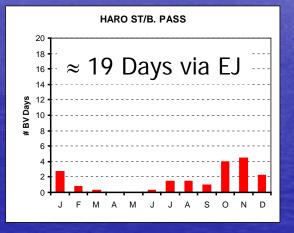


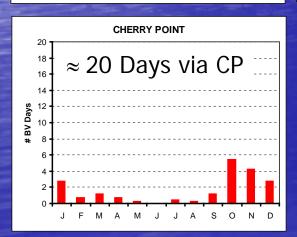


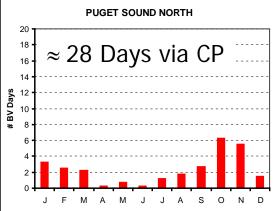


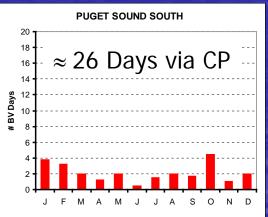












# Current Model Presentation: Assessment of Oil Spill Risk due to Potential Increased Vessel Traffic at Cherry Point, Washington

#### GWU Personnel:

Dr. Jack R. Harrald, Dr. J. Rene van Dorp, Dr. Greg Shaw, Dr. Thomas A. Mazzuchi, Adil Caner Sener

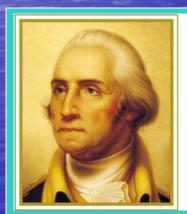
#### **RPI Personnel:**

Dr. Martha Grabowski, Zhi Zhou, Michael Steward

#### **VCU Personnel:**

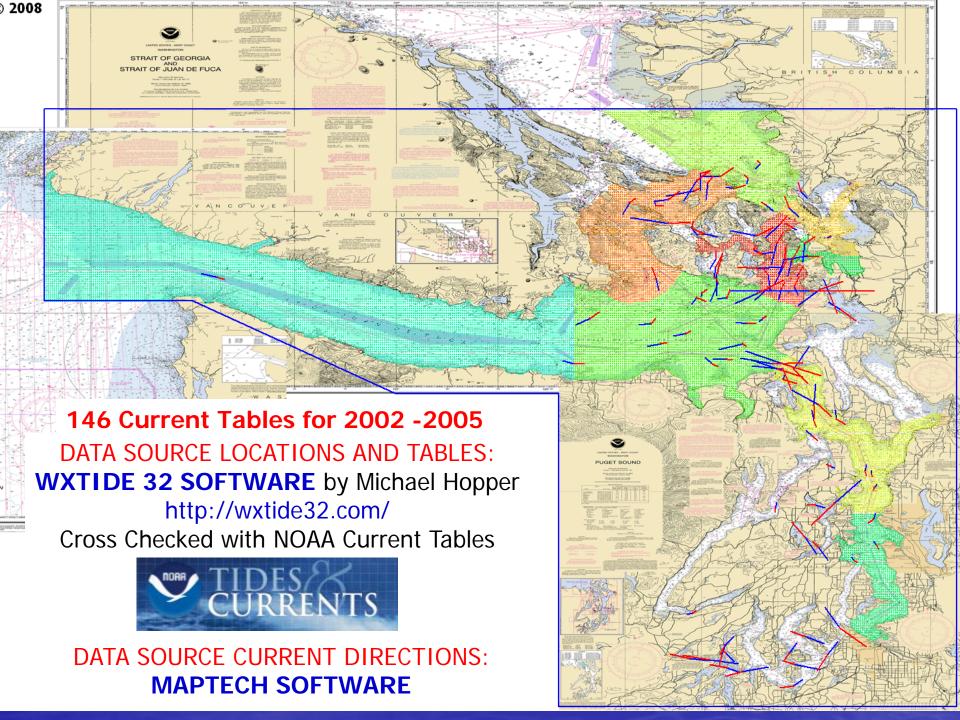
Dr. Jason R. W. Merrick, Kristina Werner

December 7, 2006



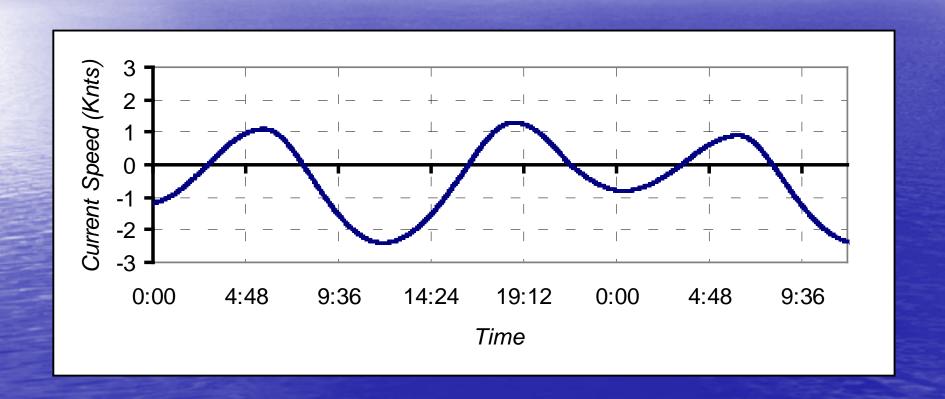
THE GEORGE
WASHINGTON
UNIVERSITY
WASHINGTON DC



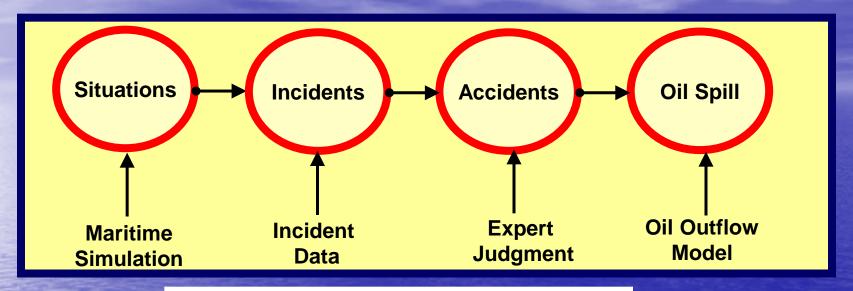


ID	Name	Lat	Long	RS	FD	ED	HTTM	нтнм	нтмм	нтм	LTTM	LTHM	LTMM	LTM	MF	ME
1	Admiralty Head	48.1500	122.700	2	145	25	+	0	03	1.29	+	0	07	1.2	2.1	3.1
2	Admiralty Inlet	48.0333	122.633	2	179	3	+	0	00	1	+	0	00	1	1.6	2.6
3	Agate Pass 1	47.7167	122.550	2	230	32	ı	1	00	0.8	+	0	59	0.69	0	0
4	Agate Pass 2	47.7128	122.565	2	216	37	+	0	53	2	+	0	47	1.39	3.3	3.6
5	Alden Point	48.7578	122.980	107	25	185	+	0	26	0.89	+	0	53	1.1	1	2.1
6	Alki Point	47.5755	122.428	2	160	330	+	0	44	0.3	+	0	39	0.2	0.5	0.5
7	Apple Cove Point	47.8167	122.466	2	168	8	+	0	11	0.3	+	0	29	0.3	0.5	0.8
8	Balch Passage	47.1875	122.697	126	296	107	-	1	07	0.4	+	0	40	0.8	1.1	2.2
9	Barnes Island	48.6858	122.788	107	315	140	+	1	20	0.6	+	0	08	0.5	0.6	0.9
10	Bellingham Channel	48.5603	122.663	107	45	185	-	0	80	1.1	+	0	51	1.2	1.2	2.2
11	Blake Island	47.5250	122.499	2	131	326	-	2	37	0.2	+	0	25	0.2	0.3	0.5
12	Boundary Pass	48.6953	123.235	107	41	203	-	0	34	1.6	+	0	02	1.39	0.7	1.6
13	Burrows Bay	48.4628	122.682	107	22	209	+	0	48	0.89	+	0	43	0.2	1	0.4
14	channel	47.4667	122.700	107	304	96	+	0	34	2	+	0	57	0.69	0	0
15	Burrows Island Light	48.4833	122.733	107	15	200	+	0	03	1	+	0	16	1.1	1.1	2.1
16	Bush Point Light	48.0333	122.616	2	144	309	+	0	21	1.1	+	0	35	1.1	1.7	2.9
17	Cattle Point 1	48.4338	122.947	108	340	195	+	0	20	0.3	+	0	01	0.89	0.8	2.4
18	Cattle Point 2	48.4000	123.000	2	46	187	-	0	52	0.4	+	0	42	0.2	0.6	0.4
19	Cattle Point 3	48.3833	123.016	2	120	210	+	1	11	0.6	+	0	44	0.3	0.9	0.9
20	Clark Island	48.7333	122.766	107	335	150	+	1	14	0.6	+	0	02	0.6	0	0
21	Colville Island 1	48.4000	122.816	107	55	235	+	0	31	1	+	0	07	1.2	1.1	2.3
22	Colville Island 2	48.4167	122.783	107	55	215	-	0	14	1.39	+	0	14	1	1.6	1.9
23	Crane Island	48.5895	122.998	108	288	75	+	0	35	0.2	+	0	07	0.1	0.4	0.3
24	Dana Passage	47.1633	122.867	126	249	76	+	0	09	0.5	+	0	12	0.8	1.5	2.2
25	Deception Island 1	48.4197	122.698	107	17	161	+	1	14	0.6	-	1	23	0.5	1.3	1.1
26	Deception Island 2	47.4000	122.700	107	35	210	-	0	04	1.2	-	2	29	0.6	0	0
27	Deception Island 3	48.4125	122.739	107	15	190	-	0	50	0.8	+	0	34	0.69	0.9	1.3
28	Deception Pass	48.4062	122.643	28	90	270	+	0	00	1	+	0	00	1	5.2	6.6
29	Discovery Island 1	48.3833	123.200	2	25	250	+	0	15	0.6	+	0	04	0.89	0	0
30	Discovery Island 2	48.4500	123.150	2	345	170	+	1	03	0.8	+	0	59	0.6	1.3	1.6

# Modeled Harmonic Curve betweem Eb, Slack, Flood, Eb, Slack, Flood, etc.



# An Oil Spill is a series of cascading events referred to as a Causal Chain

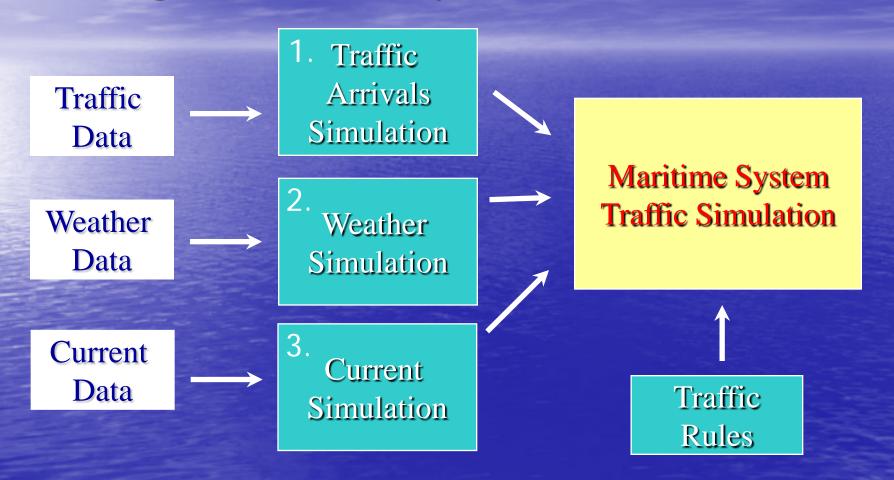


$$R = \{ \langle s_i, l_i, x_i \rangle \}_c$$
 Complete Set

Use Kaplan's (1997) definition of system risk in: "The Words of Risk Analysis", Risk Analysis 17 (4), 407-417

