

RFID Security and Privacy: A Research Survey

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Invited Paper

Abstract—This paper surveys recent technical research on the problems of privacy and security for radio frequency identification (RFID).

RFID tags are small, wireless devices that help identify objects and people. Thanks to dropping cost, they are likely to proliferate into the billions in the next several years—and eventually into the trillions. RFID tags track objects in supply chains, and are working their way into the pockets, belongings, and even the bodies of consumers. This survey examines approaches proposed by scientists for privacy protection and integrity assurance in RFID systems, and treats the social and technical context of their work. While geared toward the nonspecialist, the survey may also serve as a reference for specialist readers.

Index Terms—Authentication, cloning, counterfeiting, electronic product code (EPC), privacy, radio frequency identification (RFID), security.

I. INTRODUCTION

RADIO FREQUENCY IDENTIFICATION (RFID) is a technology for automated identification of objects and people. Human beings are skillful at identifying objects under a variety of challenge circumstances. A bleary-eyed person can easily pick out a cup of coffee on a cluttered breakfast table in the morning, for example. Computer vision, though, performs such tasks poorly. RFID may be viewed as a means of explicitly labeling objects to facilitate their “perception” by computing devices.

An RFID device—frequently just called an RFID *tag*—is a small microchip designed for wireless data transmission. It is generally attached to an antenna in a package that resembles an ordinary adhesive sticker. The microchip itself can be as small as a grain of sand, some 0.4 mm^2 [65]. An RFID tag transmits data over the air in response to interrogation by an RFID reader.

In both the popular press and academic circles, RFID has seen a swirl of attention in the past few years. One important reason for this is the effort of large organizations, such as Wal-Mart, Procter and Gamble, and the U.S. Department of Defense, to deploy RFID as a tool for automated oversight of their supply chains. Thanks to a combination of dropping tag costs and vigorous RFID standardization, we are on the brink of an explosion in RFID use.

Advocates of RFID see it as a successor to the optical barcode familiarly printed on consumer products, with two distinct advantages.

- 1) *Unique identification*: A barcode indicates the type of object on which it is printed, e.g., “this is a 100 g bar of ABC brand 70% chocolate.” An RFID tag goes a step further. It emits a unique serial number that distinguishes among many millions of identically manufactured objects; it might indicate, e.g., that “this is 100 g bar of ABC brand 70% chocolate, serial no. 897 348 738.”¹ The unique identifiers in RFID tags can act as pointers to a database entries containing rich transaction histories for individual items.
- 2) *Automation*: Barcodes, being optically scanned, require line-of-sight contact with readers, and thus careful physical positioning of scanned objects. Except in the most rigorously controlled environments, barcode scanning requires human intervention. In contrast, RFID tags are readable without line-of-sight contact and without precise positioning. RFID readers can scan tags at rates of hundreds per second. For example, an RFID reader by a warehouse dock door can today scan stacks of passing crates with high accuracy. In the future, point-of-sale terminals may be able to scan all of the items in passing shopping carts [72].

Due to tag cost and a hodgepodge of logistical complications—like the ubiquity of metal shelving, which interferes with RFID scanning—RFID tags are unlikely to appear regularly on consumer items for some years. Retailers have expressed interest, though, in ultimately tagging individual items. Such tagging would, for instance, address the perennial problem of item depletion on retail shelves, which is costly in terms of lost sales.

Today, RFID is seeing fruition in the tagging of crates and pallets, that is, discrete bulk quantities of items. RFID tagging improves the accuracy and timeliness of information about the movement of goods in supply chains.

The main form of barcode-type RFID device is known as an electronic product code (EPC) tag. An organization known as EPCglobal Inc. [18] oversees the development of the standards for these tags. Not surprisingly, EPCglobal is a joint venture of the UCC and EAN, the bodies that regulate barcode use in the United States and the rest of the world respectively.

EPC tags cost less than 13 U.S. cents apiece in large quantities at present [1]. Manufacturers and users hope to see per-tag costs drop to five cents in the next few years [60]. RFID readers cost several thousand dollars each, but it is likely that their cost will soon drop dramatically.

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¹In principle, barcodes can uniquely identify objects, of course; two-dimensional barcodes on shipped packages do so, for instance. In practice—particularly, in retail environments—unique barcoding has proven impractical.

In the quest for low cost, EPC tags adhere to a minimalist design. They carry little data in on-board memory. The unique index of an EPC tag, known as an EPC code, includes information like that in an ordinary barcode, but serves also as a pointer to database records for the tag. An EPC code today can be up to 96 bits in length [33].² Database entries for tags, of course, can have effectively unlimited size, so that the recorded history of a tag and its associated object can be quite rich. EPCglobal has developed a public lookup system for EPC tags called the Object Name Service (ONS), analogous in name and operation with the Domain Name System (DNS). The purpose of the ONS is to route general tag queries to the databases of tag owners and managers.

In general, small and inexpensive RFID tags are *passive*. They have no on-board power source; they derive their transmission power from the signal of an interrogating reader. Passive tags can operate in any of a number of different frequency bands. Low-frequency (LF) tags, which operate in the 124–135 kHz range, have nominal read ranges of up to half a meter. High-frequency (HF) tags, operating at 13.56 MHz, have ranges up to a meter or more (but typically on the order of tens of centimeters). Ultra high-frequency (UHF) tags, which operate at frequencies of 860–960 MHz (and sometimes 2.45 GHz), have the longest range—up to tens of meters. UHF tags, though, are subject to more ambient interference than lower-frequency types. Later in this survey, we enumerate the major standards for passive RFID devices.

Some RFID tags contain batteries. There are two such types: *semi-passive* tags, whose batteries power their circuitry when they are interrogated, and *active* tags, whose batteries power their transmissions. Active tags can initiate communication, and have read ranges of 100 m or more. Naturally, they are expensive, costing some \$20 or more.

A. RFID Today and Tomorrow

Many of us already use RFID tags routinely. Examples include proximity cards, automated toll-payment transponders, and payment tokens. The ignition keys of many millions of automobiles, moreover, include RFID tags as a theft-deterrent.

In a world where everyday objects carried RFID tags, remarkable things would be possible. Here are a few possibilities (among the myriad that the reader might dream up).

- **Smart appliances:** By exploiting RFID tags in garments and packages of food, home appliances could operate in much more sophisticated ways. Washing machines might automatically choose an appropriate wash cycle, for instance, to avoid damage to delicate fabrics. Your refrigerator might warn you when the milk has expired or you have only one remaining carton of yogurt—and could even transmit a shopping list automatically to a home delivery service.³
- **Shopping:** In retail shops, consumers could check out by rolling shopping carts past point-of-sale terminals. These terminals would automatically tally the items, compute the total cost, and perhaps even charge the consumers'

RFID-enabled payment devices and transmit receipts to their mobile phones. Consumers could return items without receipts. RFID tags would act as indices into database payment records, and help retailers track the pedigrees of defective or contaminated items.

- **Medication compliance:** Research at Intel and the University of Washington [22] exploits RFID to facilitate medication compliance and home navigation for the elderly and cognitively impaired. As researchers have demonstrated, for example, an RFID-enabled medicine cabinet could help verify that medications are taken in a timely fashion. More generally, RFID promises to bring tremendous benefits to hospitals [20].

B. But What, Really, is “RFID”?

In this paper, we use “RFID” to denote any RF device whose main function is identification of an object or person. At the rudimentary end of the functional spectrum, this definition excludes simple devices like retail inventory tags, which merely indicate their presence and on/off status. It also excludes portable devices like mobile phones, which do more than merely identify themselves or their bearers. A broad definition for “RFID” is appropriate because the technical capabilities and distinctions among RF devices will drift over time, and the privacy and authentication concerns that we highlight in this paper apply broadly to RF identification devices great and small. Most importantly, though, the names of standards like “ISO 14443” or “EPC Class-1 Gen-2” do not trip off the tongue or inhere well in the mind. The term “RFID” will unquestionably remain the popular one, and the term according to which most people frame debate and policies—a fact it behooves technologists to remember.

