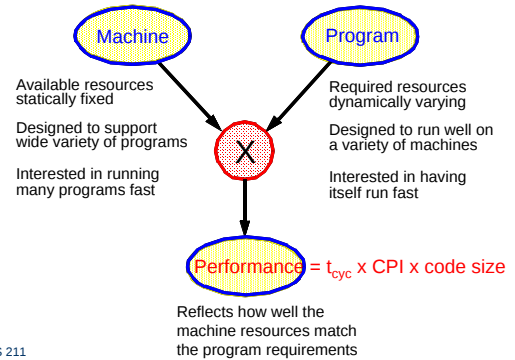


Introduction to Optimizing Compilers

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Hardware-Software Interface



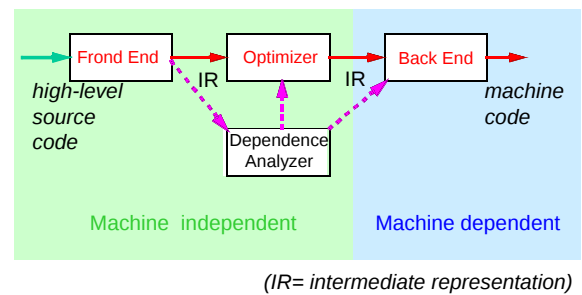
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Compiler Tasks

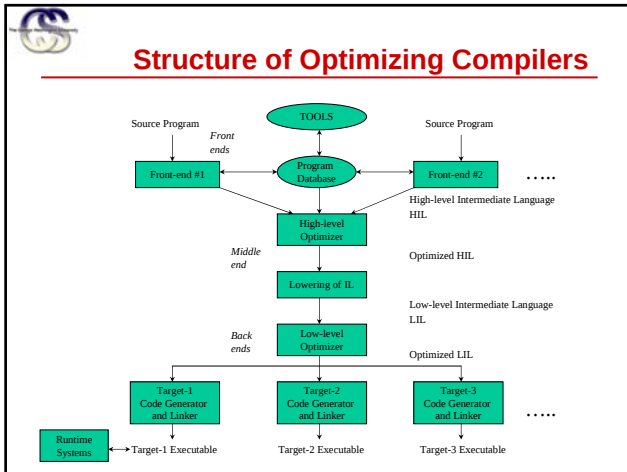
- **Code Translation**
 - Source language → target language
 - FORTRAN → C
 - C → MIPS, PowerPC or Alpha machine code
 - MIPS binary → Alpha binary
- **Code Optimization**
 - Code runs faster
 - Match dynamic code behavior to static machine structure

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Compiler Structure



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Front End

- **Lexical Analysis**
 - Misspelling an identifier, keyword, or operator
e.g. lex
- **Syntax Analysis**
 - Grammar errors, such as mismatched parentheses
e.g. yacc
- **Semantic Analysis**
 - Type checking

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Front-end

1. **Scanner** - converts input character stream into stream of lexical tokens
2. **Parser** - derives syntactic structure (parse tree, abstract syntax tree) from token stream, and reports any syntax errors encountered

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Front-end

3. **Semantic Analysis** - generates intermediate language representation from input source program and user options/directives, and reports any semantic errors encountered

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High-level Optimizer

- Global intra-procedural and inter-procedural analysis of source program's control and data flow
- Selection of high-level optimizations and transformations
- Update of high-level intermediate language

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Intermediate Representation

- Achieve retargetability
 - Different source languages
 - Different target machines
- Example (tree-based IR from CMCC)

Linear form of graphical representation

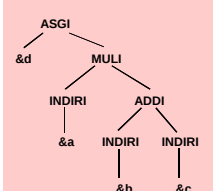
```

int a, b, c, d;
d = a * (b+c);

A0 5 78 "a"
A1 5 78 "b"
A2 5 78 "c"
A3 5 78 "d"

FND1 ADDR L A3
FND2 ADDR L A0
FND3 INDIR I FND2
FND4 ADDR L A1
FND5 INDIR I FND4
FND6 ADDR L A2
FND7 INDIR I FND6
FND8 ADD I FND5 FND7
FND9 MUL I FND3 FND8
FND10 ASGI FND9
          
```

Graphical Representation



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Lowering of Intermediate Language

- Linearized storage/mapping of variables
 - e.g. 2-d array to 1-d array
- Array/structure references → load/store operations
 - e.g. A[i] to load R1,(R0) where R0 contains i
- High-level control structures → low-level control flow
 - e.g. "While" statement to Branch statements

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Machine-Independent Optimizations

- Dataflow Analysis and Optimizations
 - Constant propagation
 - Copy propagation
 - Value numbering
- Elimination of common subexpression
- Dead code elimination
- Strength reduction
- Function/Procedure inlining

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Code-Optimizing Transformations