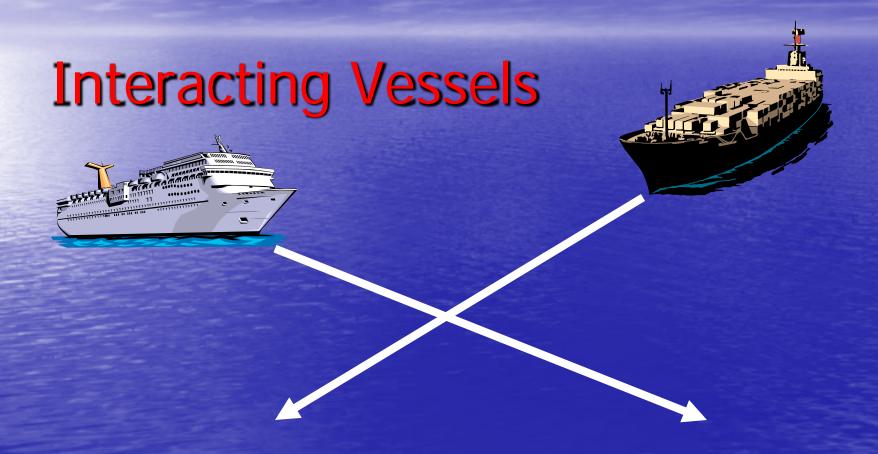
## Step 1b: Generate Accident Scenarios

Using The Maritime System Simulation Model

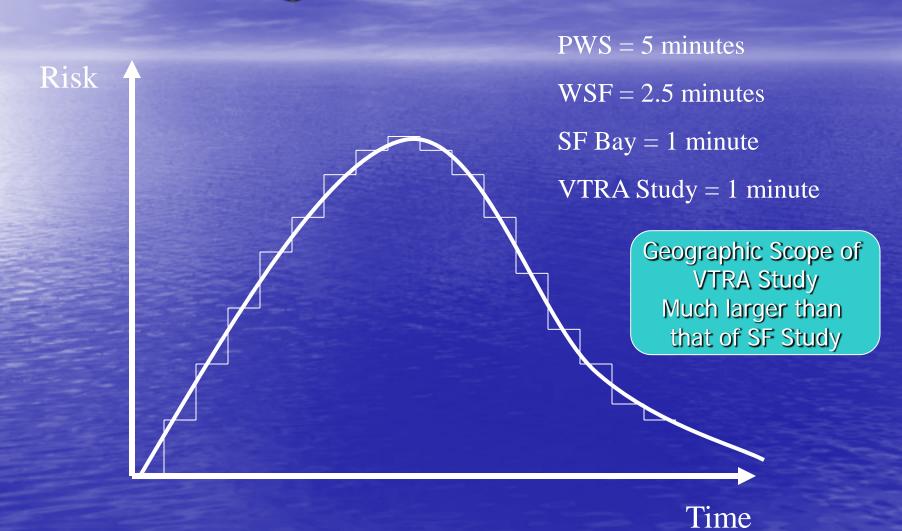


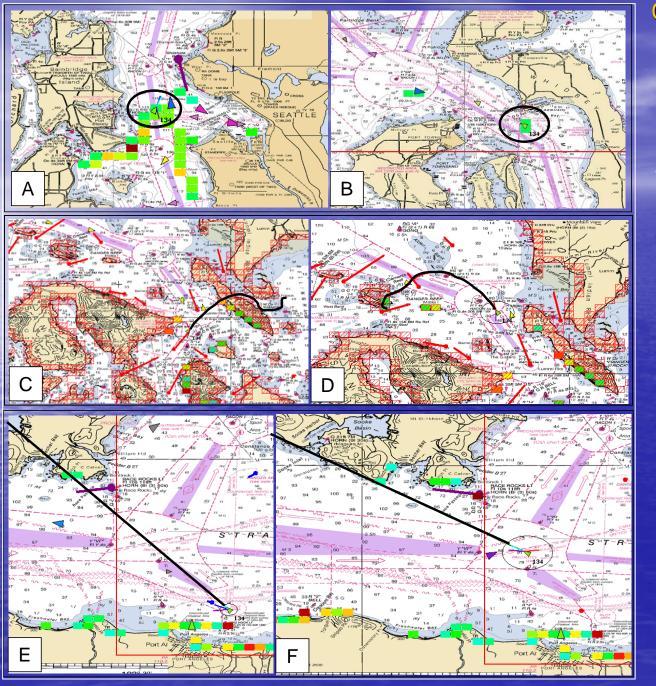
Required close cooperation with the USCG VTS and Puget Sound Harbor Safety Committee for data + validation

## Count Accident Scenarios



## Risk During Interactions



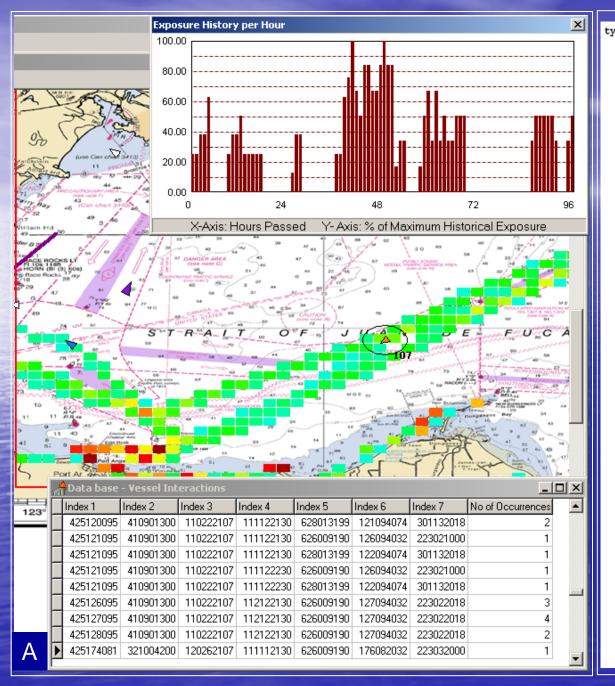


Generating Accident Scenarios:

Counting Collision Accident Scenario's

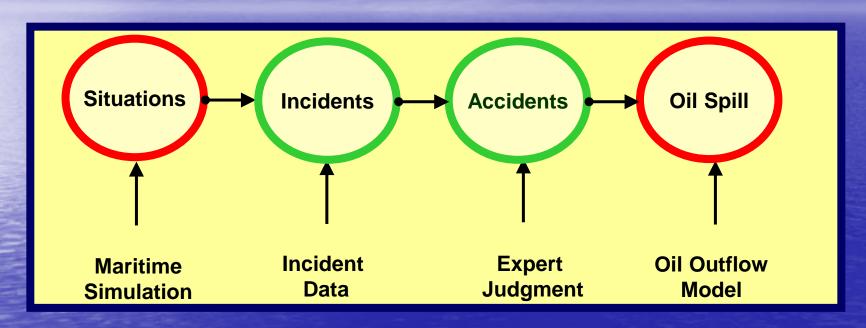
Counting Drift Grounding Accident Scenario's

Counting Powered Grounding Accident Scenario's



```
type INTERACTION - record
       lex number 1
                        :longint;
       lex_number_2
                        :longint:
       lex_number_3
                        :longint;
       lex number 4
                        :longint;
       lex_number_5
                        :longint;
       lex_number_6
                        :longint:
       lex_number_7
                        :longint;
       {Index 1 - VOI Location Info}
       Interaction Type
                           :longint; {4000000000
       VOI
                           :longint; { 26000000
       VOI X
                           :Longint: {
                                          500000
       VOI Y
                           :Longint; {
                                             500
       {Index 2 - VOI Attributes}
       VOI Location
                           :Longint: {9000000000}
       VOI Inbound Outbound: Longint: { 20000000
       VOI Speed
                           :Longint: { 3000000
       VOI DP
                           :longint; {
                                           12500)
       IV Cargo
                           :Longint: {
                                              20)
       IV Barge Type
                           :Longint; {
                                              5)
       {Index 3 - VOI Attributes}
       VOI Cargo
                           :Longint:
                                       {200000000
       VOI Tethered State :Longint:
                                          200000
       VOI Barge Type
                           :Longint;
                                           50000
       VOI Hook Up
                           :Longint;
                                            4000
       VOI_ID
                                             999
                           :longint;
       {Index 4 - Environment Info}
       Visibility
                           :longint:
                                       {20000000
       wind Direction
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                                       { 2000000
       Wind Speed
                           :longint;
                                          400000
       Current
                           :Longint;
                                           30000
       Current Direction
                           :Longint:
                                            3000
       N Vessels
                           :Longint:
                                             300
       Escort State
                           :Longint;
                                              201
       {Index 5 - Shore Interaction Location}
       Shore X
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       Shore Y
                           :Longint: {
                                          500000
       Time to Shore
                           :Longint; {
                                             300
       {Index 6 - Interacting Vessel Location}
       IV X
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       IV Y
                           :Longint: {
                                          500000
       IV DP
                           :Longint: {
                                             125
       {Index 7 - Interacting Vessel Info}
       IV TrafficScenario :Longint; {4000000000}
       IV TrafficType
                           :longint; { 25000000
       IV Speed
                           :Longint: {
                                          300000
       IV ProxVessel
                           :Longint: {
                                            2000
       IV InterAngle
                           :Longint: {
                                             180
     end;
```

# Step 2: Evaluate Accident Likelihood per Accident Scenario



Joint work with:

#### **VCU Personnel:**

Dr. Jason R. W. Merrick, and Team



#### **RPI Personnel:**

Dr. M. Grabowsku, and Team



# Gather Relevant Incident and Accident Data

- Accidents: Collisions, Powered Grounding, Drift Grounding and Allisions of Tank Ships and Tug\Tow Barges.
- Incidents: Vessel Propulsion Failure, Steering Failure, Navigational Aid Failure and Human Error.

This step involves pulling together data from multiple data sources ensuring no double counting and avoiding occurrence of missing data

## Summary Incident Data

- Tankers calling at BP
  - 11 years of data
  - Propulsion failures: 31
  - Steering failures: 11
  - Nav. aid failures: 10
- ATBs and ITBs calling at BP
  - 7.5 years of data
  - Propulsion failures: 3
  - Steering failures: 2
  - Nav. aid failures: 2

- Human error incidents are rarely recorded
  - 4 accidents have occurred in data collection period
  - 3 of these were caused by human error and 1 by mechanical failure
  - Use 1 to 3 multiplier on mechanical failure rates

Joint work with:



RPI Personnel: Dr. Martha Grabowski, Zhi Zhou, Michael Steward, Brittany Steward, Huawei Song, Zhuyu You 81

## Summary Accident Data

11 years of data, 4 accidents

### 1 collision

 The tanker Allegiance and its escort tug Sea King collided in Straits of Juan de Fuca east

### 1 grounding

ITB New York dragged anchor and grounded in 55 knots winds off March Point

### 2 allisions

- Tanker Leyte Spirit allides with dock when trying to leave dock in high winds and seas
- Tanker Overseas Arctic allides with piling bracket when docking at Tacoma

Joint work with:

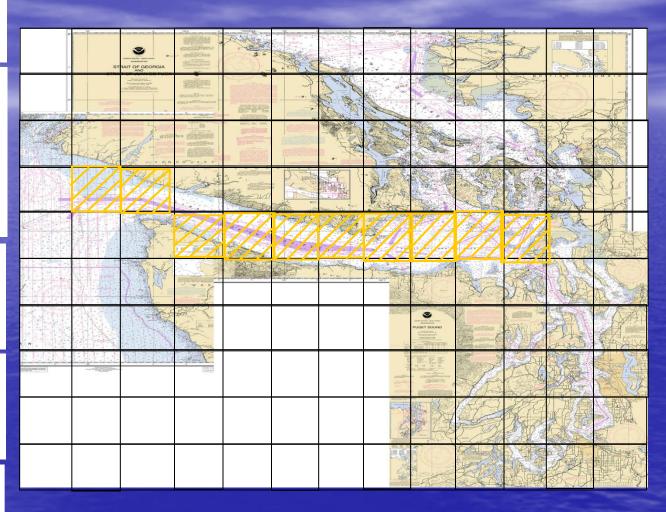


RPI Personnel: Dr. Martha Grabowski, Zhi Zhou, Michael Steward, Brittany Steward, Huawei Song, Zhuyu You 82

## Calibration to Accident Data

- Counting Grid: 130
   Grid Cells
- Suppose we have a we a total of 130
   Vessel Interactions evenly in 10 Grid
   Cells over the area.
- Suppose we have a we have a total of 1 Collision in our Data.
- Suppose all interactions are the same

 $Pr(Collision per 1 \\ Interaction) = 130$ 

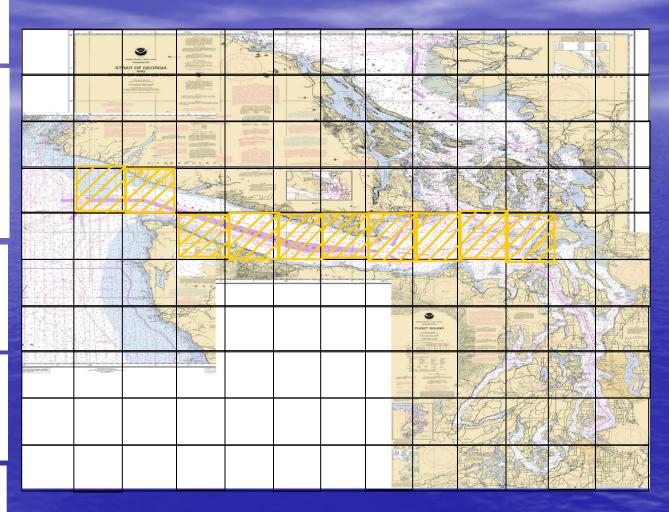


Calibration Step means that in Base Case Simulation the accident rate per year is the same as the historically observed accident Rate 83

## Calibration to Accident Data

- Counting Grid: 130
   Grid Cells
- Suppose we have a
  we a total of 130
   Vessel Interactions
  evenly in 10 Grid
  Cells over the area.
- Suppose we have a we have a total of 1 Collision in our Data.
- Suppose all interactions are the same

 $Pr(Collision per 1 \\ Interaction) = 130$ 

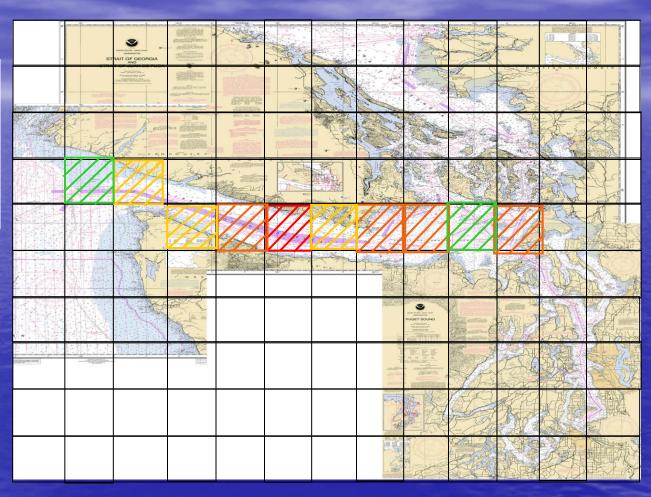


OF A COLLISION IS NOT THE SAME
FOR EVERY INTERACTION

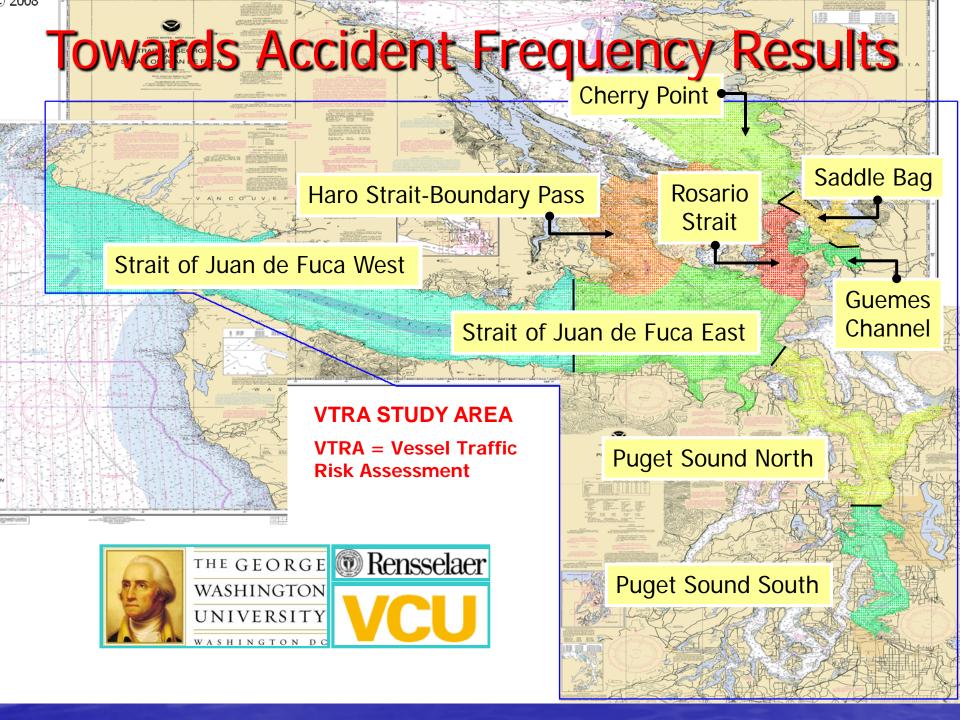
## Calibration to Accident Data

- Counting Grid: 130
   Grid Cells
- Suppose we have a we a total of 130
   Vessel Interactions evenly in 10 Grid
   Cells over the area.
- Suppose we have a we have a total of 1 Collision in our Data.
- Suppose all interactions are the same