Of course, standards precisely define classes of RF devices. It is worth briefly mentioning the major ones. ISO 18000 is a multipart standard that specifies protocols for a number of different frequencies, including LF, HF, and UHF bands. For UHF tags, the dominant standard will very likely be the recently ratified EPCglobal Class-1 Gen-2. For HF tags, there are two main standards apart from ISO 18000. ISO 14443 (types A and B) is a standard for “proximity” RFID devices; it has a nominal 10 cm operating range. ISO 15693 is a more recent HF standard for “vicinity” RFID devices; it can achieve longer nominal ranges—up to 1 m for large antenna setups. (Mode 1 of ISO 18000 Part 3 is based on ISO 15693.)

Also of note is the Near-Field Consortium (NFC) standard (NFCIP-1/ECMA340, ISO 18092). Compatible with ISO 14443 and ISO 15693, this HF standard transcends the fixed tag-reader model, in that an NFC device can operate as either a reader or a tag, and thus either transmit or receive. Some mobile phones today support NFC; many portable devices may well in the future.

C. Security and Privacy Problems

1) *Privacy:* RFID raises two main privacy concerns for users: clandestine *tracking* and *inventorying*.

RFID tags respond to reader interrogation without alerting their owners or bearers. Thus, where read range permits, clandestine scanning of tags is a plausible threat. As discussed above, most RFID tags emit unique identifiers, even tags that

²The expectation at the time of writing is that the EPC codes will soon expand to a minimum of 128 bits in length—with extensions for 256 bits or more.

³The company Merloni has built prototype RFID-enabled appliances [4].

protect data with cryptographic algorithms (as we discuss below). In consequence, a person carrying an RFID tag effectively broadcasts a fixed serial number to nearby readers, providing a ready vehicle for clandestine physical tracking. Such tracking is possible even if a fixed tag serial number is random and carries no intrinsic data.

The threat to privacy grows when a tag serial number is combined with personal information. For example, when a consumer makes a purchase with a credit card, a shop can establish a link between her identity and the serial numbers of the tags on her person. Marketers can then identify and profile the consumer using networks of RFID readers—both inside shops and without. The problem of clandestine tracking is not unique to RFID, of course. It affects many other wireless devices, such as Bluetooth-enabled ones [37].

In addition to their unique serial numbers, certain tags—EPC tags in particular—carry information about the items to which they are attached. EPC tags include a field for the “General Manager,” typically the manufacturer of the object, and an “object class,” typically a product code, known formally as a stock keeping unit (SKU).⁴ (See [33] for details.) Thus, a person carrying EPC tags is subject to clandestine inventorying. A reader can silently determine what objects she has on her person, and harvest important personal information: What types of medications she is carrying and, therefore, what illnesses she may suffer from; the RFID-enabled loyalty cards she carries and, therefore, where she shops; her clothing sizes and accessory preferences, and so forth. This problem of inventorying is largely particular to RFID.

Today the problems of clandestine RFID tracking and inventorying are of limited concern, since RFID infrastructure is scarce and fragmentary. As explained above, the tagging of individual retail items is probably some years away. Once RFID becomes pervasive, however, as is almost inevitable, the privacy problem will assume more formidable dimensions. One harbinger of the emerging RFID infrastructure is Verisign’s EPC Discovery Service [34]. It creates a unified view of sightings of individual EPC tags across organizations.

RFID privacy is already of concern in several areas of everyday life.

- **Toll-payment transponders:** Automated toll-payment transponders—small plaques positioned in windshield corners—are commonplace worldwide. In at least one celebrated instance, a court subpoenaed the data gathered from such a transponder for use in a divorce case, undercutting the alibi of the defendant [64].
- **Libraries:** Some libraries have implemented RFID systems to facilitate book checkout and inventory control and to reduce repetitive stress injuries in librarians. Concerns about monitoring of book selections, stimulated in part by the USA Patriot Act, have fueled privacy concerns around RFID [55].

⁴These fields are short numerical codes that are meaningful, like barcodes, only upon translation. Services like the ONS will publicly translate General-Manager codes into human-readable form. Manufacturers may or may not choose to make their object-class codes publicly available. These codes will be easy to determine, however, with or without reference to the manufacturer: Scanning one instance of a given product type will reveal its object class.

- **Passports:** An international organization known as the International Civil Aviation Organization (ICAO) has promulgated guidelines for RFID-enabled passports and other travel documents [32], [43]. The United States has mandated the adoption of these standards by 27 “visa waiver” countries as a condition of entry for their citizens. The mandate has seen delays due to its technical challenges and changes in its technical parameters, partly in response to lobbying by privacy advocates [73].⁵
- **Human implantation:** Few other RFID systems have inflamed the passions of privacy advocates like the VeriChip system [67]. VeriChip is a human-implantable RFID tag, much like the variety for house pets. One intended application is medical-record indexing; by scanning a patient’s tag, a hospital can locate her medical record. Indeed, hospitals have begun experimentation with these devices [28]. Physical access control is another application in view for the VeriChip.

a) Read ranges: Tag read ranges are an important factor in discussions about privacy. Different operating frequencies for tags induce different ranges, thanks to their distinctive physical properties. Under ideal conditions, for instance, UHF tags have read ranges of over ten meters; for HF tags, the maximum effective read distance is just a couple of meters. Additionally, environmental conditions impact RFID efficacy. The proximity of radio-reflective materials, e.g., metals, and radio-absorbing materials, like liquids, as well as ambient radio noise, affect scanning distances. At least one manufacturer, Avery Dennison, has devised RFID tags specially for application to metal objects. Liquids—like beverages and liquid detergents—have hampered the scanning of UHF tags in industry RFID pilots. Protocol and hardware-design choices also affect read ranges.

The human body, consisting as it does primarily of liquid, impedes the scanning of UHF tags, a fact consequential to RFID privacy. If in the future you find yourself worried about clandestine scanning of the RFID tag in your sweater, the most effective countermeasure may be to wear it!

Sometimes RFID tags can foul systems by reason of excessively long range. In prototypes of automated supermarket-checkout trials run by NCR Corporation, some (experimental) patrons found themselves paying for the groceries of the people behind them in line [72].

Certainly, the RFID industry will overcome many of these impediments, so it would be a mistake to extrapolate tag capabilities too far into the future. It is important, however, to keep the limitations of physics in mind.

For the study of RFID privacy in passive tags, it is more accurate to speak not of the read range of a tag, but of the read *ranges* of a tag. Loosely speaking, there are four different ranges to consider. In roughly increasing distance, they are the following.

- **Nominal read range:** RFID standards and product specifications generally indicate the read ranges at which they

⁵The U.S. State Department has recently indicated that: 1) U.S. passport covers will include metallic material to limit RF penetration, and thus prevent long-range scanning of closed passports and 2) the U.S. may adopt a key ICAO privacy-protecting mechanism called basic access control (BAC). Under BAC, passport contents are encrypted; optical scanning is required to obtain the decryption key from a passport.

intend tags to operate. These ranges represent the maximum distances at which a normally operating reader, with an ordinary antenna and power output, can reliably scan tag data. ISO 14443, for example, specifies a nominal range of 10 cm for contactless smartcards.