- **Constant folding**

```
(1 + 2)    =>    3
(100 > 0)  =>    true
```
- **Copy propagation**

```
x = b + c      x = b + c
z = y * x      =>  z = y * (b + c)
```
- **Common subexpression**

```
x = b * c + 4      t = b * c
z = b * c - 1      x = t + 4
                  =>  z = t - 1
```
- **Dead code elimination**

```
x = 1
x = b + c           or if x is not referred to at all
```

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Code Optimization Example

```

x = 1
y = a * b + 3
z = a * b + x + z + 2
x = 3

```

→ propagation

```

x = 1
y = a * b + 3
z = a * b + 1 + z + 2
x = 3

```

↓ constant folding

```

x = 1
y = a * b + 3
z = a * b + 3 + z
x = 3

```

← dead code elimination

```

y = a * b + 3
z = a * b + 3 + z
x = 3

```

↓ common subexpression

```

t = a * b + 3
y = t
z = t + z
x = 3

```

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Code Motion

- Move code between basic blocks
- E.g. move loop invariant computations outside of loops

```

while (i < 100) {
    *p = x / y + i
    i = i + 1
}

```

→

```

t = x / y
while (i < 100) {
    *p = t + i
    i = i + 1
}

```

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Strength Reduction

- Replace complex (and costly) expressions with simpler ones
- E.g.


```
a := b * 17 → a := (b << 4) + b
```
- E.g.


```

p = &a[i]
t = i * 100
while (i < 100) {
    a[i] = i * 100
    i = i + 1
}

```

→

```

p = &a[i]
t = i * 100
while (i < 100) {
    *p = t
    t = t + 100
    p = p + 4
    i = i + 1
}

```

loop invariant: $\&a[i] == p, i * 100 == t$

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Induction variable elimination

- **Induction variable:** loop index.
- **Consider loop:**

```
for (i=0; i<N; i++)
  for (j=0; j<M; j++)
    z[i][j] = b[i][j];
```
- **Rather than recompute $i*M+j$ for each array in each iteration, share induction variable between arrays, increment at end of loop body.**

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Loop Optimizations

- Motivation: **restructure program so as to enable more effective back-end optimizations and hardware exploitation**
- **Loop transformations are useful for enhancing**
 - register allocation
 - instruction-level parallelism
 - data-cache locality
 - vectorization
 - parallelization

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Importance of Loop Optimizations

Program	No. of Loops	Static B.B. Count	Dynamic B.B. Count	% of Total
nasa7	9	---	322M	64%
	16	---	362M	72%
	83	---	500M	~100%
matrix300	1	17	217.6M	98%
	15	96	221.2M	98+%
tomcatv	1	7	26.1M	50%
	5	22	52.4M	99+%
	12	96	54.2M	~100%

Study of loop-intensive benchmarks in the SPEC92 suite [C.J. Newburn, 1991]



Loop optimizations

- **Loops are good targets for optimization.**
- **Basic loop optimizations:**
 - code motion;
 - induction-variable elimination;
 - strength reduction ($x*2 \rightarrow x<<1$).
- **Improve performance by unrolling the loop**
 - Note impact when using processors that allow parallel execution of instructions
 - Texas Instruments new DSP processors

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Function inlining

- Replace function calls with function body
- Increase compilation scope (increase ILP)
e.g. constant propagation, common subexpression
- Reduce function call overhead
e.g. passing arguments, reg. saves and restores

[W.M. Hwu, 1991 (DEC 3100)]

Program	In-line Speedup	in-line Code Expansion
cccp	1.06	1.25
compress	1.05	1.00+
equ	1.12	1.21
espresso	1.07	1.09
lex	1.02	1.06
tbl	1.04	1.18
xlisp	1.46	1.32
yacc	1.03	1.17

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Back End

IR → Back End → Machine code

```

graph LR
    IR --> BE[Back End]
    BE --> MC[Machine code]
    BE --> CS[code selection]
    BE --> CSched[code scheduling]
    BE --> RA[register allocation]
    BE --> CE[code emission]
    CS --> CSched
    CSched --> RA
    RA --> CE
  
```

- map virtual registers into architect registers
- rearrange code
- target machine specific optimizations
 - delayed branch
 - conditional move
 - instruction combining
 - auto increment addressing mode
 - add carrying (PowerPC)
 - hardware branch (PowerPC)

Instruction-level IR

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Code Selection

- Map IR to machine instructions (e.g. pattern matching)

```

Inst *match (IR *n) {
  switch (n->opcode) {
    case .....:
    case MUL:
      l = match (n->left());
      r = match (n->right());
      if (n->type == D || n->type == F)
        inst = mult_fp( (n->type == D), l, r );
      else
        inst = mult_int( (n->type == I), l, r );
      break;
    case ADD:
      l = match (n->left());
      r = match (n->right());
      if (n->type == D || n->type == F)
        inst = add_fp( (n->type == D), l, r );
      else
        inst = add_int( (n->type == I), l, r );
      break;
    case .....:
  }
  return inst;
}
  