 $Pr(Collision per 1 \\ Interaction) = 130$ 



CALLIBRATE SO OVERALL ACCIDENT RATE REMAINS THE SAME, BUT ACCOUNT FOR RELATIVE LIKELIHOOD OF ACCIDENTS IN DIFFERENT INTERACTIONS



## Accident Attributes Tanker Model

LOCATION	DIRECTION	CARGO	ESCORTS	TETHERED
Cherry Point Area	Inbound	Unladen	2 Escorts	tethered
Puget Sound South	Outbound	Laden	1 Escort	untethered
Strait of Juan de Fuca East			No Escorts	
Strait of Juan de Fuca West				
Puget Sound North				
Saddle Bag Area				
Rosario Strait				
Haro Strait\Boundary Pass				
Guemes Channel				

VESSEL TYPE	TRAFFIC PROXIMITY	TRAFFIC SCENARIO
Tug without Barge	1 to 5 miles	Crossing Astern
Tug ATB's or ITB's	Less than 1 mile	Meeting
Tug Pushing Ahead		Overtaking
Container		Crossing the Bow
Tanker		
Bulk carrier		
Freighter		
Passenger vessel		
Service vessel		
Public vessel		
Fishing Vessel		
Tug Towing Astern		
Recreational Vessel		

VISIBILITY	WD	WIND SPEED	CURRENT	CUR_DIR
More than 0.5 mile	Along Vessel	Less than 10 knots	Almost Slack	Along Vessel - Opposite
Less than 0.5 mile	Abeam Vessel	20 knots	Max Eb or Max Flood	Along Vessel - Same Dir.
		30 knots		Abeam Vessel
		More than 40 knots		

## Accident Attributes Tug Model

LOCATION	DIRECTION	CARGO	HOOKUP
Cherry Point Area	Inbound	No Barge	No Barge
Puget Sound South	Outbound	Unladen Barge	ATB or ITB
Strait of Juan de Fuca East		Laden Container Barge	Pushing Ahead
Strait of Juan de Fuca West		Laden Bulk Cargo Barge	Towing Astern
Puget Sound North		Laden Derrick/Crane Barge	
Saddle Bag Area		Laden Oil Barge	
Rosario Strait		Log Tow	
Haro Strait\Boundary Pass			
Guemes Channel			

VESSEL TYPE	TRAFFIC PROXIMITY	TRAFFIC SCENARIO
Tug without Barge	1 to 5 miles	Crossing Astern
Tug ATB's or ITB's	Less than 1 mile	Meeting
Tug Pushing Ahead		Overtaking
Container		Crossing the Bow
Tanker		
Bulk carrier		
Freighter		
Passenger vessel		
Service vessel		
Public vessel		
Fishing Vessel		
Tug Towing Astern		
Recreational Vessel		

VISIBILITY	WD	WIND SPEED	CURRENT	CUR_DIR
More than 0.5 mile	Along Vessel	Less than 10 knots	Almost Slack	Along Vessel - Opposite
Less than 0.5 mile	Abeam Vessel	20 knots Max Eb or Max F		Along Vessel - Same Dir.
		30 knots		Abeam Vessel
		More than 40 knots		

# Conduct Expert Judgment Elicitations via Questionnaires

Q30		
Situation 1	TANKER DESCRIPTION	Situation 2
Strait of Juan de Fuca East	Location	-
Inbound	Direction	-
Laden	Cargo	-
1 Escort	Escorts	-
Untethered	Tethering	-
	INTERACTING VESSEL	
Shallow Draft Pass. Vessel	Vessel Type	-
Crossing the Bow	Traffic Scenario	-
Less than 1 mile	Traffic Proximity	-
	WATERWAY CONDITIONS	
More than 0.5 mile Visibility	Visibility	Less than 0.5 mile Visibility
Along Vessel	Wind Direction	-
Less than 10 knots	Wind Speed	-
Almost Slack	Current	-
Along Vessel - Opposite Direction	Current Direction	-
More?:	9 8 7 6 5 4 3 2 1 2 3 4 5 6 7 8 9	: More?
Situation 1 is worse	<======>	Situation 2 is worse

Example of potential experts: USCG VTS Operators, Puget Sound Pilots, Tanker Captains and First Mates, Tug Captains and First Mates, etc.

### Collision Probability Model:

$$Pr(Collision|Incident, \underline{X}) = P_0exp\{\underline{\beta}^T\underline{X}\},$$

#### Collision Question j, j=1,..., n:

$$\frac{Pr(Collision|Incident,\underline{X}_{1}^{j})}{Pr(Collision|Incident,\underline{X}_{2}^{j})} = exp\Big\{\underline{\beta}^{T}[\,\underline{X}_{1}^{j}-\underline{X}_{2}^{j}]\Big\}$$

$$\ln\left[\frac{Pr(Collision|Incident,\underline{X}_{j}^{1})}{Pr(Collision|Incident,\underline{X}_{j}^{2})}\right] = \underline{\beta}^{T}q_{j},\,q_{j} = [\underline{X}_{j}^{1} - \underline{X}_{j}^{2}]$$

#### Expert Responds to Question j:

$$\frac{Pr(Collision|Incident,\underline{X}_{1}^{j})}{Pr(Collision|Incident,\underline{X}_{2}^{j})} = y_{j} \Rightarrow \ln(y_{j}) = \underline{\beta}^{T}q_{j}$$

### Accident Probability Model + Data

 $Pr(Accident|Incident, \underline{X}) = P_0 Exp(\underline{\beta}^T \underline{X}).$ 

Expert response vector  $\mathcal{Z}=(z_1,\ldots,z_n)$  to questions organized in questionnaire matrix  $Q=[q_1,\ldots,q_n]$ 

$$z_j = extbf{ln}(y_j) = oldsymbol{eta}^T q_j, \, (Z_j | \mu_j, r) \sim N(\mu_j, \, r), \, \mu_j = oldsymbol{eta}^T q_j, \, r = oldsymbol{eta}^T q_j, \, r$$

#### Likelihood

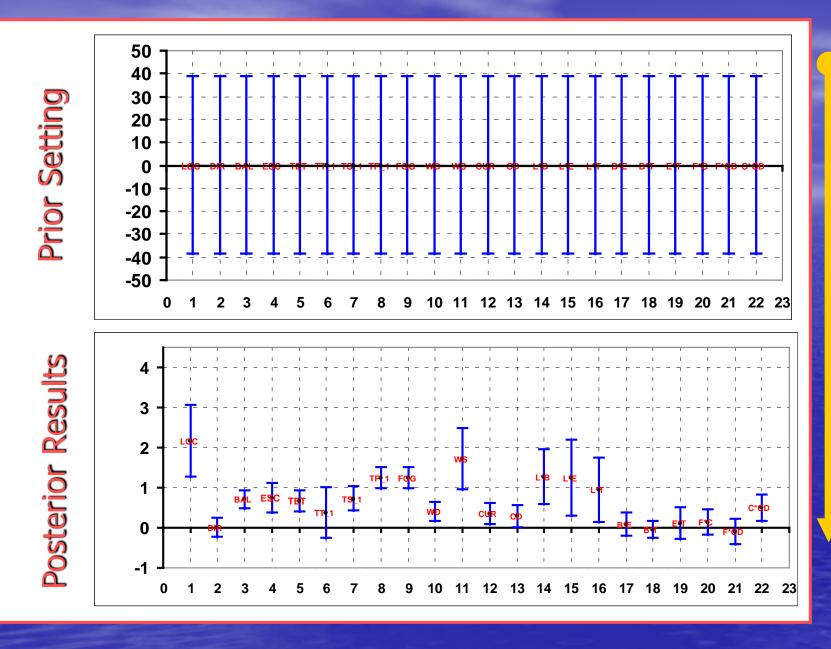
 $\mathcal{L}(\mathcal{Z}|\underline{\beta},r,Q) \propto r^{\frac{n}{2}} exp \left\{ -\frac{r}{2} (c-2\underline{b}^T \underline{\beta} + \underline{\beta}^T A \underline{\beta}) \right\}$ 

$$A = \sum_{j=1}^{n} q_j q_j^T; \, \underline{b} = \sum_{j=1}^{n} z_j q_j; \, c = \sum_{j=1}^{n} z_j^2$$

#### Prior distribution

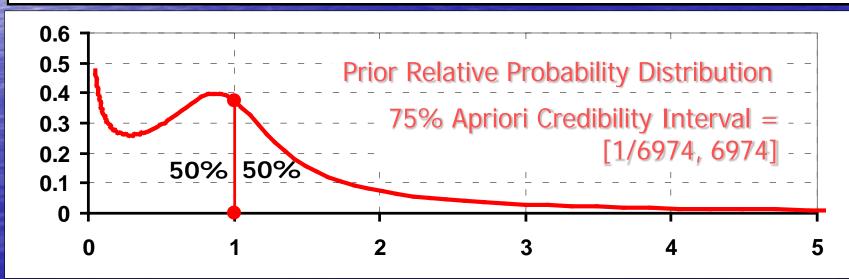
 $\prod \left( \ \underline{\beta} \ , \ r \ \right) \propto r^{\frac{\alpha}{2}-1} \exp(\ -\frac{r}{2}\nu) \times r^{\frac{p}{2}} \exp\left\{ \ - \ \frac{r}{2} (\underline{\beta} - \underline{m})^T \Delta (\underline{\beta} - \underline{m}) \right\}.$ 

In: P. Szwed, J. Rene van Dorp, J.R.W.Merrick, T.A. Mazzuchi and A. Singh (2006). "A Bayesian Paired Comparison Approach for Relative Accident Probability Assessment with Covariate Information", European Journal of Operations Research, Vol. 169 (1), pp. 157-177.



In: P. Szwed, J. Rene van Dorp, J.R.W.Merrick, T.A. Mazzuchi and A. Singh (2006). "A Bayesian Paired Comparison Approach for Relative Accident Probability Assessment with Covariate Information", European Journal of Operations Research, Vol. 169 (1), pp. 157-177.

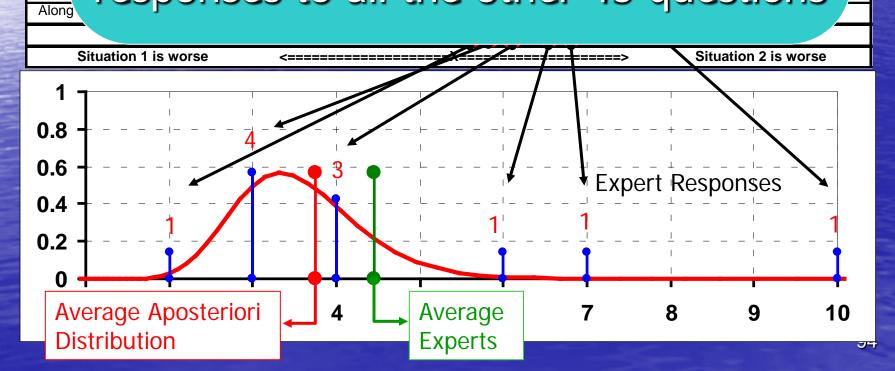
Situation 1	TANKER DESCRIPTION	Situation 2
Strait of Juan de Fuca East	Location	-
Inbound	Direction	-
Laden	Cargo	-
1 Escort	Escorts	-
Untethered	Tethering	-
	INTERACTING VESSEL	
Shallow Draft Pass. Vessel	Vessel Type	-
Crossing the Bow	Traffic Scenario	-
Less than 1 mile	Traffic Proximity	-
	WATERWAY CONDITIONS	
More than 0.5 mile Visibility	Visibility	Less than 0.5 mile Visibility
Along Vessel	Wind Direction	-
Less than 10 knots	Wind Speed	-
Almost Slack	Current	-
Along Vessel - Opposite Direction	Current Direction	-
More?:	9 8 7 6 5 4 3 2 1 2 3 4 5 6 7 8 9	: More?
Situation 1 is worse	<========>	Situation 2 is worse



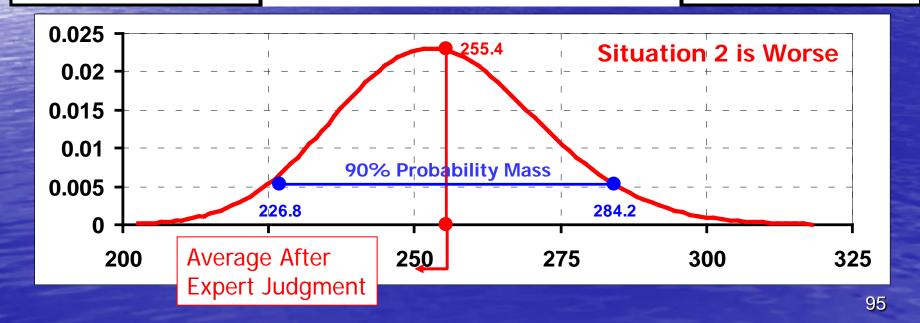


St

Average of A posteriori distribution is different than the Average of the expert responses since we combine in this average also the information of the expert responses to all the other 43 questions