- **Rogue scanning range:** The range of a sensitive reader equipped with a powerful antenna—or antenna array—can exceed the nominal read range. High-power output further amplifies read ranges. A rogue reader may even output power exceeding legal limits. For example, Kfir and Wool [51] suggest that a battery-powered reading device can potentially scan ISO 14443 tags at a range of as much as 50 cm, i.e., five times the nominal range. The rogue scanning range is the maximum range at which a reader can power and read a tag.
- **Tag-to-reader eavesdropping range:** Read-range limitations for passive RFID result primarily from the requirement that the reader power the tag. Once a reader has powered a tag, a second reader can monitor resulting tag emissions without itself outputting a signal, i.e., it can eavesdrop. The maximum distance of such a second, eavesdropping reader may be larger than its rogue scanning range.
- **Reader-to-tag eavesdropping range:** In some RFID protocols, a reader transmits tag-specific information to the tag. Because readers transmit at much higher power than tags, they are subject to eavesdropping at much greater distances than tag-to-reader communications—perhaps even kilometers away.⁶

Also of concern in some special cases are *detection* ranges, i.e., the distance at which an adversary can detect the presence of tags or readers. In military scenarios, tag-detecting munitions or reader-seeking missiles could pose a threat.

b) *Privacy from cradle to grave:* The importance of RFID privacy in military operations reinforces an oft-neglected point: Privacy is not just a consumer concern. The enhanced supply-chain visibility that makes RFID so attractive to industry can also, in another guise, betray competitive intelligence. Enemy forces monitoring or harvesting RFID communications in a military supply chain could learn about troop movements. In civilian applications, similar risks apply. For example, many retailers see item-level RFID tagging as a means to monitor stock levels on retail shelves and avoid out-of-stock products. Individually tagged objects could also make it easier for competitors to learn about stock turnover rates; corporate spies could walk through shops surreptitiously scanning items [63]. Many of the privacy-enhancing techniques we discuss in this survey aim to protect consumers, or at least human bearers of RFID tags. It is useful to keep in mind the full scope of the privacy problem, though. In a recent survey paper, Garfinkel *et al.* [42] offer a taxonomy of threats across the different stages of a typical industrial supply chain.

2) *Authentication:* Privacy is a hobbyhorse in media coverage of RFID. To some extent, it has overshadowed the equally

significant problem of authentication.⁷ Loosely speaking, RFID privacy concerns the problem of misbehaving readers harvesting information from well-behaving tags. RFID *authentication*, on the other hand, concerns the problem of well-behaving readers harvesting information from misbehaving tags, particularly counterfeit ones.

Asked what uses they foresee for RFID, ordinary U.S. consumers most frequently mention recovery of stolen goods [57]. In the popular imagination, RFID tags serve as a trustworthy label for the objects to which they are attached. Belief in tag authenticity will inevitably come to underpin many RFID applications. But it is in some measure an illusion.

Basic RFID tags are vulnerable to simple counterfeiting attacks. Scanning and replicating such tags requires little money or expertise. In [71], Westhues, an undergraduate student, describes how he constructed what is effectively an RF tape-recorder. This device can read commercial proximity cards—even through walls—and simulate their signals to compromise building entry systems.

EPC tags will be vulnerable to similar attacks. An EPC, after all, is just a bit string, copyable like any other. Basic EPC tags offer no real access-control mechanisms. It is possible that “blank,” i.e., fully field-programmable EPC tags, will be readily available on the market.⁸ More importantly, elementary RFID simulation devices will be easy to come by or create. Such devices need not even resemble RFID tags in order to deceive RFID readers. As a result, EPC tags may carry no real guarantee of authenticity.

Yet plans are afoot for use of such tags as anticounterfeiting devices. In the United States, the Food and Drug Administration (FDA) has called for the pharmaceutical industry to apply RFID tags to pallets and cases by 2007, with the aim of combatting counterfeit pharmaceuticals [24]. Two companies, Texas Instruments and VeriSign Inc., have proposed a “chain-of-custody” approach in support of this effort [36]. Their model involves digital signing of tag data to provide integrity assurance. Digital signatures do not confer cloning resistance to tags, however. They prevent *forging* of data, but not *copying* of data.

To be fair, even in the absence of resistance to tag cloning, unique numbering of objects can be a powerful anticounterfeiting tool. If two RFID-tagged crates turn up in a warehouse with identical serial numbers, it is clear that a problem has arisen. Such detection does not require tag authentication. The FDA has noted that simply by furnishing better data on item pedigrees in supply chains, RFID tags can help identify sources of counterfeit goods.

Nonetheless, scenarios abound in which counterfeiters can exploit the vulnerability of RFID tags to cloning. Detection of duplicates ultimately requires consistent and centralized data collection; where this is lacking, physical and digital anticounterfeiting mechanisms become more important. (See, e.g., [40] for examples.)

Some RFID devices, such as the American Express ExpressPay and the Mastercard PayPass credit cards, and the

⁶The EPC Class-1 Gen-2 standard exploits the gap between tag-to-reader and reader-to-tag eavesdropping ranges to achieve stronger data secrecy. When a reader is to transmit a sensitive value like a PIN P to a tag, the tag first transmits a random bit-string R to the reader. The reader transmits $P \oplus R$, rather than P directly. Eavesdropping on the more vulnerable reader-to-tag channel alone, therefore, does not reveal P . A version of this idea directed at tree-walking, an anticollision protocol we described, first appeared in [69].

⁷In fact, RFID was first invented as a “friend-or-foe” authenticator for fighter planes during WW II.

⁸Field-programmable Class-1 Gen-2 EPC tags are available today [3]; they contain factory-programmed identifiers, however, in addition to user-programmable bits.

active RFID tags that will secure shipping containers, can perform cryptographic operations. Bar reverse-engineering (and side-channel attacks), these devices offer very good resistance to cloning. As we explain below, however, some popular RFID devices perform cryptographic operations that are too weak to afford protection against determined attackers.

What about RFID as an anti-theft mechanism? Certainly, RFID tags can help prevent theft in retail shops. They will serve as an alternative to the electronic article surveillance (EAS) tags that today detect stolen articles of clothing and other, relatively high-value items. RFID tags will not, however, prove very effective against determined thieves. A thief wishing to steal and repurpose an RFID-tagged object can disable its existing tag and even, with enough sophistication, even replace it with a tag carrying data of her choice.⁹

There is another aspect of authentication that is specific to RFID, namely, authentication of *distance*. Thanks to the relatively short range of some RFID devices, users can authorize commercial transactions with RFID devices by placing them explicitly in proximity to readers. RFID-enabled payment tokens like credit cards work this way. As we shall see, however, tag distance is difficult to authenticate. Researchers have already demonstrated spoofing attacks.

D. Attack Models

In order to define the notions of “secure” and “private” for RFID tags in a rigorous way, we must first ask: “Secure” and “private” against what? The best answer is a formal model that characterizes the capabilities of potential adversaries. In cryptography, such a model usually takes the form of an “experiment,” a program that intermediates communications between a model adversary, characterized as a probabilistic algorithm (or Turing machine), and a model runtime environment containing system components (often called *oracles*). In the model for an RFID system, for example, the adversary would have access to system components representing tags and readers.

In most cryptographic models, the adversary is assumed to have more-or-less unfettered access to system components in the runtime environment. In security models for the Internet, this makes sense: An adversary can more or less access any networked computing device at any time. A server, for instance, is always online, and responds freely to queries from around the world. For RFID systems, however, around-the-clock access by adversaries to tags is usually too strong an assumption. In order to scan a tag, an adversary must have physical proximity to it—a sporadic event in most environments. It is important to adapt RFID security models to such realities. Because low-cost RFID tags cannot execute standard cryptographic functions, they cannot provide meaningful security in models that are too strong.