```

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Our old friend...CPU Time

- CPU time = CPI * IC * Clock
- What do the various optimizations affect
 - Function inlining
 - Loop unrolling
 - Code optimizing transformations
 - Code selection

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Machine Dependent Optimizations

- Register Allocation
- Instruction Scheduling
- Peephole Optimizations

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Peephole Optimizations

- Replacements of assembly instruction through template matching
- Eg. Replacing one addressing mode with another in a CISC

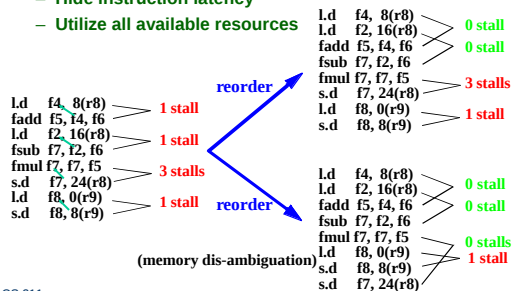
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Code Scheduling

- Rearrange code sequence to minimize execution time

- Hide instruction latency
- Utilize all available resources



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Cost of Instruction Scheduling

- Given a program segment, the goal is to execute it as quickly as possible
- The completion time is the *objective function* or cost to be minimized
- This is referred to as the *makespan* of the schedule
- It has to be balanced against the running time and space needs of the algorithm for finding the schedule, which translates to *compilation cost*

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Instruction Scheduling Example

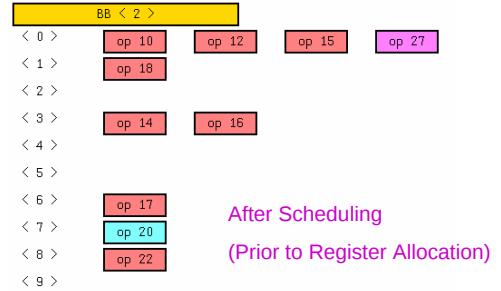
```
main(int argc, char *argv[])
{
    int a, b, c;

    a = argc;
    b = a * 255;
    c = a * 15;
    printf("%d\n", b*b - 4*a*c);
}
```

```

op 10 MPY vr2 ← param1, 255
op 12 MPY vr3 ← param1, 15
op 14 MPY vr8 ← vr2, vr2
op 15 SHL vr9 ← param1, 2
op 16 MPY vr10 ← vr9, r3
op 17 SUB param2 ← vr8, r10
op 18 MOV param1 ← addr("%d\n")
op 27 PBRR vb12 ← addr(sprintf)
op 20 BRL ret_addr ← vb12
```

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Instruction Scheduling

Given a source program P, schedule the instructions so as to minimize the overall execution time on the functional units in the target machine

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The General Instruction Scheduling Problem

Feasible Schedule: A specification of a *start time* for each instruction such that the following constraints are obeyed:

1. Resource: Number of instructions of a given type of any time < corresponding number of FUs
2. Precedence and Latency: For each predecessor j of an instruction i in the DAG, i is started only δ cycles after j finishes where δ is the latency labeling the edge (j,i) ,

Output: A schedule with the minimum overall completion time

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Instruction Scheduling

Input: A basic block represented as a DAG

```

graph LR
    i1((i1)) -- 0 --> i2((i2))
    i1 -- 0 --> i3((i3))
    i2 -- 1 --> i4((i4))
    i3 -- 0 --> i4
    style i2 fill:#00ff00
    style i3 fill:#00ff00
    style i4 fill:#00ff00
  
```

- i_2 is a load instruction.
- Latency of 1 on (i_2, i_4) means that i_4 cannot start for one cycle after i_2 completes.

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Instruction Scheduling

S_1 i₁ i₃ i₂ i₄

S_2 i₁ i₂ i₃ i₄

- Two schedules for the above DAG with S_2 as the desired sequence.

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Why Register Allocation?

- Storing and accessing variables from registers is much faster than accessing data from memory.
 - Variables ought to be stored in registers
- It is useful to store variables as long as possible, once they are loaded into registers
- Registers are bounded in number
 - “register-sharing” is needed over time.

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Register Allocation

- Map virtual registers into physical registers
 - minimize register usage to reduce memory accesses
 - but introduces false dependencies

Ld f4, 8(r8)	Ld \$f0, 8(r8)	f2	f2
fadd f5, f4, f6	fadd \$f2, \$f0, \$f3	f4	
Ld f2, 16(r8)	Ld \$f0, 16(r8)	f7	f7
fsub f7, f2, f6	fsub \$f0, \$f0, \$f3	f8	
fmul f7, f7, f5	fmul \$f0, \$f0, \$f2	f5	f5
s.d f7, 24(r8)	s.d \$f0, 24(r8)		
Ld f8, 0(r9)	Ld \$f0, 0(r9)	f6	f6
s.d f8, 8(r9)	s.d \$f0, 8(r9)		

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The Goal

- *Primarily* to assign registers to variables
- However, the allocator runs out of registers quite often
- Decide which variables to “flush” out of registers to free them up, so that other variables can be bought in
 - *Spilling*

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Cost of Register Allocation (Contd.)