Situation '	1	TANKER DESCRIPTION	Situation 2		
Rosario Stra	ait	<b>Location</b> Guemes Channe			
Inbound		<b>5</b>	-		
Laden	V A AL - 11				
1 Escor	While	e Expert questions var	V ON V orts		
One Tethe			Ji Ou		
	$\bigcirc$ ne	e attribute at a time we	may		
Shallow Draft Pa					
Crossing the	Nic	w vary multiple attribu	Itas		
Less than 1	1100	ow vary multiple attributes			
More than 0.5 mile	Visibility	Visibility	-		
Along Vessel		Wind Direction	-		
Less than 10 knots Wind Speed		-			
Almost Slack Current			-		
Along Vessel - Same Direction		Current Direction	-		



# Organizations Participating in Expert Judgment Elicitations

- 1. Puget Sound Pilots
- 2. ATC
- 3. US and Canadian Tug Companies operating in the VTRA study area:

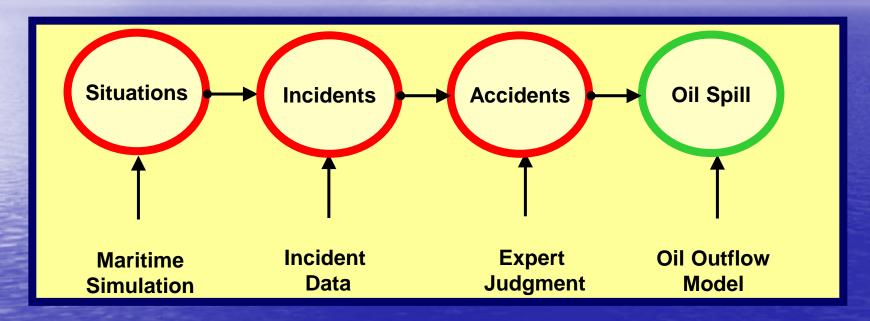
US-Based: Foss, Crowley, Olympic Tug and Barge (US), K-Sea, Sea Coast, Sause Bros.

Canadian Based: Seaspan, Island Tug and Barge

- 4. The Washington State Ferries
- 5. Seattle sector US Coast guard VTS.

	1	1				
	38 EXPERTS - Numbers indicate years sailing	CUMULATIVE	7			
9 QUESTIONNAIRES	experience in VTRA Study area	EXPERIENCE (YRS)	SESSIONS			
Bradley-Terry Pair Wise Comparison	7 PILOTS (42,34,32,25,16,16)	186	Dec-06			
Location Questionnaire	6 TUG OPERATORS (39, 30, 30, 30, 15, 12)	156 94	Feb-07			
	4 FERRY OPERATORS (31, 30, 25, 8) 2 PORT CAPTAINS (27, 25)	52				
	1 VTS WATCH (25)	25				
Bradley-Terry Pair Wise Comparison	7 PILOTS (42,34,32,25,16,16)	186	Dec-06			
Traffic Scr						
	Summary of Expen					
Brac 1st	dgment Data Sou	rce	)6 )7			
Brac • A total of	9 questionnaires		7			
2nd	•		)7			
o 38 eyner	s over 7 separate el	icitation	)7			
· · · · · · · · · · · · · · · · · · ·	over 7 separate er	ICILALIOII	)7			
Tug coccione di	charged aver a 1 var	ne posical	)7			
585510115 CII	spersed over a 1 yea	ar penioa.	17			
ran	•	· · · · · · · · · · · · · · · · · · ·	7			
Give • Comoine	d numbers of years s	salling	,			
Tan			7			
Acci experienc	e exceeds 922 years		7			
3.1.5.	e checeds see years	<b>)</b>				
Given						
Given Hu. Given Near By Vessel Failure						
Tug Pair Wise Situation Accident	7 TUG OPERATORS (53, 21, 20, 32 30, 28, 18)	202	Aug-07			
Probability Questionnaires	2 PORT CAPTAINS (32, 30)	52	Sep-07			
iven Propulsion Failure						
Tug Pair Wise Situation Collision						
Accident Probability Questionnaires	2 PORT CAPTAINS (32, 30)	52	Aug-07 Sep-07			
Given Steering Failure,			Dec-07			
Given Navigational Aid Failure						
Given Human Error						
Given Near By Vessel Failure						

# Step 3: Evaluate Consequence per Accident Scenario





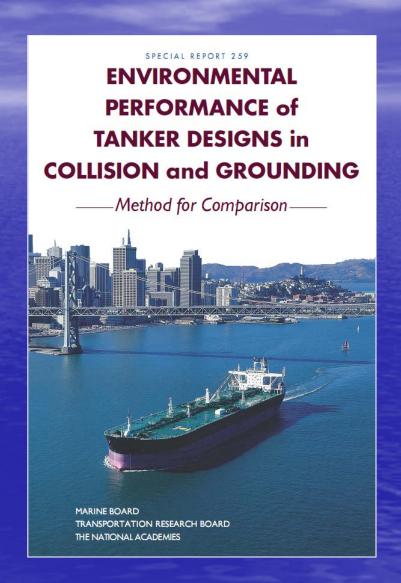
TU Delft
Personnel:
Giel van de Wiel

### NATIONAL RESEACH COUNCIL SPECIAL REPORT 259

"Given the status of previous efforts to establish a methodology for comparing the environmental performance of alternative tanker designs, the committee concluded that the development of a new approach was warranted."

"The committee ran a total of 80,000 accident scenarios: 10,000 collision and 10,000 grounding events for each of two designs (single-hull and double-hull) of the two different sizes (150,000 and 40,000 DWT)."

**Quoted from: NRC Special Report 259** 



#### NATIONAL RESEACH COUNCIL SPECIAL REPORT 250 ENVIRONMENTAL PERFORMANCE of

- 10,000 collision +
- 10,000 grounding scenarios
  - Applied to 4 tanker designs:



 Use physical damage simulation software SIMCOL and DAMAGE to generate oil outflows (Computationally extensive)

TANKER DESIGNS in **COLLISION and GROUNDING** 

# For our Oil Outflow model development computational efficiency is a requirement

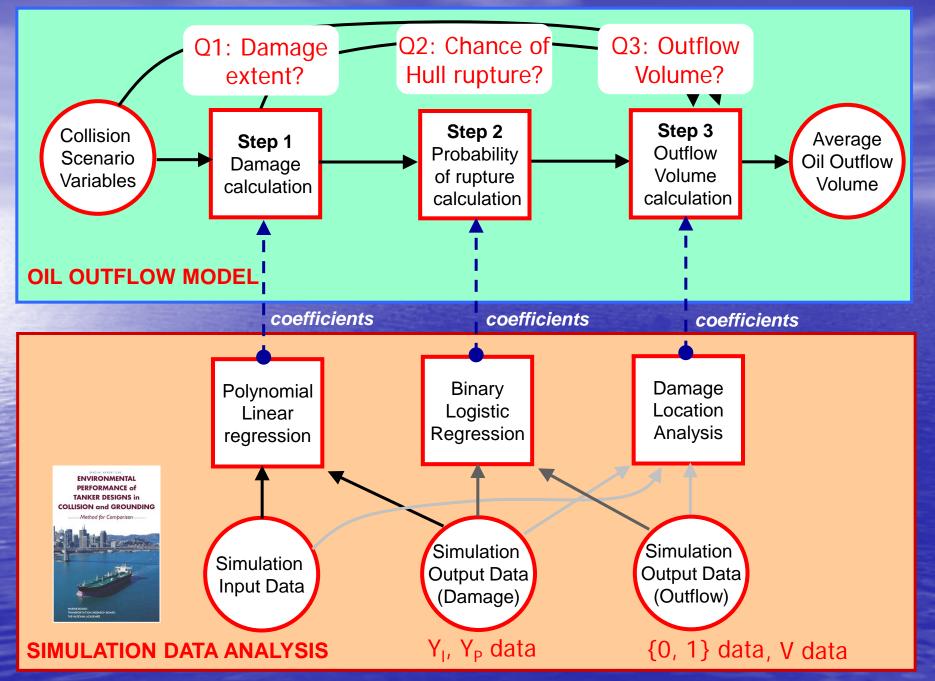
A baseline system risk analysis using our maritime risk simulation generated:

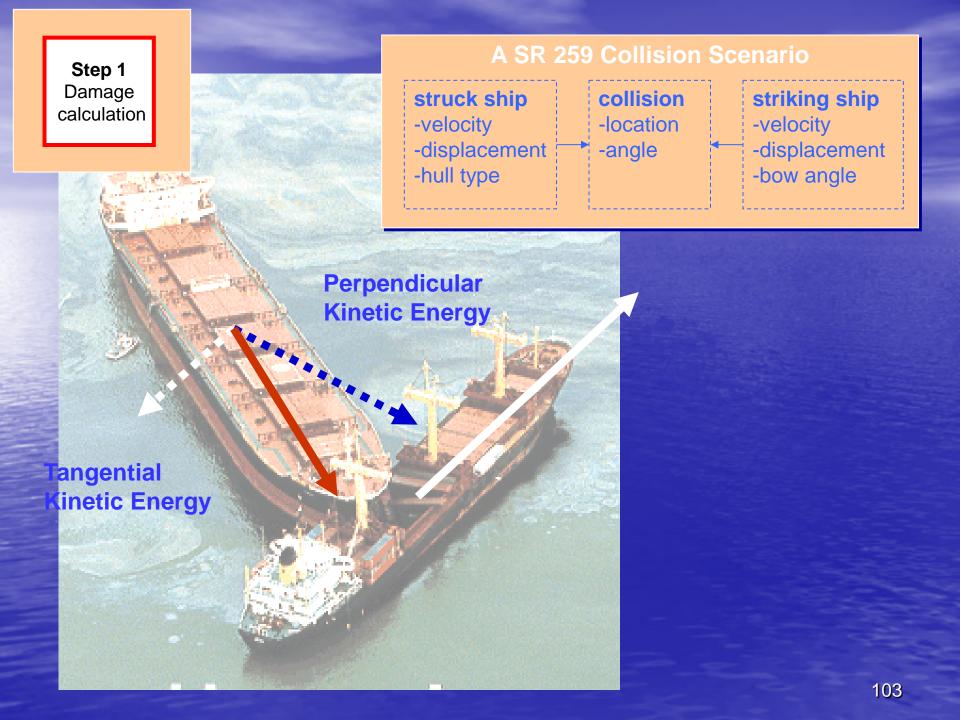
157,670 collision and 1,236,603 grounding scenarios

Scope: collision and grounding scenarios

### Answers 3 questions:

- 1. Given accident scenario what is the damage extent?
- 2. What is the probability of outflow given the damage extent?
- 3. Which compartments are affected, i.e. what is the total outflow volume?