An important research challenge, therefore, is the formulation of weakened security models that accurately reflect real-world threats and real-world tag capabilities. Juels [38], for example, proposes a so-called “minimalist” security model and accompanying protocols for low-cost tags. This model supposes that an adversary only comes into scanning range of a tag on a periodic

basis (and also that tags release their data at a limited rate). More precisely, the minimalist model assumes a cap on the number of times that an adversary can scan a given tag or try to spoof a valid reader; once this cap is reached, it is assumed that the tag interacts in private with a valid reader. The minimalist model might assume, for example, that an adversary can scan a target proximity card or try to gain unauthorized entrance to a building only ten times before the legitimate owner of the card achieves valid building entry outside the eavesdropping range of the adversary.

Many cryptographic models of security fail to express important features of RFID systems. A simple cryptographic model, for example, captures the top-layer communication protocol between a tag and reader. At the lower layers are anticollision protocols and other basic RF protocols. Avoine and Oechslin (AO) [10] importantly enumerate the security issues present at multiple communication layers in RFID systems. Among other issues, they highlight the risks of inadequate random-number generation in RFID tags. (As remarked in a footnote above, for example, the EPC Class-1 Gen-2 standard relies on randomness to protect sensitive data transmitted from the reader to the tag.) They observe the tracking threats that can arise from many competing RFID standards: A tag’s underlying standard could serve as a short, identifying piece of information. AO also note potential risks at the physical level in RFID systems. For example, due to manufacturing variations, it is conceivable that an adversary could identify tags based on physical quirks in the signals they emit. Even the best cryptographic privacy-preserving protocol may be of little avail if an RFID tag has a distinct “radio fingerprint”!

There is, however, a flip side to the presence of multiple communication layers in tags. If tags have distinct radio fingerprints that are sufficiently difficult to reproduce in convincing form factors, then these fingerprints could help strengthen device authentication [15]. Moreover, as we shall discuss, some proposed RFID protocols actually exploit the presence of multiple protocol layers to *improve* tag privacy.

E. Nomenclature and Organization

For the remainder of this survey, we classify RFID tags according to their computational resources. In Section II, we consider *basic* tags, meaning those that cannot execute standard cryptographic operations like encryption, strong pseudorandom number generation, and hashing. We turn our attention in Section III to what we call *symmetric-key* tags. This category includes tags that cost more than basic RFID tags, and can perform symmetric-key cryptographic operations.

Our categorization is a rough one, of course, as it neglects many other tag features and resources, like memory, communication speed, random-number generation, power, and so forth. It serves our purposes, however, in demarcating available security tools. We separately consider the problems of privacy and authentication protocols within each of the two categories.

Devices like RFID tags for shipping-container security, high-security contactless smartcards, and RFID-enabled passports¹⁰ can often perform public-key operations. While our

⁹Thieves today commonly bypass EAS systems by hiding items in foil-lined bags that prevent the penetration of radio waves needed to read inventory tags.

¹⁰Most such passports will probably not perform public-key cryptography in their first generation. But the ICAO guidelines provide for public-key challenge-response protocols.

general points in this survey apply to such tags, we do not treat them explicitly. The majority of RFID tags—certainly passive ones—do not have public-key functionality. Moreover, existing cryptographic literature already offers much more abundant treatment of the problems of privacy and security for computationally powerful devices than for the weak devices that typify RFID.

II. BASIC RFID TAGS

Basic RFID tags, as we have defined them, lack the resources to perform true cryptographic operations. Low-cost tags, such as EPC tags, possess at most a couple of thousand gates, devoted mainly to basic operations [70]. Few gates—on the order of hundreds—remain for security functionality. It is tempting to dismiss this computational poverty as a temporary state of affairs, in the hope that Moore's Law will soon render inexpensive tags more computationally powerful. But pricing pressure is a strong countervailing force. RFID tags will come to be used in vast numbers; if and when they replace barcodes on individual items, they will contribute substantially to the cost of those items. Thus, given the choice between, say, a ten-cent RFID tag that can do cryptography, and a five-cent tag that cannot, it seems inevitable that most retailers and manufacturers will plump for the five-cent tag. They will address security and privacy concerns using other, cheaper measures. (The barebones security features of the EPC Class-1 Gen-2 standard reinforce this point.)

The lack of cryptography in basic RFID is a big impediment to security design; cryptography, after all, is one of the lynchpins of data security. On the other hand, the lack of cryptography in basic tags poses intriguing research challenges. As we shall see, researchers have devised a farrago of lightweight technical approaches to the problems of privacy and authentication.

A. Privacy

Most privacy-protecting schemes for basic tags have focused on the consumer privacy problems discussed above. (Industrial privacy, i.e., data secrecy, is important too, but less frequently considered.) We now enumerate the various proposed approaches to the consumer privacy problem.

1) *"Killing" and "Sleeping"*: EPC tags address consumer privacy with a simple and draconian provision: Tag "killing." When an EPC tag receives a "kill" command from a reader, it renders itself permanently inoperative. To prevent wanton deactivation of tags, this kill command is PIN protected. To kill a tag, a reader must also transmit a tag-specific PIN (32 bits long in the EPC Class-1 Gen-2 standard). As "dead tags tell no tales," killing is a highly effective privacy measure. It is envisioned that once RFID tags become prevalent on retail items, point-of-sale devices will kill the RFID tags on purchased items to protect consumer privacy. For example, after you roll your supermarket cart through an automated checkout kiosk and pay the resulting total, all of the associated RFID tags will be killed on the spot.

Removable RFID tags support a similar approach. Marks and Spencer, for example, include RFID tags on garments in their shops [14]. These RFID tags, however, reside in price tags, and are therefore easily removed and discarded.

Killing or discarding tags enforces consumer privacy effectively, but it *eliminates all of the post-purchase benefits of RFID for the consumer*. The receiptless item returns, smart appliances, aids for the elderly, and other beneficial systems described earlier in this paper will not work with deactivated tags. And in some cases, such as libraries and rental shops, RFID tags cannot be killed because they must survive over the lifetime of the objects they track. For these reasons, it is imperative to look beyond killing for more balanced approaches to consumer privacy.¹¹

Rather than killing tags at the point of sale, then, why not put them to "sleep," i.e., render them only temporarily inactive? This concept is simple, but would be difficult to manage in practice. Clearly, sleeping tags would confer no real privacy protection if any reader at all could "wake" them. Therefore, some form of access control would be needed for the waking of tags. This access control might take the form of tag specific PINs, much like those used for tag killing. To wake a sleeping tag, a reader could transmit this PIN.

The sticking point in such a system is that the consumer would have to manage the PINs for her tags. Tags could bear their PINs in printed form, but then the consumer would need to key in or optically scan PINs in order to use them. PINs could be transmitted to the mobile phones or smartcards of consumers—or even over the Internet to their home PCs. Consumers have enough difficulty just managing passwords today, however. The nitty-gritty management details around PINs for RFID tags would probably prove much more difficult, as would the burden of managing the sleep/wake patterns of individual tags.

A physical trigger, like the direct touch of a reader probe, might serve as an alternative means of waking tags [62]. Such approaches, however, would negate the very benefit of RFID, namely convenient wireless management.

2) *Renaming Approach*: Even if the identifier emitted by an RFID tag has no intrinsic meaning, it can still enable tracking. For this reason, merely encrypting a tag identifier does not solve the problem of privacy. An encrypted identifier is itself just a meta-identifier. It is static and, therefore, subject to tracking like any other serial number. To prevent RFID-tag tracking, it is necessary that tag identifiers be suppressed, or that they change over time.

c) *Relabeling*: Sarma, Weis, and Engels (SWE) propose the idea of effacing unique identifiers in tags at the point of sale [60] to address the tracking problem, but retaining product-type identifiers (traditional barcode data) for later use. Inoue and Yasuura (IY) [35] suggest that consumers be equipped to relabel tags with new identifiers, but that old tag identifiers remain subject to reactivation for later public uses, like recycling. As a physical mechanism for realizing the idea of SWE, IY also explore the idea of splitting product-type identifiers and unique identifiers across *two* RFID tags. By peeling off one of these two tags, a consumer can reduce the granularity of tag data. Karjoth and Moskowitz extend this idea [50], proposing ways that users can physically alter tags to limit their data emission and obtain physical confirmation of their changed state. As a remedy

¹¹There are some technical obstacles to effective killing of tags. For example, while a large retailer might have the infrastructure to accomplish it, what about mom-and-pop shops that do not have any RFID readers?

for clandestine scanning of library books, Good *et al.* [27] propose the idea of relabeling RFID tags with random identifiers on checkout.