- Therefore, *maximizing* the duration of operands in registers or *minimizing* the amount of spilling, is the goal
- Once again, the running time (complexity) and space used, of the algorithm for doing this is the compilation cost

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Register Allocation and Assignment

- **Allocation:** identifying program values (virtual registers, live ranges) and program points at which values should be stored in a physical register
- Program values that are not allocated to registers are said to be *spilled*
- **Assignment:** identifying which physical register should hold an allocated value at each program point.

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Our old friend...CPU Time

- CPU time = CPI * IC * Clock
- What do the various optimizations affect
 - **Instruction scheduling**
 - Stall cycles
 - **Register Allocation**
 - Stall cycles due to false dependencies, spill code

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Performance analysis

- Elements of program performance (Shaw):
 - execution time = program path + instruction timing
- Path depends on data values. Choose which case you are interested in.
- Instruction timing depends on pipelining, cache behavior.

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Programs and performance analysis

- Best results come from analyzing optimized instructions, not high-level language code:
 - non-obvious translations of HLL statements into instructions;
 - code may move;
 - cache effects are hard to predict.
- importance of compiler
 - Back-end of compiler

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Instruction timing

- Not all instructions take the same amount of time.
 - Hard to get execution time data for instructions.
- Instruction execution times are not independent.
- Execution time may depend on operand values.

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Trace-driven performance analysis

- Trace: a record of the execution path of a program.
- Trace gives execution path for performance analysis.
- A useful trace:
 - requires proper input values;
 - is large (gigabytes).
- Trace generation in H/W or S/W?

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Execution Frequencies?



What are Execution Frequencies

- Branch probabilities
- Average number of loop iterations
- Average number of procedure calls

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How are Execution Frequencies Used?

- Focus optimization on most frequently used regions
 - region-based compilation
- Provides quantitative basis for evaluating quality of optimization heuristics

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How are Execution Frequencies Obtained?

- Profiling tools:
 - Mechanism: sampling vs. counting
 - Granularity = procedure vs. basic block
- Compile-time estimation:
 - Default values
 - Compiler analysis
 - Goal is to select same set of program regions and optimizations that would be obtained from profiled frequencies

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What are Execution Costs?

Cost of intermediate code operation
parametrized according to target architecture:

- Number of target instructions
- Resource requirement template
- Number of cycles

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How are Execution Costs Used?

In conjunction with execution frequencies:

- Identify most time-consuming regions of program
- Provides quantitative basis for evaluating quality of optimization heuristics

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How are Execution Costs Obtained?

- Simplistic translation of intermediate code operation to corresponding instruction template for target machine

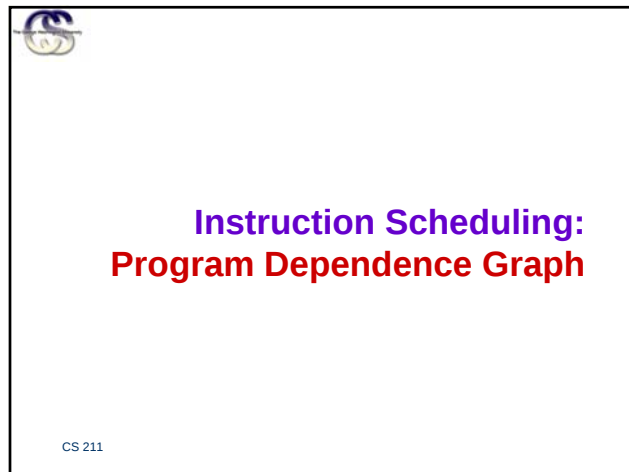
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Cost Functions

- Effectiveness of the Optimizations: *How well can we optimize our objective function?*
Impact on running time of the *compiled* code determined by the completion time.
- Efficiency of the optimization: *How fast can we optimize?*
Impact on the time it takes to compile or cost for gaining the benefit of code with fast running time.

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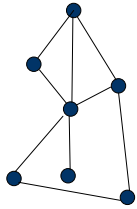
Basic Graphs

- A graph is made up of a set of **nodes** (V) and a set of **edges** (E)
- Each edge has a **source** and a **sink**, both of which must be members of the nodes set, i.e. $E = V \times V$
- Edges may be **directed** or **undirected**
 - A directed graph has only directed edges
 - A undirected graph has only undirected edges

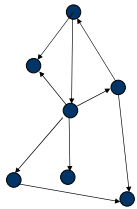
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Examples