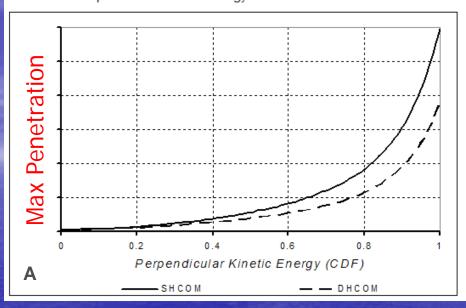


## Step 1 Damage calculation

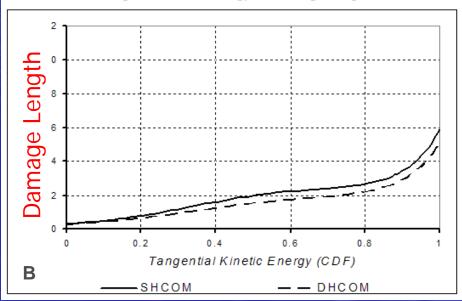
R<sup>2</sup> approx 70%

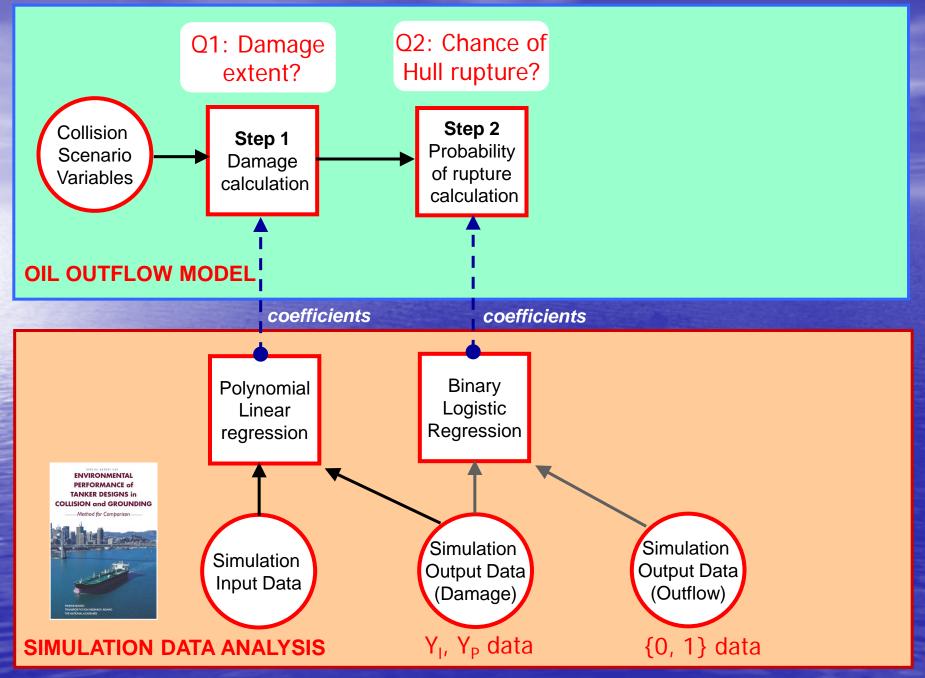
	SH40	SH150	SHCOM	DH40	DH150	DHCOM
number of data points	7467	7473	14940	7454	7466	14920
$R^2$ -value	70.9%	68.1%	68.9%	71.5%	69.9%	70.6%
Mallows $C_p$ -value	19.0	19.8	13.1	14.2	24.0	16.0
Coefficients						ĺ
$\beta_0$	-2.914	-2.661	-2.982	-2.931	-2.786	-2.632
$\beta_{1,1}$	3.078	-1.215	2.246	2.128	2.047	-0.117
$\beta_{2,1}$	5.550	5.303	5.231	6.180	4.692	4.670
$\beta_{3,1}$	0.031	-2.493	-3.369	0.708	-3.224	-1.973
$\beta_{4,1}$	0.546	1.613	1.188	0.655	1.429	1.155
$\beta_{5,1}$	-	-	0.223	-	-	0.052
$\beta_{1,2}$	-	10.181	0.687	0.598	-	5.792
$\beta_{2,2}$	-	-	-	-5.563	-	-
$\beta_{3,2}$	-	20.261	25.010	-	24.187	16.819
$\beta_{4,2}$	-	-0.931	-0.560	-	-0.784	-0.566
$\beta_{5,2}$	-	-	-	-	-	-
$\beta_{1,3}$	-	-8.145	-	-	-	-
$\beta_{2,3}$	-11.982	-6.405	-6.750	-	-5.410	-5.756
$\beta_{3,3}$	-	-68.750	-75.742	-13.309	-69.908	-53.668
$\beta_{4,3}$	-	-	-	-0.158	-	-
$\beta_{5,3}$	-	-	-	-	-	-
$\beta_{1,4}$	-2.924	-	-	-	-	-10.900
$\beta_{2,4}$	9.403	-	-	-	-	-
$\beta_{3,4}$	-	94.811	96.400	27.442	85.081	69.372
$\beta_{4,4}$	-	-	-	-	-	-
$\beta_{5,4}$	-	-	-	-	-	-
$\beta_{1,5}$	2.823	2.008	-	-	0.542	7.798
$\beta_{2,5}$	-	4.134	4.529	2.291	3.724	4.031
$\beta_{3,5}$	-0.480	-44.783	-43.224	-15.354	-36.872	-31.216
$\beta_{4,5}$	-	-	-	-	-	-
$\beta_{5,5}$	-	-	-	-	-	-

#### Perpendicular Kinetic Energy vs. Maximum Penetration



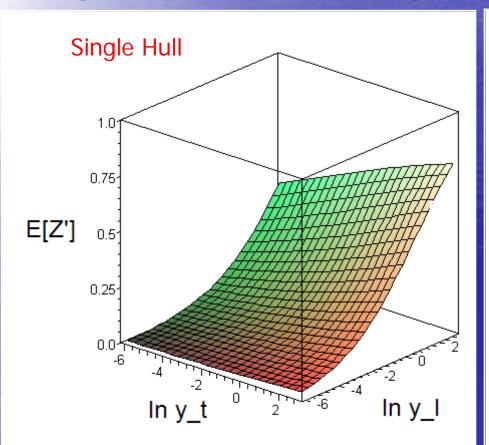
#### Tangential Kinetic Energy vs. Damage Length



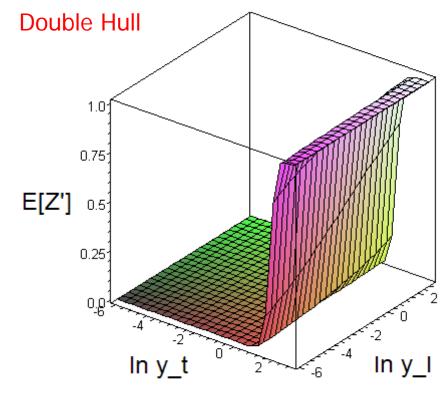


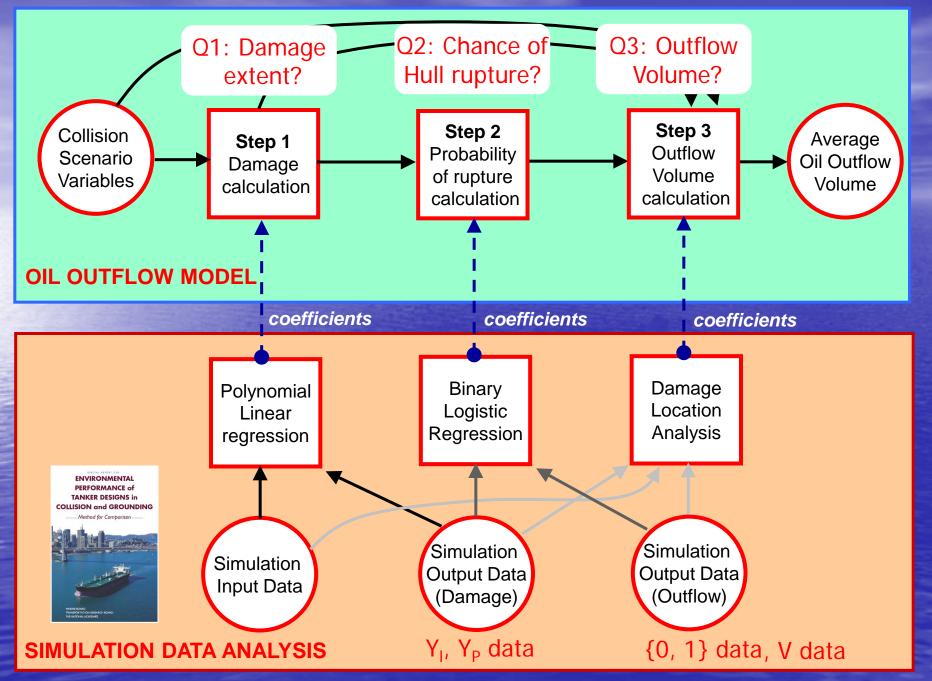
Step 2
Probability
of rupture
calculation

Gradual Function of both Longitudinal and Transversal Damage



Almost a Step Function in Transversal Damage only

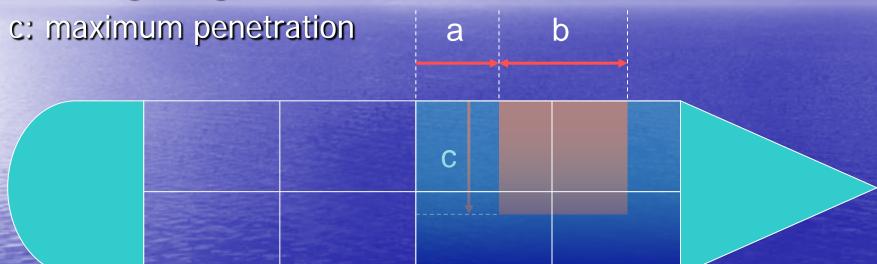




## Outflow Volume (Collisions)

a: location from mid ship

b: damage length



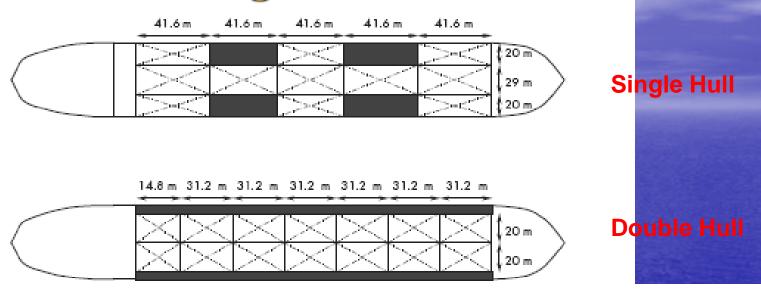
Assumption 1: worst case scenario:

damage area is a square

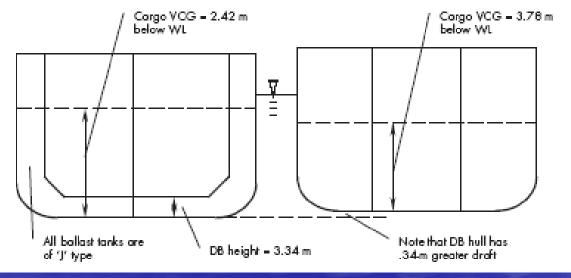
Assumption 2: worst case assumption:

all oil from a penetrated compartment is lost

#### Tanker Configurations 150 kT

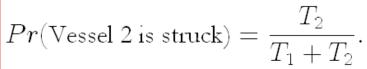


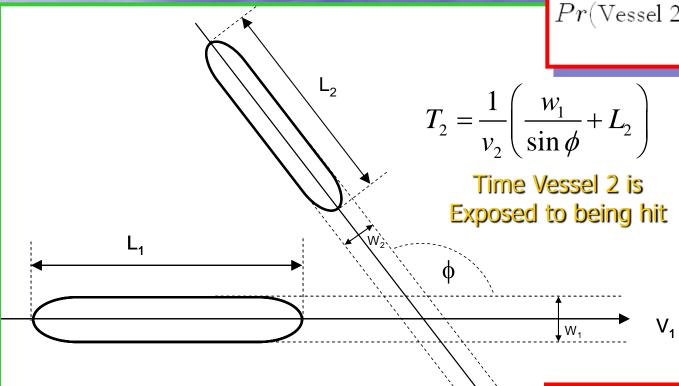
Taken From NRC 259 Report



#### Other Aspects of Oil Outflow model

Struck Probability Model





Vessel Size 
Struck Prob.

Vessel Speed ↑
Struck Prob. ↓

$$T_1 = \frac{1}{v_1} \left( \frac{w_2}{\sin \phi} + L_1 \right)$$

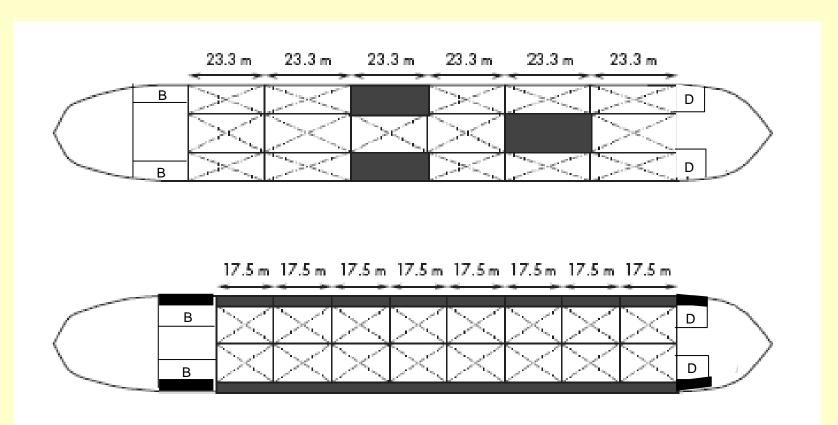
$$Pr(\text{Vessel 1 is struck}) = \frac{T_1}{T_1 + T_2}$$

#### Other Aspects of Oil Outflow model

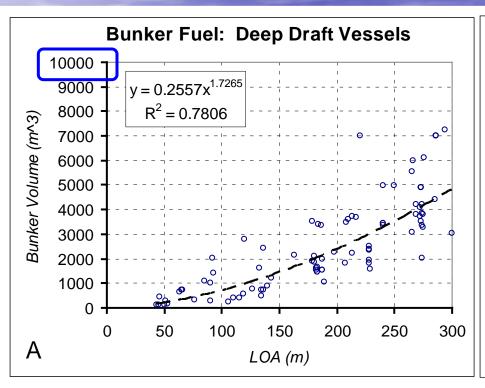
Fuel Losses of Tankers and other Deep Draft Vessels

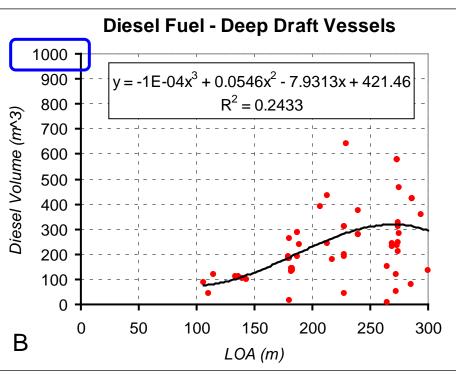
Worst Case assumptions for locations of Bunker Fuel and Diesel Fuel

Tanker Configurations 40 kT

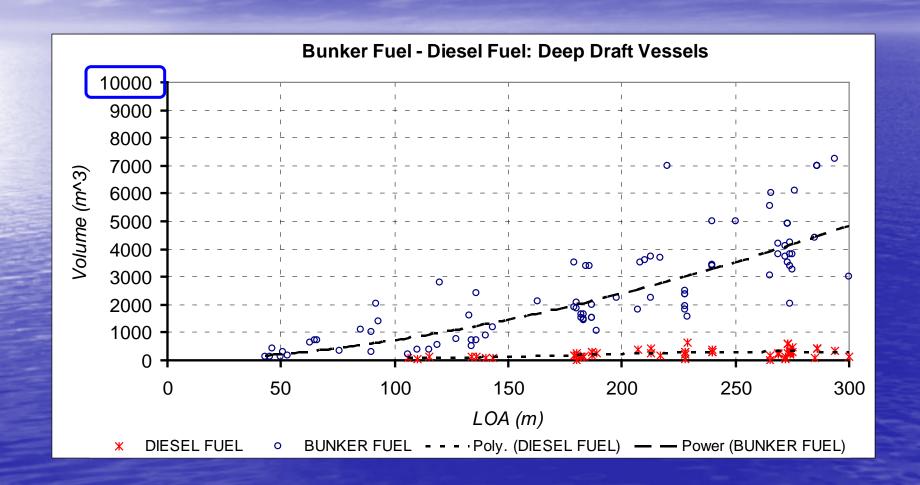


### Other Aspects of Oil Outflow model Fuel Losses of Tankers and other Deep Draft Vessels





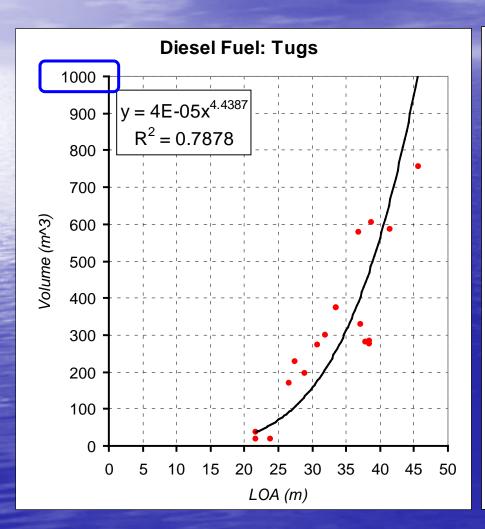
### Other Aspects of Oil Outflow model Fuel Losses of Tankers and other Deep Draft Vessels