The limitations of these approaches are clear. Effacement of unique identifiers does not eliminate the threat of clandestine inventorying. Nor does it quite eliminate the threat of tracking. Even if tags emit only product-type information, they may still be uniquely identifiable in constellations, i.e., fixed groups. Use of random identifiers in place of product codes addresses the problem of inventorying, but does not address the problem of tracking. To prevent tracking, identifiers must be refreshed on a frequent basis. This is precisely the idea in the approaches we now describe.

d) *“Minimalist” cryptography*: While high-powered devices like readers can relabel tags for privacy, tags can alternatively relabel themselves. Juels [38] proposes a “minimalist” system in which every tag contains a small collection of pseudonyms; it rotates these pseudonyms, releasing a different one on each reader query. An authorized reader can store the full pseudonym set for a tag in advance and, therefore, identify the tag consistently. An unauthorized reader, however, that is, one without knowledge of the full pseudonym set for a tag, is unable to correlate different appearances of the same tag. To protect against an adversarial reader harvesting all pseudonyms through rapid-fire interrogation, Juels proposes that tags “throttle” their data emissions, i.e., slow their responses when queried too quickly. As an enhancement to the basic system, valid readers can refresh tag pseudonyms.

The minimalist scheme can offer some resistance to corporate espionage, like clandestine scanning of product stocks in retail environments.

e) *Re-encryption*: Juels and Pappu (JP) [44] consider the special problem of consumer privacy-protection for RFID-enabled banknotes. Their scheme employs a public-key cryptosystem with a single key pair: A public key PK , and a private key SK held by an appropriate law enforcement agency. An RFID tag in the JP system carries a unique identifier S , the banknote serial number. S is encrypted under PK as a ciphertext C ; the RFID tag emits C . Only the law enforcement agency, as possessor of the private key SK , can decrypt C and thus learn the serial number S .

To address the threat of tracking, JP propose that the ciphertext C be periodically *re-encrypted*. They envisage a system in which shops and banks possess re-encrypting readers programmed with PK . The algebraic properties of the El Gamal cryptosystem permit a ciphertext C to be transformed into a new, unlinkable ciphertext C' using the public key PK alone—and with no change to the underlying plaintext S . In order to prevent wanton re-encryption by, e.g., malicious passersby, JP propose that banknotes carry optical write-access keys; to re-encrypt a ciphertext, a reader must scan this key. (As we shall discuss, RFID-enabled passports may employ a similar mechanism.)

From several perspectives, like the need for re-encrypting readers, the JP system is very cumbersome. But it helpfully introduces the principle that cryptography can enhance RFID-tag privacy even when tags themselves cannot perform cryptographic operations.

In a critique in [7], Avoine explores limitations in the formal security model of JP. He observes, for instance, that eavesdropping on re-encrypting readers in the JP system can undermine privacy.

f) *Universal re-encryption*: The JP system relies on a single, universal key pair (SK, PK) . While a single key pair might suffice for a unified monetary system, a general RFID system would certainly require multiple key pairs. Straightforward extension of JP to multiple key pairs $(SK_1, PK_1), (SK_2, PK_2), \dots (SK_n, PK_n)$, however, would undermine system privacy. To re-encrypt a ciphertext C , it would be necessary to know under *which* public key PK_i it is encrypted, information that is potentially privacy-sensitive.

Golle *et al.* [26] address this limitation in JP by proposing a simple cryptosystem that permits re-encryption of a ciphertext *without knowledge of the corresponding public key*.¹² Their system, called *universal re-encryption*, involves an extension to the El Gamal cryptosystem that doubles ciphertext sizes.

The Golle *et al.* system has a serious security limitation: It does not preserve *integrity*. Instead of re-encrypting a ciphertext, an adversary can substitute an entirely new ciphertext, i.e., alter the underlying plaintext. Ateniese *et al.* [6] furnish a solution to this problem predicated on bilinear pairings in elliptic curve cryptosystems. They propose a universal re-encryption scheme in which a ciphertext can be digitally signed by a central authority, thereby permitting anyone to verify the authenticity of the associated plaintext, namely the tag identifier. The Ateniese *et al.* scheme retains the full privacy-preserving features of ordinary universal re-encryption. It does not, however, defend against *swapping*, an attack in which an adversary exchanges two valid ciphertexts across RFID tags. Effective defense against swapping attacks remains an open research problem.

3) *The Proxying Approach*: Rather than relying on public RFID readers to enforce privacy protection, consumers might instead carry their own privacy-enforcing devices for RFID. As already noted, some mobile phones include RFID functionality. They might ultimately support privacy protection. Researchers have proposed several systems along these lines.

- Floerkemeier *et al.* [23] propose and briefly describe a prototype “Watchdog Tag,” essentially an audit system for RFID privacy. The Watchdog Tag monitors ambient scanning of RFID tags, and collects information from readers, like their privacy policies.
- Rieback *et al.* [58] and Juels *et al.* [46] propose very similar devices, respectively, called an “RFID Guardian” and “RFID Enhancer Proxy” (REP). A Guardian (to use the first term) acts as a kind of personal RFID firewall. It intermediates reader requests to tags; viewed another way, the Guardian selectively simulates tags under its control. As a high-powered device with substantive computing power, a Guardian can implement sophisticated privacy policies, and can use channels other than RFID (e.g., GPS or Internet connections) to supplement ambient data. For ex-

¹²Golle *et al.* designed universal re-encryption for use in mix networks [13], a cryptographic, privacy-preserving tool for anonymous Web browsing, anonymous e-mail, elections, and so forth. They observe that a privacy-preserving RFID system involving relabeling is somewhat like a mix network.

ample, a Guardian might implement a policy like: “My tags should only be subject to scanning within 30 m of my home (as determined by GPS), or in shops that compensate consumer tag-scanning with coupons for a 10% discount.” The logistical questions of how a Guardian should acquire and release control of tags and their associated PINs or keys are tricky ones that merit further research.

4) *Distance Measurement*: The barebones resources of basic RFID tags urge exploration of privacy schemes that shy away from expensive, high-level protocols and instead exploit lower protocol layers. Fishkin, Roy, and Jiang (FRJ) [21] demonstrate that the signal-to-noise ratio of the reader signal in an RFID system provides a rough metric of the distance between a reader and a tag. They postulate that with some additional, low-cost circuitry a tag might achieve rough measurement of the distance of an interrogating reader. FRJ propose that this distance serve as a metric for trust. A tag might, for example, release general information (“I am attached to a bottle of water”) when scanned at a distance, but release more specific information, like its unique identifier, only at close range.

5) *Blocking*: Juels, Rivest, and Szydlo (JRS) [45] propose a privacy-protecting scheme that they call *blocking*. Their scheme depends on the incorporation into tags of a modifiable bit called a *privacy bit*. A “0” privacy bit marks a tag as subject to unrestricted public scanning; a “1” bit marks a tag as “private.” JRS refer to the space of identifiers with leading “1” bits as a *privacy zone*. A *blocker tag* is a special RFID tag that prevents unwanted scanning of tags mapped into the privacy zone, as we shall explain.