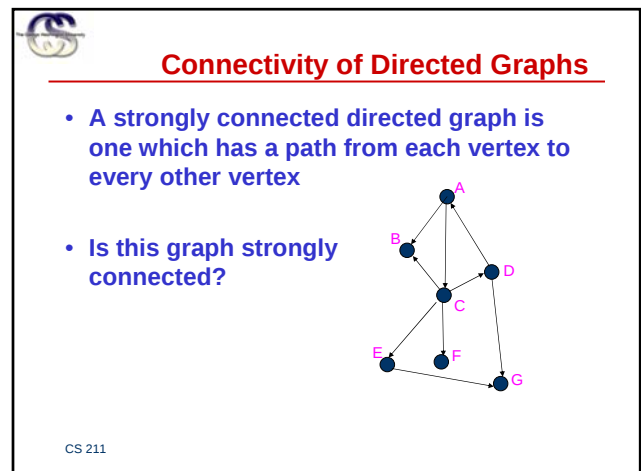
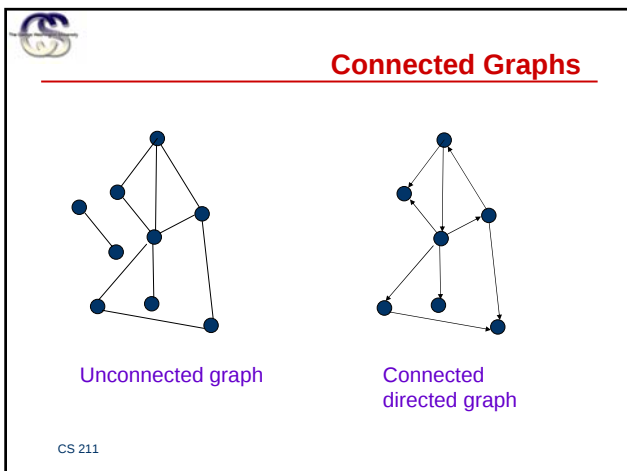
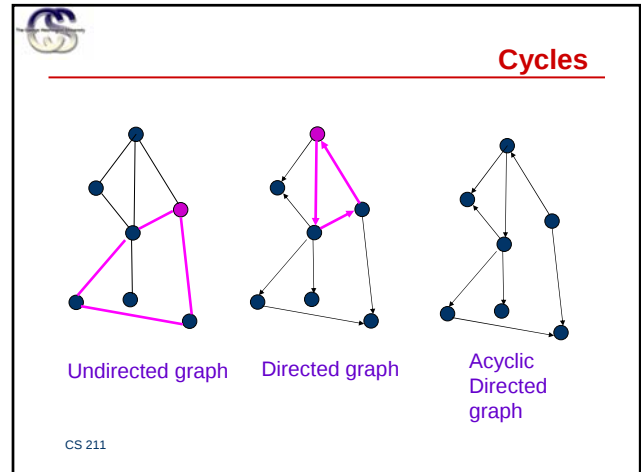
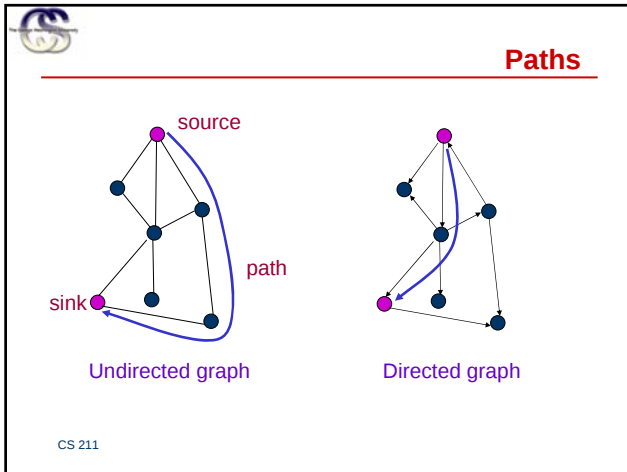


Undirected graph



Directed graph

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Program Dependence Graph

- The **Program Dependence Graph (PDG)** is the intermediate (abstract) representation of a program designed for use in optimizations
- It consists of two important graphs:
 - Control Dependence Graph captures control flow and control dependence
 - Data Dependence Graph captures data dependences

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Control Flow Graphs

- Motivation: language-independent and machine-independent representation of control flow in programs used in high-level and low-level code optimizers. The flow graph data structure lends itself to use of several important algorithms from graph theory.

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Control Flow Graph: Definition

A control flow graph $CFG = (N_c; E_c; T_c)$ consists of

- N_c , a set of nodes. A node represents a straight-line sequence of operations with no intervening control flow i.e. a **basic block**.
- $E_c \subseteq N_c \times N_c \times Labels$, a set of labeled edges.
- T_c , a node type mapping. $T_c(n)$ identifies the type of node n as one of: *START*, *STOP*, *OTHER*.

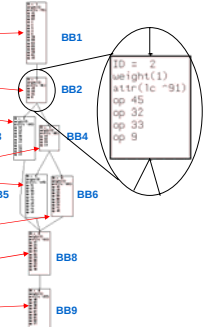
We assume that CFG contains a unique *START* node and a unique *STOP* node, and that for any node N in CFG , there exist directed paths from *START* to N and from N to *STOP*.