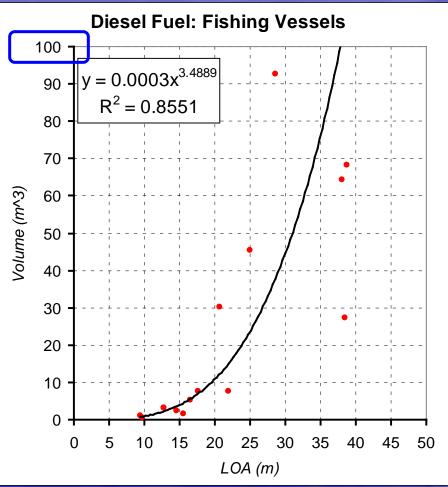


### Other Aspects of Oil Outflow model Fuel Losses of WSF Ferries and like Ferries

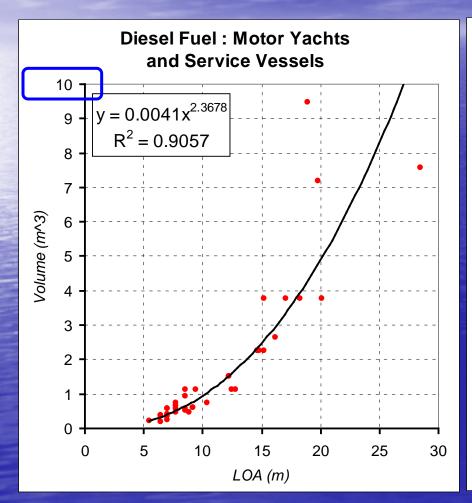
WSF Ferry	Class	Total Fuel Capacity (in Gallons)	Number of Fuel Tanks	Location Fuel Tank (Mid-Ship, Starboard, Port)	Approximate length Fuel Tank	Approximate width Fuel Tank
Puyallup	Jumbo Mark II	110385	2	#1 Centerline #2 Centerline	37	30
Tacome	Jumbo Mark II	110385	2	#1 Centerline #2 Centerline	37	30
Wenatchee	Jumbo Mark II	110385	2	#1 Centerline #2 Centerline	37	30
Spokane	Jumbo	125000	2	#1 Centerline #2 Centerline	40	35
Walla Walla	Jumbo	125000	2	#1 Centerline #2 Centerline	40	35
Elwha	Super	62372	3	Port Center STB (MID)	27	24
Hyak	Super	77683	3	Port Center STB (MID)	27	24
Kaleetan	Super	77683	3	Port Center STB (MID)	27	24
Yakima	Super	77683	3	Port Center STB (MID)	27	24
				Wing Port, Deep Port Deep STB		#2&3 fuel oil tks. 22'-6"W,
Cathlamet	Issaquah 130	115400	4	Wing STB (MID)	1&4 13'6" 2&3 27'	1&4 fuel oil tks.14'-0"W
				Wing Port, Deep Port Deep STB		#2&3 fuel oil tks. 22'-6"W,
Chelan	Issaquah 130	115400	4	Wing STB (MID)	1&4 13'6" 2&3 27'	1&4 fuel oil tks.14'-0"W
				Wing Port, Deep Port Deep STB		#2&3 fuel oil tks. 22'-6"W,
Issaquah	Issaquah 130	115400	4	Wing STB (MID)	1&4 13'6" 2&3 27'	1&4 fuel oil tks.14'-0"W
				Wing Port, Deep Port Deep STB		#2&3 fuel oil tks. 22'-6"W,
Kitsap	Issaquah 130	115400	4	Wing STB (MID)	1&4 13'6" 2&3 27'	1&4 fuel oil tks.14'-0"W
				Wing Port, Deep Port Deep STB		#2&3 fuel oil tks. 22'-6"W,
Kittitas	Issaquah 130	115400	4			1&4 fuel oil tks.14'-0"W
				Wing Port, Deep Port Deep STB		#2&3 fuel oil tks. 22'-6"W,
Sealth	Issaquah 100	115400	4	Wing STB (MID)	1&4 13'6" 2&3 27'	1&4 fuel oil tks.14'-0"W
Evergreen State	Evergreen	30600	2	Port STB (MID)	13.5	14
Klahowya	Evergreen	30600	2	Port STB (MID)	13.5	14
Tillikum	Evergreen	30600	2	Port STB (MID)	13.5	14
Illahee	Steel Electric	9000	2	Port STB (MID)	12	6' Diameter
Klickitat	Steel Electric	9000	2	\ /		6' Diameter
Nisqually	Steel Electric	9000	2			6' Diameter
Quinault	Steel Electric	9000	2			6' Diameter
Rhodondendron	Rhodondendron	11397	2	Center Line #1 end #2 end	20	12'
Hiyu	Hiyu	10000	2	Port STB #1 end	12'	NA
Kalama	POV	6714	2	Port STB (MID)	6	6
Skagit	POV	6714	2	Port STB (MID)	6	6

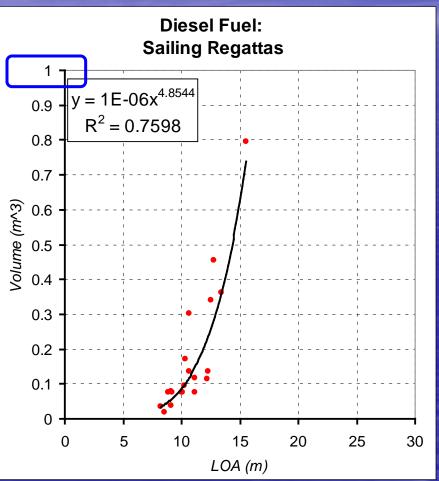
## Other Aspects of Oil Outflow model Fuel Losses of Other Interacting Vessels





## Other Aspects of Oil Outflow model Fuel Losses of Other Interacting Vessels

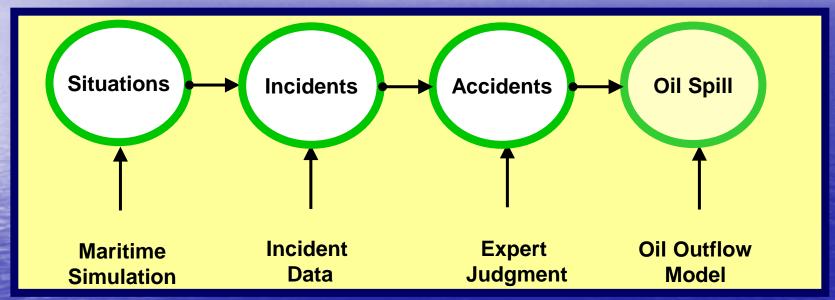


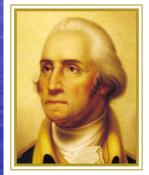


#### Oil Outflow Categories

- Vessel of Interest Persistent Oil: VOI PO (Crude and Bunker Fuel) - Tanker, ATB, ITB
- Vessel of Interest Non Persistent Oil: VOI NPO (Product and Diesel Fuel) – Tanker, ATB, ITB
- Interacting Vessel Persistent Oil: IV PO
   (Crude and Bunker Fuel) Colliding Vessels
- Interacting Vessel Non Persistent Oil: IV NPO (Product and Diesel Fuel) – Colliding Vessels

#### Step 4: Integrate Previous 3 Steps

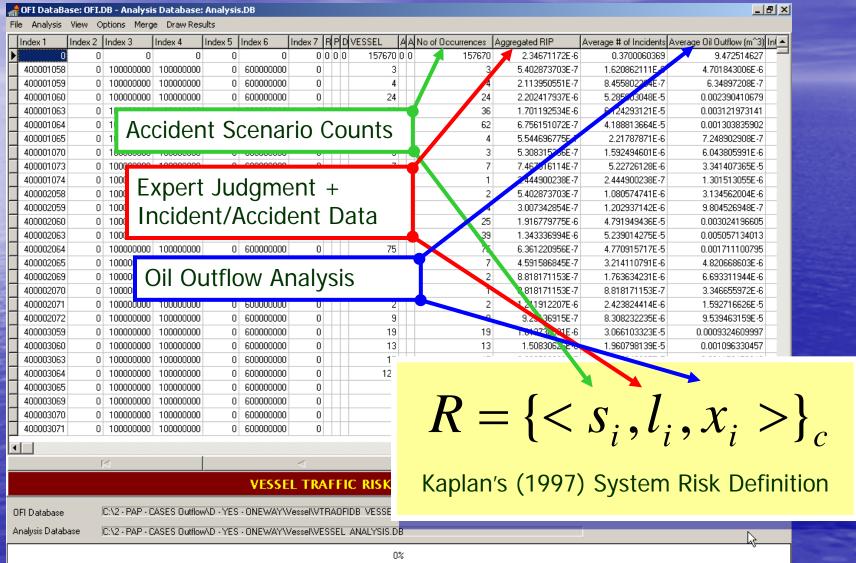




THE GEORGE
WASHINGTON
UNIVERSITY
WASHINGTON DC

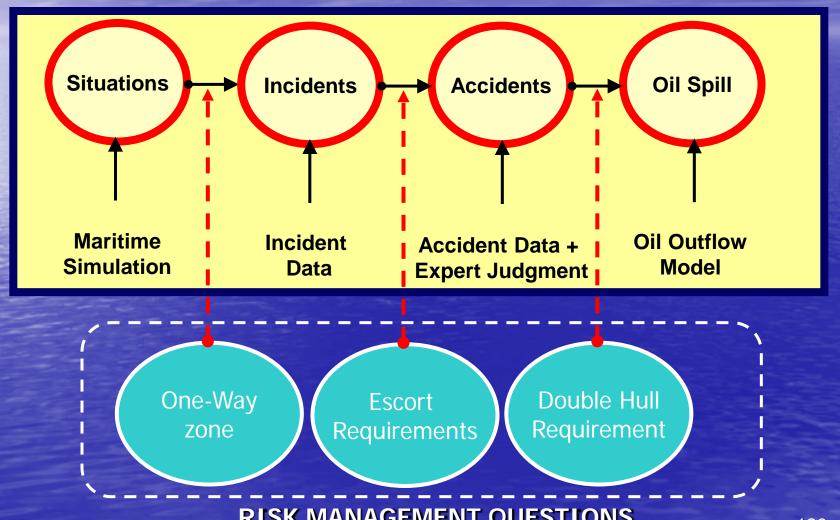


#### Develop Post-Processing Analysis Engine that integrates:



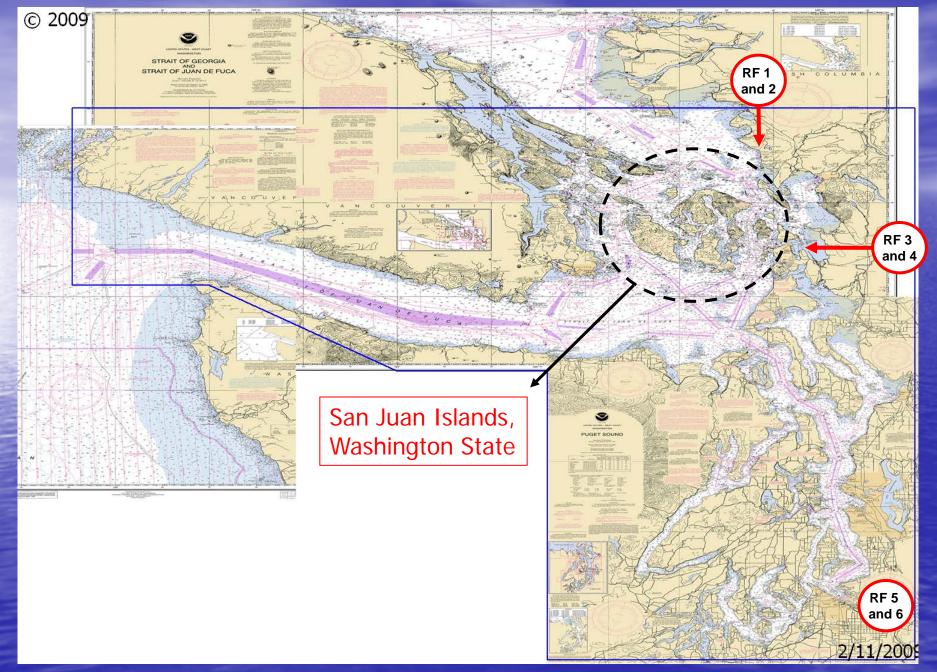
119

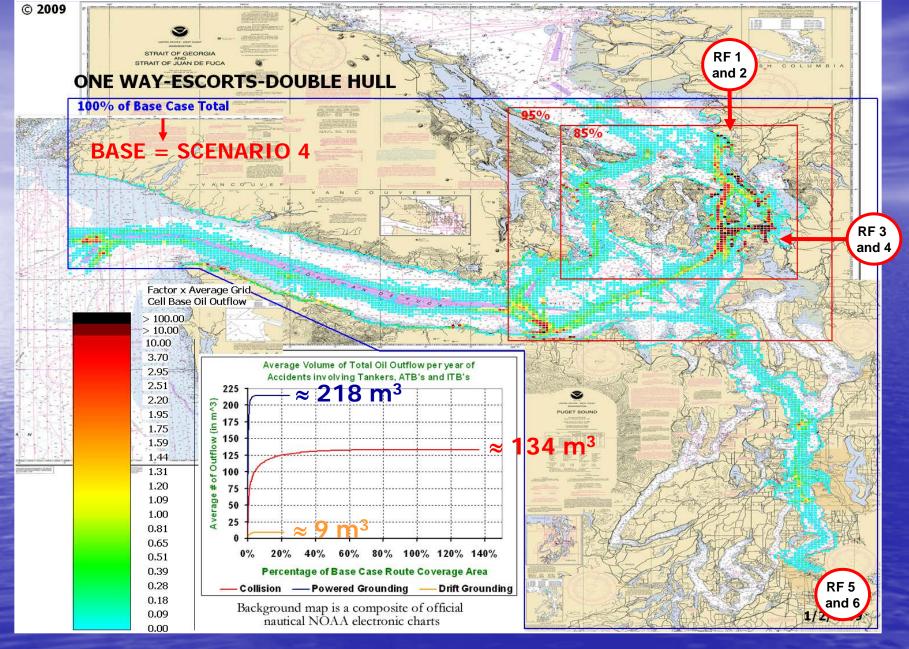
#### Example Risk Management **Effectiveness Analysis**