Example: To illustrate how blocking might work in practice, consider a supermarket scenario. When first created, and at all times prior to purchase—in warehouses, on trucks, and on store shelves—tags have their privacy bits set to “0”. In other words, any reader may scan them. When a consumer purchases an RFID-tagged item, a point-of-sale device flips the privacy bit to a “1”: It transfers the tag into the privacy zone. (This operation is much like the “kill” function in EPC tags, and may be similarly PIN-protected.) Once in the privacy zone, the tag enjoys the protection of the blocker. Supermarket bags might carry embedded blocker tags, to protect items from invasive scanning when shoppers leave the supermarket. When a shopper arrives home, she removes items from her shopping bags and puts them in the refrigerator. With no blocker tag inside, an RFID-enabled “smart” refrigerator can freely scan RFID-tagged items. The consumer gets privacy protection from the blocker when it is needed, but can still use RFID tags when desired!

How does a blocker actually prevent undesired scanning? It exploits the anticollision protocol that RFID readers use to communicate with tags. This protocol is known as *singulation*. Singulation enables RFID readers to scan multiple tags simultaneously. To ensure that tag signals do not interfere with one another during the scanning process, the reader first ascertains what tags are present, and then addresses tags individually. Due to space limitations, we cannot furnish details here.

A blocker tag can be manufactured almost as cheaply as an ordinary tag. To prevent undesired reader stalling, JRS also propose mechanisms whereby a blocker tag can be “polite,” that is,

it can inform readers of its presence so that they do not attempt to scan the privacy zone.

Of course, the blocker concept has limitations. Given the unreliable transmission of RFID tags, even well-positioned blocker tags might fail. Readers might evolve, moreover, that can exploit characteristics like signal strength to filter blocker signals [59]. On the other hand, improvements and variations are possible: A blocker might be implemented as an active device in a mobile phone, for example. Given the notoriously unpredictable behavior of RFID devices in the real world, both attacks and defenses merit careful empirical evaluation.

g) *Soft blocking*: Juels and Brainard (JB) [41] propose a blocking variant that they call *soft blocking*. Rather than interfering with singulation, a soft blocker tag merely emits a compact policy statement, e.g., “Do not scan tags whose privacy bit is on.” (Viewed another way, a soft blocker tag is always “polite.”) JB propose that readers interpret such policies in software.¹³ Soft blocking relies on auditing of reader configurations to enforce compliance. As reader emissions are subject to ambient monitoring, it is possible to construct an audit device that detects readers that violate tag policies. While lacking some of the technical assurances of JRS blocking, soft blocking has certain advantages. For example, while JRS blocking is “opt-out,” soft blocking supports “opt-in” policies.

One scheme proposed by JB involves no explicit blocker tag at all, but relies on audit alone. This very simple approach has obvious technical deficiencies, but is perhaps the most practical form of blocking!

6) *Trusted Computing*: Molnar, Soppera, and Wagner (MSW) [53] briefly describe an alternative approach to enforcement of privacy policies, such as those that rely on “privacy bits.” They describe how readers equipped with trusted platform modules (TPMs) can enforce tag privacy policies internally. Such readers can generate externally verifiable attestations as to their configuration in accordance with these policies. MSW note that the commercially available ThingMagic Mercury 4 reader may come to include an XScale 2 processor with a TPM. While the MSW approach does not of course address the problem of rogue readers, it can facilitate or complement other forms of privacy protection.

B. Authentication

We have discussed the ways in which basic RFID tags can combat counterfeiting by offering enhanced supply-chain visibility. As we have noted, however, outside an environment of truly seamless information, counterfeiting of RFID tags can facilitate counterfeiting of consumer goods. Yet effective authentication of basic RFID tags—the type we consider here—is very difficult.

EPC tags of the Class-1 Gen-2 type have no explicit anti-counterfeiting features whatsoever. In principle, an attacker can simply skim the EPC from a target tag and program it into another, counterfeit tag—or simulate the target tag in another type of wireless device.

¹³RSA Laboratories demonstrated a conceptual soft blocking system at the RSA Conference in February 2004. They set up a mock pharmacy (called the *R_XA Pharmacy*), in which the bottles containing medications (jellybeans) bore RFID tags, and pharmacy bags carried soft blocker tags. This system was very simple. Both inventory tags and blockers were ordinary RFID tags. The system stored tags’ privacy bits in software.

Juels [40] shows a simple way to repurpose the kill function in EPC tags to achieve limited counterfeiting resistance. Normally, the kill PIN authenticates a reader to a tag in order to authorize the deactivation of the tag. Instead, this authentication can be reversed, and the kill PIN can instead serve to authenticate the tag to the reader. The basic protocol proposed in [40] co-opts the ability of tags to distinguish between valid and spurious kill PINs.

In [39], Juels proposes an RFID protocol called *yoking*. It provides cryptographic proof that two tags have been scanned simultaneously—and evidence (although not proof) that the tags were scanned in physical proximity to one another. A yoking protocol might, for example, allow a pharmacy to demonstrate to a government agency that it scanned an RFID-tagged medication bottle at the same time that it scanned an RFID-tagged booklet of contraindications—and thus that it furnished legally required information to consumers. One variant of this protocol is suitable for basic tags in that it requires virtually no computation, but it does require several hundred bits of storage per invocation.

Even if tags themselves do not carry on-board anticounterfeiting features, they can support physical anticounterfeiting mechanisms. Many forms of packaging today contain special, proprietary (and secret) dyes and other physical markers of uniqueness. Basic RFID tags can serve as carriers for their associated anticounterfeiting data.

III. SYMMETRIC-KEY TAGS

Let us now turn our attention to the class of RFID tags with richer security capabilities, those capable of computing *symmetric-key* (cryptographic one-way) functions.

For brevity, we use loose notation in this section, and assume very basic familiarity with cryptographic primitives. Recall that a cryptographic hash function h has the special property that for a random bit string M of sufficient length, it is infeasible to compute M from knowledge of the hashed value $h(M)$ alone. Hashing involves no secret key (and is, therefore, only loosely called a symmetric-key function). In contrast, *symmetric-key encryption*, sometimes called *secret-key encryption*, relies upon a secret key k . With this key, a message or *plaintext* M can be encrypted as a *ciphertext* $C = e_k[M]$. Only with knowledge of k is it feasible to decrypt C and recover M .

In our discussions here we assume a centralized system, i.e., one in which readers are continuously online. We denote the number of tags in a system by n , and let T_i for $1 \leq i \leq n$ denote the identifier for the i th tag in the system. We informally refer to this tag as T_i . We suppose that tag T_i contains in memory a distinct, random, and secret key k_i .

A. Cloning

In principle, symmetric-key cryptography can go far toward eliminating the problem of tag cloning. With a simple challenge-response protocol like the following, a tag T_i can authenticate itself to a reader with which it shares the key k_i .

- 1) The tag identifies itself by transmitting the value T_i .
- 2) The reader generates a random bit string R (often called a *nonce*) and transmits it to the tag.
- 3) The tag computes $H = h(k_i, R)$, and transmits H .
- 4) The reader verifies that $H = h(k_i, R)$.

Alternatively, and more or less equivalently, the tag can return $e_{k_i}[R]$. (Note that for the moment here, we set aside privacy considerations, and suppose that tags identify themselves.)

Provided that the hash function h (or encryption function e) is well constructed and appropriately deployed, it is infeasible for an attacker to simulate T_i successfully without physically attacking the tag. In practice, resource constraints in commercial RFID tags sometimes lead to the deployment of weak cryptographic primitives, and thus vulnerable authentication protocols, as our discussion now illustrates.

1) *Digital Signature Transponder (DST)*: Texas Instruments (TI) manufactures a low-frequency, cryptographically-enabled RFID device called a Digital Signature Transponder (DST). The DST serves as a theft-deterrent in millions of automobiles—many late-model Ford and Toyota vehicles, for example. Present as a tiny, concealed chip in the ignition key of the driver, the DST authenticates the key to a reader near the key slot as a precondition for starting the engine. (The metal portion of the ignition key in isolation will not start the vehicle.) The DST is also present in SpeedPass wireless payment devices, used by millions of customers primarily at ExxonMobil petrol stations in North America.