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CFG From Triraman

```
main(int argc, char *argv[])
{
    if (argc == 1) {
        printf("1");
    } else {
        if (argc == 2) {
            printf("2");
        } else {
            printf("others");
        }
    }
    printf("done");
}
```



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Data and Control Dependences

Motivation: identify only the essential control and data dependences which need to be obeyed by transformations for code optimization.

Program Dependence Graph (PDG) consists of

1. Set of nodes, as in the CFG
2. Control dependence edges
3. Data dependence edges

Together, the control and data dependence edges dictate whether or not a proposed code transformation is legal.

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Data Dependence Analysis

If two operations have potentially interfering data accesses, data dependence analysis is necessary for determining whether or not an interference actually exists. If there is no interference, it may be possible to reorder the operations or execute them concurrently.

The data accesses examined for data dependence analysis may arise from array variables, scalar variables, procedure parameters, pointer dereferences, etc. in the original source program.

Data dependence analysis is conservative, in that it may state that a data dependence exists between two statements, when actually none exists.

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Data Dependence: Definition

A *data dependence*, $S_1 \rightarrow S_2$, exists between CFG nodes S_1 and S_2 with respect to variable X if and only if

1. there exists a path $P: S_1 \rightarrow S_2$ in CFG, with no intervening write to X , and
2. at least one of the following is true:
 - (a) (flow) X is written by S_1 and later read by S_2 , or
 - (b) (anti) X is read by S_1 and later is written by S_2
 - or
 - (c) (output) X is written by S_1 and later written by S_2

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Def/Use chaining for Data Dependence Analysis

A *def-use chain* links a definition D (i.e. a write access of variable X) to each use U (i.e. a read access), such that there is a path from D to U in CFG that does not redefine X .

Similarly, a *use-def chain* links a use U to a definition D , and a *def-def chain* links a definition D to a definition D' (with no intervening write to X in all cases).

Def-use, use-def, and def-def chains can be computed by data flow analysis, and provide a simple but conservative way of enumerating flow, anti, and output data dependences.

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Impact of Control Flow

- *Acyclic* control flow is easier to deal with than *cyclic* control flow. Problems in dealing with cyclic flow:
 - A loop *implicitly* represent a large run-time program space compactly.
 - Not possible to open out the loops fully at compile-time.
 - Loop unrolling provides a partial solution.

more...

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Impact of Control Flow (Contd.)

- Using the loop to optimize its dynamic behavior is a challenging problem.
- Hard to optimize well without detailed knowledge of the range of the iteration.
- In practice, profiling can offer limited help in estimating loop bounds.

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Control Dependence Analysis

We want to capture two related ideas with control dependence analysis of a CFG:

1. Node Y should be control dependent on node X if node X evaluates a predicate (conditional branch) which can control whether node Y will subsequently be executed or not. This idea is useful for determining whether node Y needs to wait for node X to complete, even though they have no data dependences.

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Control Dependence Analysis (contd.)

2. Two nodes, Y and Z, should be identified as having identical control conditions if in every run of the program, node Y is executed if and only if node Z is executed. This idea is useful for determining whether nodes Y and Z can be made adjacent and executed concurrently, even though they may be far apart in the CFG.

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Instruction Scheduling Algorithms

CS 211



Acyclic Instruction Scheduling

- We will consider the case of acyclic control flow first.
- The acyclic case itself has two parts:
 - The simpler case that we will consider first has no branching and corresponds to *basic block* of code, eg., loop bodies.
 - The more complicated case of scheduling programs with acyclic control flow *with* branching will be considered next.

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The Core Case: Scheduling Basic Blocks

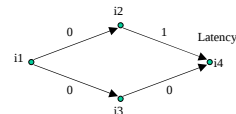
- Why are basic blocks easy?
- All instructions specified as part of the input must be executed.
- Allows *deterministic* modeling of the input.
- No “branch probabilities” to contend with; makes problem space easy to optimize using classical methods.

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Instruction Scheduling

- Input: A *basic block* represented as a DAG



- *i2* is a load instruction.
- Latency of 1 on (*i2*,*i4*) means that *i4* cannot start for one cycle after *i2* completes.

CS 211



Instruction Scheduling (Contd.)

S1

S2

i1	i3	i2		i4
----	----	----	--	----

i1	i2	i3	i4
----	----	----	----

Idle Cycle Due
to Latency

• Two schedules for the above DAG with S2 as the desired sequence.

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The General Instruction Scheduling Problem

- Input: **DAG** representing each basic block where:
 - 1. Nodes encode *unit execution time* (single cycle) instructions.
 - 2. Each node requires a definite class of FUs.
 - 3. Additional pipeline delays encoded as latencies on the edges.
- 4. Number of FUs of each type in the target machine.

more...

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The General Instruction Scheduling Problem (Contd.)