#### Description of Case Study

- The analysis results herein evaluate the effectiveness of the three risk intervention measures on the previous slide by considering four scenarios of an MTS simulation of the geographic area within the bleu border on the next slide.
- The vessels of interest (VOI's) are tankers, articulated tug barges and integrated tug barges serving six refineries within this geographic area. The approximate locations of these refineries are identified on the next slide. (One of them in the south operates only as a petroleum tank farm since 1998).
- The four scenarios in question are fictitious scenarios that look back in time, not into the future.
- **SCENARIO 1:** Two-way traffic in Rosario Strait, No Escorting and all VOI's have a single hull.
- SCENARIO 2: One-way traffic in Rosario Strait, No Escorting and all VOI's have a single hull.
- SCENARIO 3: One-way traffic in Rosario Strait, Escorting Scheme that mimics current regime in study area and all VOI's have a single hull.
- SCENARIO 4: One-way traffic in Rosario Strait, Escorting Scheme that mimics current regime in study area and all VOI's have a double hull.



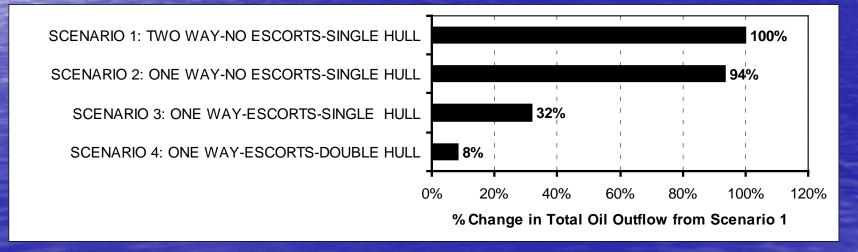


Average Annual Oil Outflow (m³) by Scenario	Total Outflow
SCENARIO 1: TWO WAY-NO ESCORTS-SINGLE HULL	4300.63
SCENARIO 2: ONE WAY-NO ESCORTS-SINGLE HULL	4027.72
SCENARIO 3: ONE WAY-ESCORTS-SINGLE HULL	1376.81
SCENARIO 4: ONE WAY-ESCORTS-DOUBLE HULL	360.96

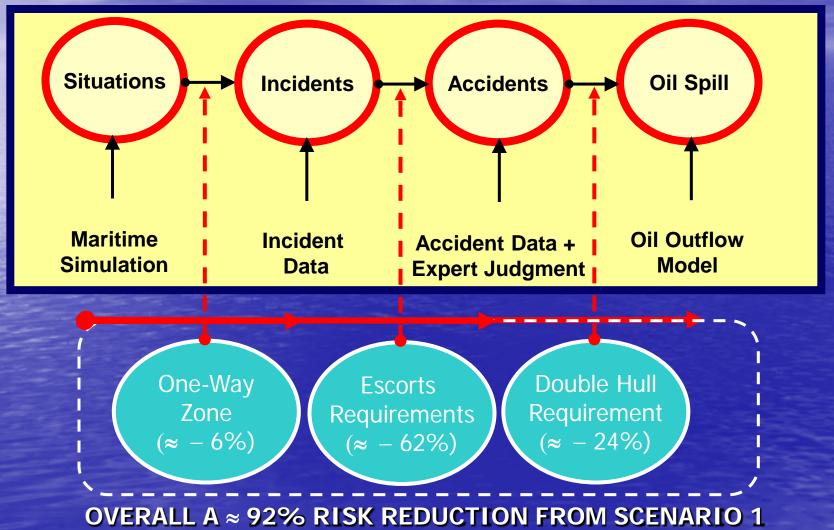
Percentage Change in Oil Outflow (m <sup>3</sup> ) from Scenario 1	Total Outflow
SCENARIO 1: TWO WAY-NO ESCORTS-SINGLE HULL	100.0% 📍
SCENARIO 2: ONE WAY-NO ESCORTS-SINGLE HULL	93.7%
SCENARIO 3: ONE WAY-ESCORTS-SINGLE HULL	32.0% 🥇
SCENARIO 4: ONE WAY-ESCORTS-DOUBLE HULL	8.4% ▼

Percentage Change in Oil Outflow (m <sup>3</sup> ) from Scenario 4	Total Outflow
SCENARIO 1: TWO WAY-NO ESCORTS-SINGLE HULL	1191.4%
SCENARIO 2: ONE WAY-NO ESCORTS-SINGLE HULL	1115.8%
SCENARIO 3: ONE WAY-ESCORTS-SINGLE HULL	381.4%
SCENARIO 4: ONE WAY-ESCORTS-DOUBLE HULL	100.0%





### An Oil Spill is a series of cascading events referred to as a Causal Chain

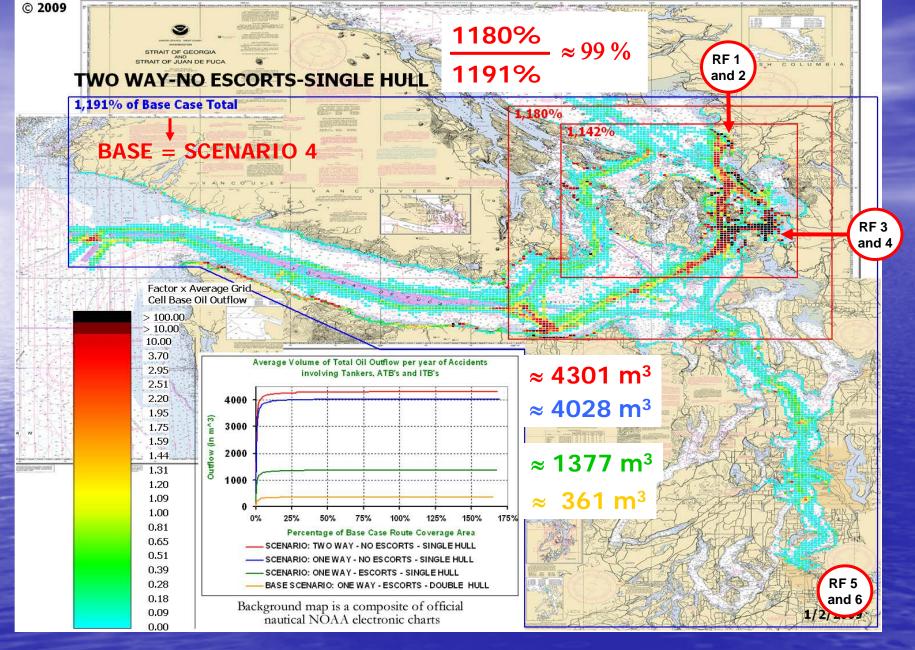


## Oil Spill Reduction from Single Hull to Double Hull Scenario

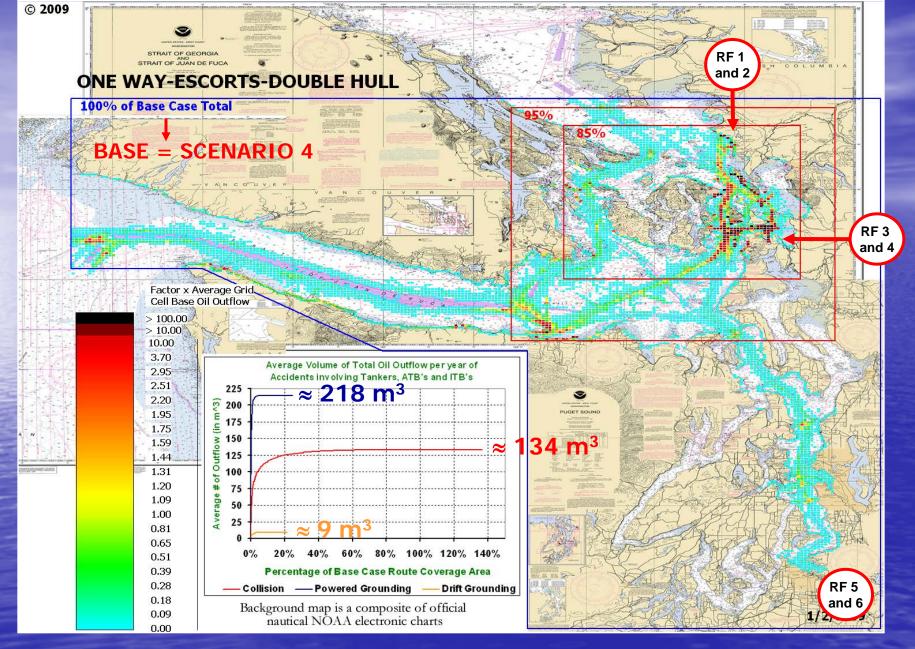
SINGLE HULL (in m <sup>3</sup> )	VOI PO	VOI NPO	IV PO	IV NPO	Total Outflow	% Outflow
Collisions	222.7	24.8	2.1	9.5	259.0	18.8%
Groundings	1042.3	75.4			1117.8	81.2%
Total Outflow	1265.1	100.2			1376.8	100.0%
DOUBLE HULL (in m^3)	VOI PO	VOI NPO	IV PO	IV NPO	Total Outflow	% Outflow
DOUBLE HULL (in m^3) Collisions	<b>VOI PO</b> 109.8	<b>VOI NPO</b> 12.4	<b>IV PO</b> 2.1	<b>IV NPO</b> 9.5	Total Outflow 133.7	% Outflow 37.0%
, ,						

% FROM SINGLE HULL	VOI PO	VOI NPO	Total Outflow
Collisions	-50.7%	-50.1%	≈ - <b>48%</b> • -48.4% collisions
Groundings	-79.2%	-86.4%	≈ – 80% ← -79.7% groundings
Total Outflow	-74.2%	-77.4%	≈ - 74%

- In Single Hull Scenario: about 1/5 of average out flow from collisions and 4/5 from groundings
- In Double Hull Scenario: about 1/3 of average out flow from collision and 2/3 from groundings



OIL OUTFLOW GEOGRAPHIC PROFILE SCENARIO 1
WITHOUT THREE RISK INTERVENTIONS IN PLACE 127



#### Observations

- In Scenario 1 (without the three risk interventions) 99% of average oil outflow resided in the larger red rectangle of the geographic profile.
- The three risk interventions remove about 92% of total average oil outflow from Scenario 1.
- Of the remaining 8% from Scenario 1 in Scenario 4, still 95% resides in larger red rectangle.
- Hence, the larger red rectangle in Scenario 4 seems to be the natural targeted area for further risk reduction after implementation of these three risk interventions.
- However, because two of the three risk interventions specifically target this area (one-way and escorting) and the third one (double-hull) also addresses this area (double-hull) it will be progressively more difficult to further reduce risk within that area.

#### Special Thanks To:

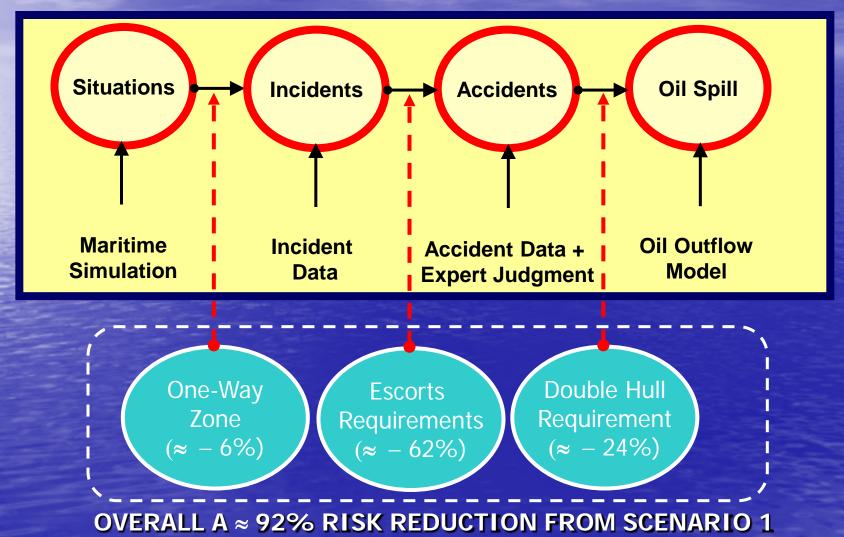
- **US Coast Guard Sector Seattle** for being responsive to our countless data request during the enhancement and improvement of our MTS risk simulation methodology and recommending us to the Puget Sound Harbor Safety Committee.
- Puget Sound Harbor Safety Committee who served as a host for bimonthly meetings and provide us access to Seattle Maritime Community.
- The Seattle Maritime Community as a whole who unselfishly met with us and provided access to experts both for ship rides but also for their participation in many expert judgment elicitation sessions during which these experts donated their time for the safety improvement in their Maritime Domain.