The DST executes a simple challenge-response protocol essentially like that described above. It contains a secret key k_i . In response to a random challenge R from a reader, the DST executes an encryption function e and outputs $C = e_{k_i}[R]$. The challenge R is 40 bits in length, the response C is 24 bits in length. Of particular note is the length of the secret key k_i . It is only 40 bits. As cryptographers know well, this is quite short by today's standards: A key of this length is vulnerable to brute-force computational attack. Perhaps recognizing the inadequate key-length of the DST, TI has not published details of the encryption algorithm e , instead preferring the approach of "security through obscurity."

In late 2004, a team of researchers at Johns Hopkins University and RSA Laboratories set out to demonstrate the security vulnerability of the DST [11]. They succeeded in fully cloning DST tokens, by which we mean cracking their keys and exactly simulating them in separate devices. Their effort involved three stages.

- 1) **Reverse-engineering**: The researchers determined the unpublished encryption algorithm e in the DST. They relied on three things: 1) a TI DST reader, available in an evaluation kit; 2) some blank DSTs, meaning tokens with programmable secret keys; and (3) a loose schematic of the encryption algorithm e published on the Internet by a scientist at TI [49]. With the reader and blank tags, the researchers were able to determine the output value $e_k[R]$ for any key k and challenge R . Based on the published schematic, they carefully formulated and tested sequences of key/challenge pairs to derive operational details of the encryption algorithm e . They did not physically probe the DST in any way.
- 2) **Key cracking**: Having determined e , the researchers implemented a hardware "cracker" costing several thousand dollars. This cracker consisted of an array of 16 FPGA boards. Given two input-output pairs (R_1, C_1)

and (R_2, C_2) skimmed from a target DST, it proved capable of recovering a secret key in about thirty minutes on average. The cracker operated by brute force, meaning that it searched the full space of 2^{40} possible DST keys.¹⁴

- 3) **Simulation:** The researchers constructed a programmable radio device that exactly simulates the output of any target DST.¹⁵

The JHU-RSA team demonstrated their attack in the field. Simulating the DST present in an ignition key (and using a copy of the metal portion), they “stole” their own car. They also purchased gasoline at a service station using a clone of their own SpeedPass token!

As this work demonstrates, all that an attacker requires to clone a DST is a pair of challenge/response values. An attacker could, for example, set up a reader in a crowded area, such as a subway station, and harvest challenge/response pairs from DST in the pockets of passersby. Alternatively, the attacker could eavesdrop (at longer range) on the communications between a DST and valid reader.

2) *Reverse-Engineering and Side Channels:* Most RFID tags are and may continue to be too inexpensive to include tamper-resistance mechanisms. Physically invasive attacks are mainly of concern for RFID tags that serve as authenticators, and of greatest concern when such attacks leave no physical traces or permit the construction of perfect physical replicas of target devices. For example, a reverse-engineered RFID-based payment device might be cloned to effect fraudulent payments (online controls serving as an important but limited countermeasure). Reverse-engineering of smartcards is an increasingly well studied area; even hardened devices have yielded to successful probing using modest resources [5].

Most interesting and potentially serious in the case of RFID are attacks involving *side channels*, meaning sources of information beyond the mere bit-values of protocol flows. RFID tags, far more than contact devices, leak information *over the air*, opening the prospect of wireless, noninvasive side-channel attacks. The two predominant forms of side-channel analysis studied by the security community are *timing attacks*, which extract information based on variations in the rate of computation of a target device, and *power analysis* attacks, which exploit measurable variations in power consumption. Over-the-air timing attacks against RFID tags would appear to be eminently viable; their efficacy is an open research question. Similarly, measurements of electromagnetic emanations could pave the way for over-the-air power-analysis attacks. Carluccio *et al.* have initiated early work in this area [12].

3) *Relay Attacks:* No matter how well designed the cryptographic protocols in an RFID device, and no matter how strong the cryptographic primitives, one threat is ineluctable: *relay* or *man-in-the-middle* attacks.¹⁶ These attacks can bypass any cryptographic protocol, even public-key ones. Given the limited read

¹⁴An attacker scanning DSTs with a rogue reader could instead perform this key search using a Hellman table, as described below. This would reduce the key search effort to about two minutes on an ordinary PC.

¹⁵A DST cannot simply be programmed with a recovered key, as it outputs a static device identifier along with its cryptographic output.

¹⁶*Wormhole* attacks, as explored in the ad hoc networking community, similarly involve deception around physical distance, often with the aim of tampering with routing tables.

range of tags, many security applications of RFID involve a presumption of physical proximity between tags and readers. Basic security premises fail, for instance, if a proximity card can be caused to open a door or an RFID-based credit card can effect payment from a kilometer away.

A relay attack undermines proximity assumptions in an RFID system. To use the colorful nomenclature of Kfir and Wool [51], this type of attack involves two communicating devices, a *leech* and a *ghost*. The attacker situates the leech physically close to the target RFID device and the ghost close to a target reader. Intercommunication between the leech and ghost creates the appearance of physical proximity between the target RFID device and a target reader when they may in fact lie very far apart.

Kfir and Wool (KW) modeled the operational distance of a leech and ghost pair in an ISO 14443 system, for which the nominal read range is 10 cm. They considered simple leech and ghost designs based on NFC devices. As noted above, some mobile phones are now NFC-enabled. KW concluded that a leech can operate at a distance of at least 50 cm from a target RFID device, while the ghost can operate at a distance of up to 50 m from a target reader! The distance between the leech and ghost can in principle be almost unlimited—certainly on the order of kilometers.

Recently, Hancke [30] actually implemented a relay attack against an ISO 14443A contactless smartcard, and achieved a 50 m distance between the leech and ghost. Some approaches to distance-bounding countermeasures are proposed in, e.g., [21] and [29].

B. Privacy

At the heart of the privacy problem for symmetric-key-enabled RFID tags lies the challenge of *key management*.

As we have seen, cryptographically secure authentication (or even mere identification) of an RFID tag T_i relies on a symmetric key k_i shared between a tag and reader. The fact that this key is tag-specific leads to a paradox. Suppose that a tag identifies itself *prior* to authenticating an interrogating reader or without authenticating an interrogating reader at all, i.e., the tag emits its identifier T_i more or less promiscuously. Privacy, then, is unachievable, since any reader can learn the identity of the tag. On the other hand, a tag cannot easily identify itself *after* the reader authenticates to the tag. If the reader does not know which tag it is interrogating, it cannot determine which key k_i to use in protocol interactions with the tag!

There is a straightforward but heavyweight solution to this privacy conundrum. A reader can identify tags by means of *key search*. In loose schematic terms, the procedure is as follows.

Let $f_{k_i}[M]$ denote a keyed one-way function—either $h(k_i, M)$ or $e_{k_i}[M]$, for example. Let P be an input value, a random session-specific value, that is, a *nonce*, or a static bit-string. (Different proposed schemes involve different choices for P .) Reader identification of a tag encompasses the following two steps, often at the heart of a larger protocol.

- 1) Tag T_i emits $E = f_{k_{T_i}}[P]$. (For example, T_i might encrypt a nonce P under the key k_{T_i} .)
- 2) On receiving E from a tag, the reader searches the space of all tag keys $K = \{k_{T_j}\}_j$ for a key such that $f_{k_{T_j}}[P] =$

E . (For example, the reader might try to decrypt E under every key in K until it obtains P .)