- Feasible Schedule: A specification of a *start time* for each instruction such that the following constraints are obeyed:
 - 1. Resource: Number of instructions of a given type at any time < corresponding number of FUs.
 - 2. Precedence and Latency: For each predecessor j of an instruction i in the DAG, i is started only cycles after j finishes where k is the latency labeling the edge (j,i) .
- Output: A schedule with the minimum *overall completion time (makespan)*.

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Drawing on Deterministic Scheduling

- Canonical List Scheduling Algorithm:
 - 1. Assign a *Rank* (priority) to each instruction (or node).
 - 2. Sort and build a priority *list* of the instructions in non-decreasing order of Rank.
 - Nodes with smaller ranks occur earlier

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Drawing on Deterministic Scheduling (Contd.)

- **3. Greedily list-schedule**
 - Scan iteratively and on each scan, choose the largest number of “ready” instructions subject to resource (FU) constraints in list-order
 - An instruction is ready provided
 - it has not been chosen earlier and
 - all of its predecessors have been chosen and the appropriate latencies have elapsed.

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Code Scheduling

- **Objectives: minimize execution latency of the program**
 - Start as early as possible instructions on the critical path
 - Help expose more instruction-level parallelism to the hardware
 - Help avoid resource conflicts that increase execution time
- **Constraints**
 - Program Precedences
 - Machine Resources
- **Motivations**
 - Dynamic/Static Interface (DSI): By employing more software (static) optimization techniques at compile time, hardware complexity can be significantly reduced
 - Performance Boost: Even with the same complex hardware, software scheduling can provide additional performance enhancement over that of unscheduled code

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Precedence Constraints

- **Minimum required ordering and latency between definition and use**
- **Precedence graph**
 - Nodes: instructions
 - Edges (a→b): a precedes b
 - Edges are annotated with minimum latency

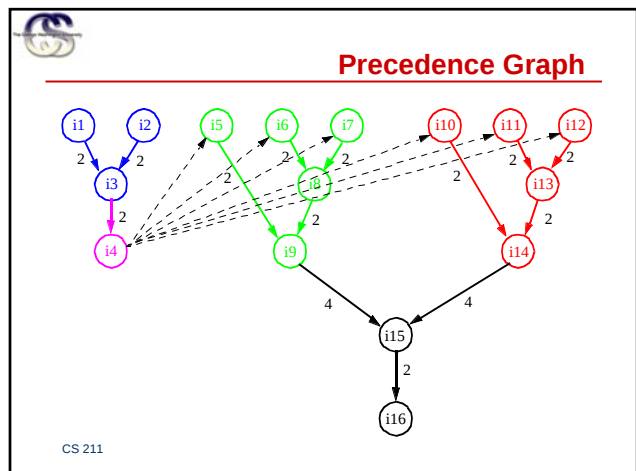
```

w[i+k].ip = z[i].rp + z[m+i].rp;
w[i+j].rp = e[k+1].rp *
(z[i].rp - z[m+i].rp) -
e[k+1].ip *
(z[i].ip - z[m+i].ip);
      
```

FFT code fragment

i1: l.s f2, 4(r2)
i2: l.s f0, 4(r5)
i3: fadd.s f0, f2, f0
i4: s.s f0, 4(r6)
i5: l.s f14, 8(r7)
i6: l.s f6, 0(r2)
i7: l.s f5, 0(r3)
i8: fsub.s f5, f6, f5
i9: fmul.s f4, f14, f5
i10: l.s f15, 12(r7)
i11: l.s f7, 4(r2)
i12: l.s f8, 4(r3)
i13: fsub.s f8, f7, f8
i14: fmul.s f8, f15, f8
i15: fsub.s f8, f4, f8
i16: s.s f8, 0(r8)

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Resource Constraints

- **Bookkeeping**
 - Prevent resources from being oversubscribed

Machine model

The diagram shows a 4x4 grid representing machine resources over time. The columns are labeled I1, I2, FA, and FM. The rows represent time steps. Arrows point from instructions to their scheduled resource blocks: fadd f1, f1, f2 to I1; add r1, r1, 1 to I2; add r2, r2, 4 to FA; and fadd f3, f3, f4 to FM. A dashed line labeled 'cycle' indicates a feedback loop from the end of the schedule back to the beginning.

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The Value of Greedy List Scheduling

- **Example: Consider the DAG shown below:**

The DAG shows a root node with five children, representing a data flow graph.

- Using the list = <i1, i2, i3, i4, i5>
- Greedy scanning produces the steps of the schedule as follows:

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The Value of Greedy List Scheduling (Contd.)

- 1. On the first scan: i1 which is the first step.
- 2. On the second and third scans and out of the list order, respectively i4 and i5 to correspond to steps two and three of the schedule.
- 3. On the fourth and fifth scans, i2 and i3 respectively scheduled in steps four and five.