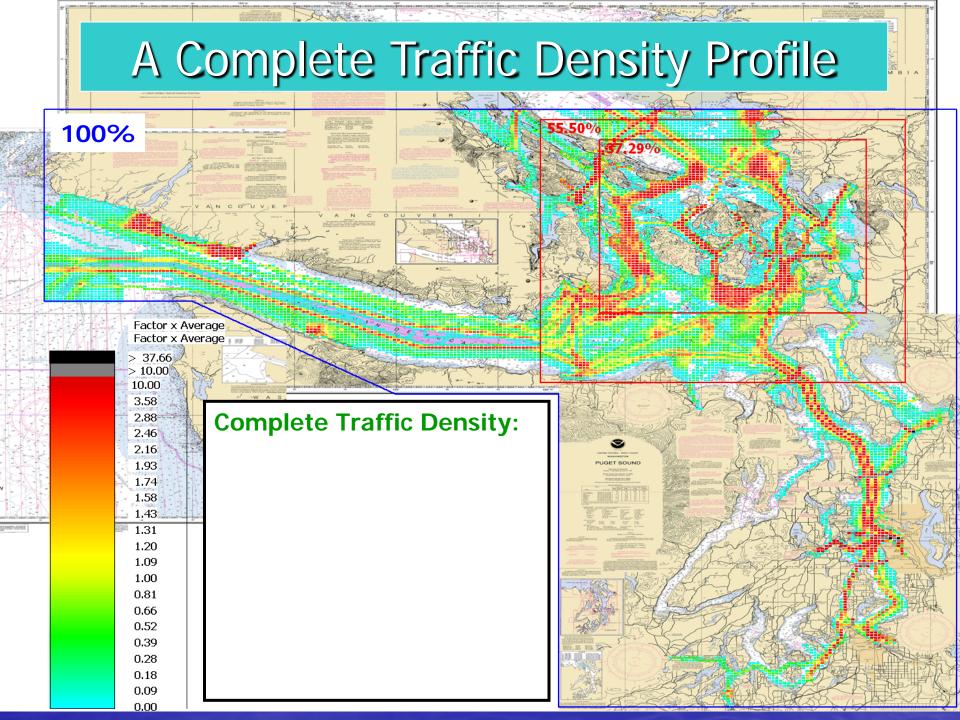
#### THANK YOU!!!!

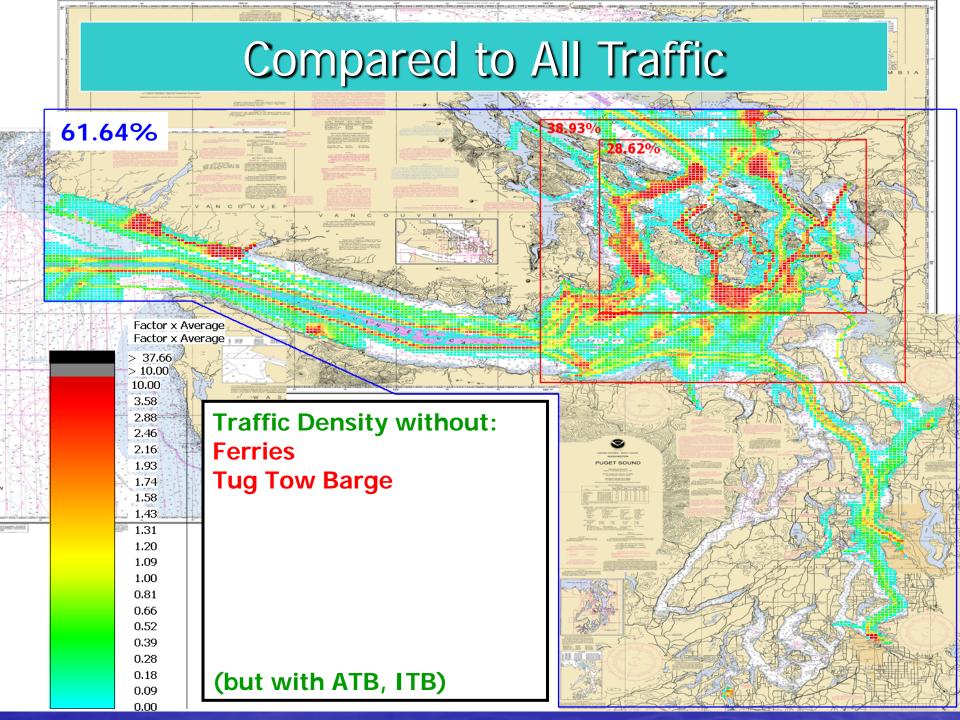
Without their help, efficient and timely response to our repeated questions and data requests we would not have been able to further enhance and improve our MTS Risk Simulation Methodology.

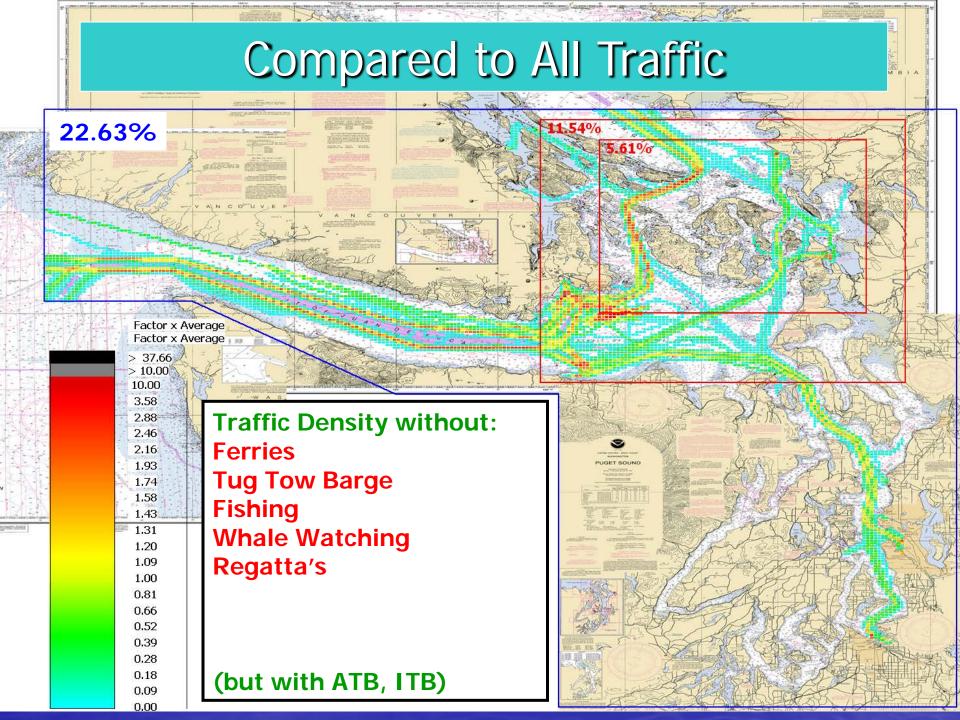


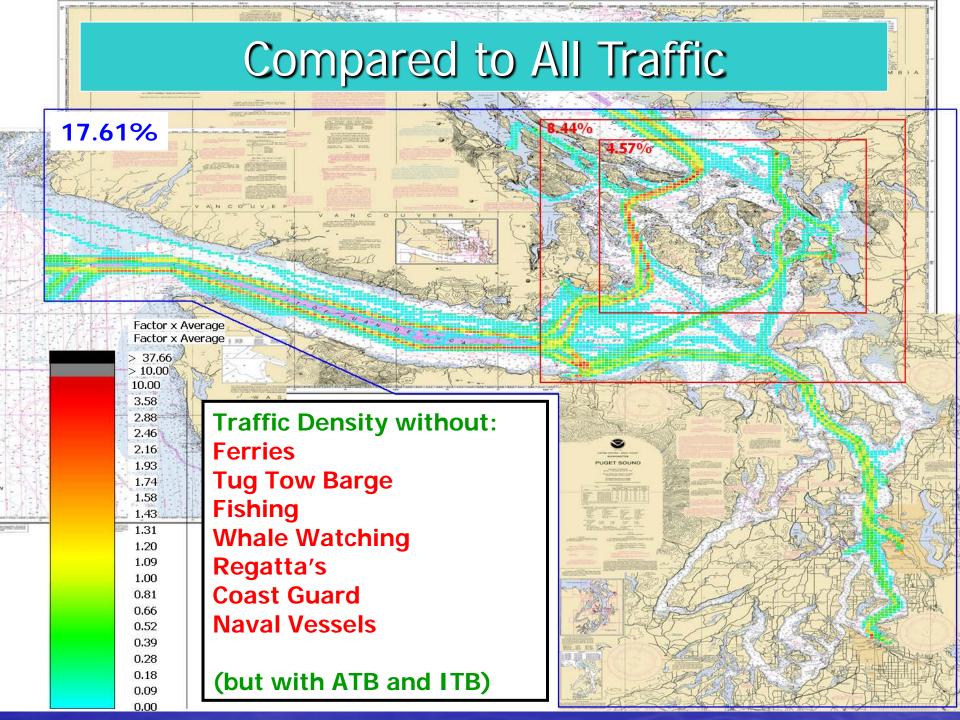
### A Word of Caution when making recommendations based on these results

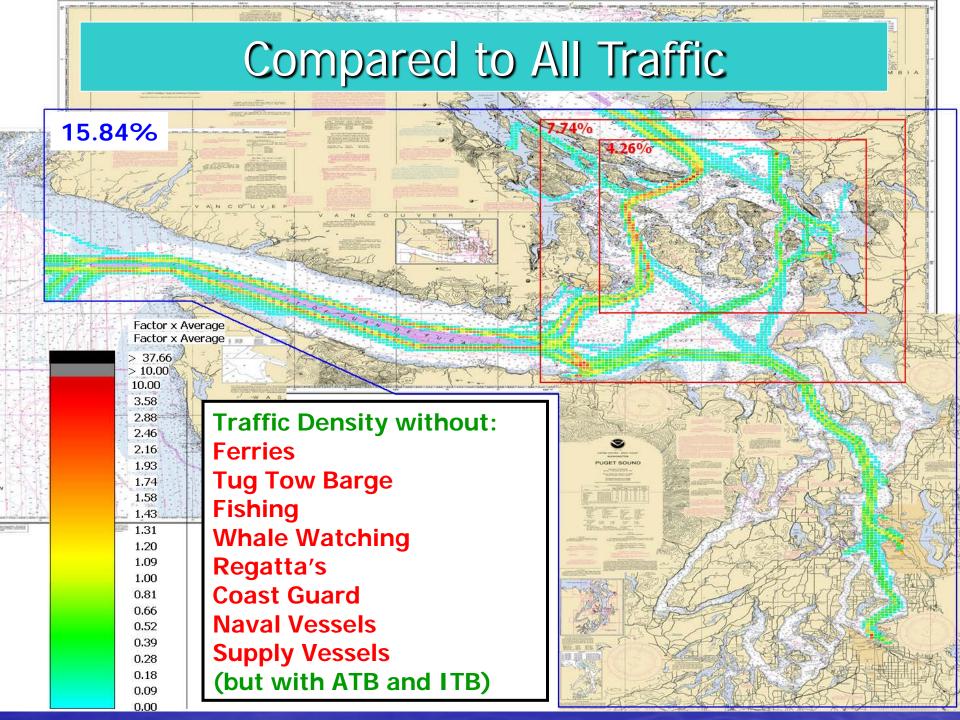


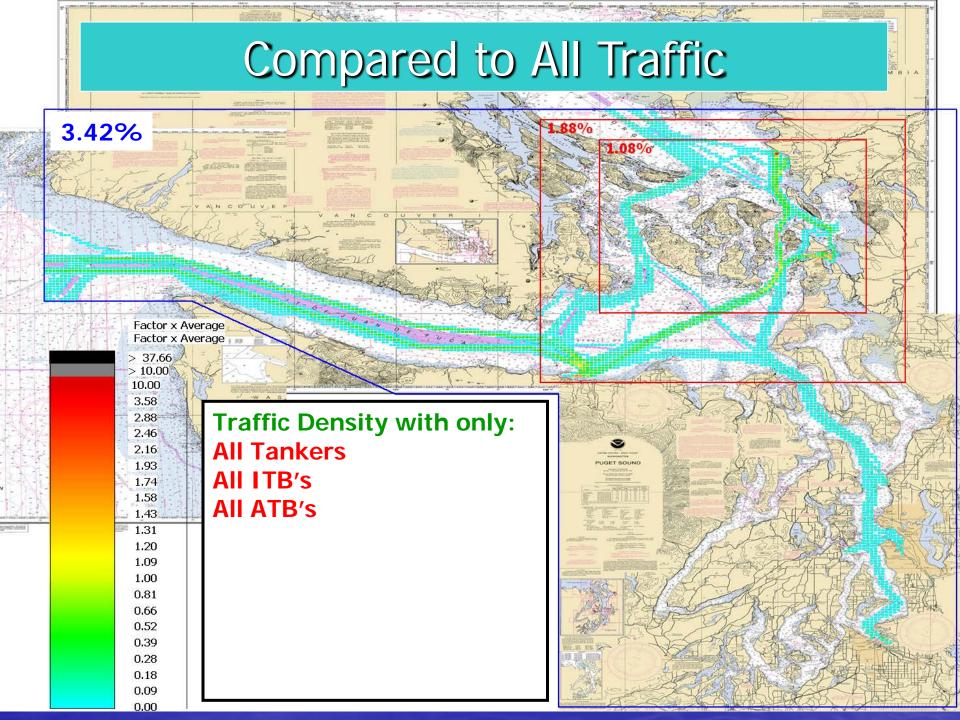












### Would like to dedicate this presentation to my friend and colleague Tayfur Altiok (1954-2012)

#### Tayfur passed away unexpectedly on April 14, 2012:

- 1. Professor Industrial and Systems Engineering at Rutgers University, the State University of New Jersey.
- 2. Fabulous Colleague and Mentor to his students.
- 3. Director of the Laboratory for Port Security at Rutgers
- 4. Author of numerous journal papers and two books, one on Simulation



# QUESTIONS?