If the scheme is correctly parameterized, the reader will find only one key k_{T_j} that successfully yields E . This key uniquely identifies the tag as T_j . To ensure the privacy of the tag, clearly the value E emitted by the tag must vary from session to session, otherwise E is a static identifier. Thus, either k_{T_i} or P (or both) must vary over time; different schemes involve different ways of varying these values, as we shall see.

In our discussion of the literature, we focus on techniques for tag identification, rather than authentication. Of course, the two processes are interrelated. In a general symmetric-key system, however, tag identification is a precondition for tag authentication. As we have explained, a reader must determine which key k_i it shares with a tag in order to perform any mutually intelligible cryptographic operation.

C. Literature

Weis, Sarma, Rivest, and Engels (WSRE) [69] first advanced the general approach of key search for RFID-tag identification. Among other variants, they propose a basic scheme in which a tag emits $E = (h(k_i, R), R)$, where R is a random nonce generated by the tag. To identify a tag, a reader computes $h(k_j, R)$ for all keys in K until it finds k_i . (WSRE also describe how a reader, having identified a tag, can cryptographically “unlock” it so that it releases private data.)

WSRE noted the major sticking point with the key-search approach: The computational cost for the reader is linear in n . In practice, if the set of tags $\{T_i\}_{i=1}^n$ is large, then key search can be prohibitively costly. Subsequent literature in this area has largely aimed to reduce the cost of key search. Every such proposal, however, involves some kind of tradeoff, either the addition of a new architectural requirement or the suppression of a security or privacy property.

h) Tree approach: Molnar and Wagner (MW) [55] propose a scheme in which a tag contains not one symmetric key, but multiple keys. These keys are arranged in a hierarchical structure defined by a tree S . Every node in the tree, except the root, has a unique associated key. Each tag is assigned to a unique leaf; it contains the keys defined by the path from the root of S to this leaf. If the tree has depth d and branching degree b , then each tag contains d keys, and the scheme can accommodate up to d^b tags in total.

A tag in the MW scheme authenticates to a reader using each of its d secret keys—either in serial or in parallel—in order of their depth in the tree. The tag effectively runs several rounds of the two-step identification protocol sketched above, increasing the granularity of the key in each round, i.e., narrowing the set of tags to be searched. The result is a striking improvement in efficiency. A reader can identify a tag by means of a depth-first search of S and, therefore, needs to search through at most db keys. In contrast, a brute-force key search, as we have explained above, would require that a reader search the space of all $n = d^b$ tag keys.

There is a price to be paid for this efficiency gain. The tree structure creates an overlap among the sets of keys in tags. Compromise of the secrets in one tag, therefore, results in compromise of secrets in other tags. Compromise of a fraction of the

tags in the system can lead to substantive privacy infringements, as analyzed in [9].

Molnar, Soppera, and Wagner (MSW) [54] explore ways in which the subtrees in the MW scheme may be associated with individual tags. They introduce a new idea, that of *delegation* of the ability to identify tags. By transferring subtree data in the MSW system, the owner of a tag can enable another party to identify the tag over a limited window of time (i.e., number of read operations). Such tag delegation can be useful in a couple of ways.

- 1) A tag holder can transfer ownership of an RFID tag to another party, while ensuring that past tag history remains private.
- 2) A centralized authority with full tag information can provision readers to scan particular tags over limited windows of time. Readers can thus download temporary scanning privileges, a useful feature in systems with intermittent connectivity.

i) Synchronization approach: Another approach to avoiding brute-force key search is for a reader to maintain synchronized state with tags.

Suppose that every tag T_i maintains a counter $P = c_i$ that is incremented with each reader query. On interrogation, the tag outputs $E = f_{k_i}[c_i]$. Provided that a valid reader knows the approximate current value of the counters of tags in the system, it can store a searchable table of tag output values. Suppose that for every tag T_i the reader maintains a counter value c'_i that does not lag behind c_i by more than d timesteps. Then, if the reader maintains the output values $f_{k_i}[c'_i], f_{k_i}[c'_i + 1], \dots, f_{k_i}[c'_i + d]$ in a table, it can at any time look up the output E of tag T_i . With a table of size dn , the reader can look up the output of any tag in the system.

The literature explores several variants of this principle.

- Ohkubo, Suzuki, and Kinoshita (OSK) [56] propose the conceptually simplest approach: They simply assume that a tag never emits more than m values over its lifetime. Therefore, a reader can construct a one-time lookup table on the first m outputs for all tags, i.e., on the values $\{f_{k_i}[j]\}_{i \in \{1 \dots n\}, j \in \{1 \dots m\}}$. (OSK do not investigate the issue of tag authentication, although a challenge-response protocol could be layered straightforwardly onto their basic system.) A recently elaborated attack against this system is discussed in [48].
- Henrici and Müller [31] propose to resolve the synchronization problem by having a tag emit the number of timesteps Δc since the last successful authentication with the reader. This approach, however, exposes a tag to adversarial tracking. By querying a tag repeatedly, for example, an attacker can inflate Δc artificially, to the point where Δc is distinctly large and, therefore, recognizable to the adversary. Avoine identifies this problem in [8].
- Juels [38] proposes a scheme that effectively caps the degree of desynchronization with a reader; it is a cryptographic variant on the “minimalist” approach described above. Rather than incrementing its counter indefinitely, a tag loops through a bounded sequence of d output values $f_{k_i}[z], f_{k_i}[z+1], \dots, f_{k_i}[z+d-1]$. Only upon successful

mutual authentication with the reader does the tag advance to the next sequence of d output values. The reader maintains a dynamic lookup table of size $O(dn)$ for all tags. (Like the basic minimalist scheme, this one assumes “throttling” of tag data.)

- Dimitriou [17] proposes a scheme that eliminates the issue of desynchronization entirely. Here, a tag alters its counter value and, and thus its output value, only upon successful mutual authentication with a reader. This approach renders key search highly practical, and also helps defend against denial-of-service attacks. The drawback, of course, is that between identification sessions with a legitimate reader, the output value of a tag is static. Tags are, therefore, subject to tracking during such intervals of time. As Dimitriou notes, however, the resulting privacy properties may be sufficient from a practical standpoint.

D. Implementing Symmetric-Key Primitives

Just as important as the effective use of symmetric-key cryptographic primitives for privacy or authentication is the efficient design and implementation of these primitives. A few papers explore primitives geared specifically at the very tightly constrained environments of RFID tags.

- Vajda and Buttyán [66] propose a medley of lightweight cryptographic primitives for RFID-tag authentication. (They do not provide formal analysis, however.)
- Feldhofer, Dominikus, and Wolkerstorfer [19] propose a lightweight hardware implementation of a symmetric-key cipher, namely, a 128-bit version of the Advanced Encryption Standard (AES). Their design requires just over 3500 gate equivalents—considerably more than appropriate for basic RFID tags, but suitable for higher cost RFID tags.
- Juels and Weis [47], [68] propose a lightweight authentication protocol called HB^+ that has security reducible to a problem called *Learning Parity with Noise*. To implement HB^+ , tags need only generate random bits and compute binary dot products. The key lengths required for good security are as yet unknown, however, and the security model is limited [25].

The European Network for Excellence in Cryptology (ECRYPT) is currently evaluating 21 candidate stream ciphers [2], some of them geared toward resource-constrained hardware platforms. Successful candidates could prove suitable for RFID tags.

IV. CONCLUSION

It is astonishing how a modest device like an RFID tag, essentially just a wireless license plate, can give rise to the complex melange of security and privacy problems that we explore here. RFID privacy and security are stimulating research areas that involve rich interplay among many disciplines, like signal processing, hardware design, supply-chain logistics, privacy rights, and cryptography. The scale of the systems and data flows that RFID will introduce, as well as the new forms of user perception of security and privacy [16], [52], [61] will continue to bring new problems and new interdisciplinary intersections to light.

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