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List Scheduling for Basic Blocks

1. Assign priority to each instruction
2. Initialize ready list that holds all ready instructions

Ready = data ready and can be scheduled
3. Greedily choose one ready instruction *i* from ready list with the highest priority

Possibly using tie-breaking heuristics
4. Insert *i* into schedule

Making sure resource constraints are satisfied
5. Add those instructions whose precedence constraints are now satisfied into the ready list

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Rank/Priority Functions/Heuristics

- Number of descendants in precedence graph
- Maximum latency from root node of precedence graph
- Length of operation latency
- Ranking of paths based on importance
- Combination of above

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Orientation of Scheduling

• Instruction Oriented

- Initialization (priority and ready list)
- Choose one ready instruction *I* and find a slot in schedule
make sure resource constraint is satisfied
- Insert *I* into schedule
- Update ready list

• Cycle Oriented

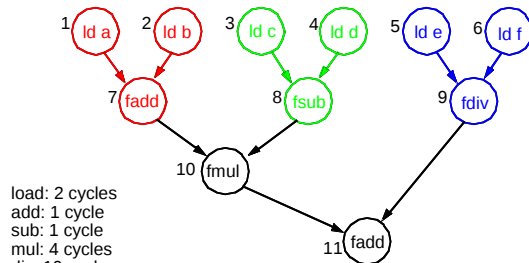
- Initialization (priority and ready list)
- Step through schedule cycle by cycle
- For the current cycle *C*, choose one ready instruction *I*
be sure latency and resource constraints are satisfied
- Insert *I* into schedule (cycle *C*)
- Update ready list

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List Scheduling Example

$(a + b) * (c - d) + e/f$



load: 2 cycles
add: 1 cycle
sub: 1 cycle
mul: 4 cycles
div: 10 cycles

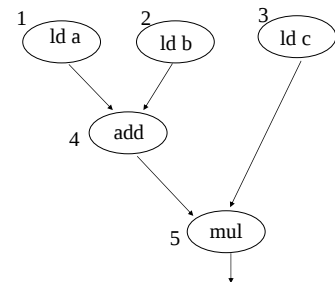
orientation: cycle
direction: backward
heuristic: maximum latency to root

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$(a+b)*c$

Load: 2 cycles
Add: 1 cycle
Mult: 2 cycles



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Scalar Scheduling Example

Cycle	Ready list	Schedule	Code
1	1,2,4,3,5	1	ld a
2	1,2,4,3,5	1	ld a
3	2,4,3,5	2	ld b
4	2,4,3,5	2	ld b
5	4,3,5	4	a+b
6	3,5	3	ld c
7	3,5	3	ld c
8	5	5	mult
9	5	5	mult
10			
11			
12			
CS 211	Ready inst are green Red indicates not ready Black indicates under execution		
14			



ILP Scheduling Example

Cycle	Ready list	Schedule	Resources			Code	
			Mem	Me m	ALU		
1	1,2,4,3,5	1,2	X	X		ld a	ld b
2	1,2,4,3,5	1,2	X	X		ld a	ld b
3	4,3,5	4,3	X		X	ld c	(a+b)
4	3,5	3	X			ld c	
5	5	5			X		mult
6	5	5			X		mult

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Some Intuition

- Greediness helps in making sure that idle cycles don't remain if there are available instructions further "down stream."
- Ranks help prioritize nodes such that choices made early on favor instructions with greater enabling power, so that there is no unforced idle cycle.
 - Rank/Priority function is critical

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How Good is Greedy?

- Approximation: For any pipeline depth $k \geq 1$ and any number m of pipelines,
- $S_{\text{greedy}}/S_{\text{opt}} \leq (2 - 1/mk)$.

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How good is greedy?

- For example, with one pipeline ($m=1$) and the latencies k grow as 2,3,4,..., the approximate schedule is guaranteed to have a completion time no more 66%, 75%, and 80% over the optimal completion time.
- This theoretical guarantee shows that greedy scheduling is not bad, but the bounds are worst-case; practical experience tends to be much better.

more...

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How Good is Greedy? (Contd.)

- Running Time of Greedy List Scheduling:
Linear in the size of the DAG.
- “Scheduling Time-Critical Instructions on RISC Machines,” K. Palem and B. Simons, *ACM Transactions on Programming Languages and Systems*, 632-658, Vol. 15, 1993.

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A Critical Choice: The Rank Function for Prioritizing Nodes

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Rank Functions

1. “Postpass Code Optimization of Pipelined Constraints”, J. Hennessey and T. Gross, *ACM Transactions on Programming Languages and Systems*, vol. 5, 422-448, 1983.
2. “Scheduling Expressions on a Pipelined Processor with a Maximal Delay of One Cycle,” D. Bernstein and I. Gertner, *ACM Transactions on Programming Languages and Systems*, vol. 11 no. 1, 57-66, Jan 1989.

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Rank Functions (Contd.)

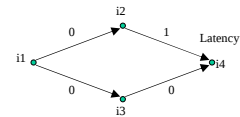
- 3. “Scheduling Time-Critical Instructions on RISC Machines,” K. Palem and B. Simons, *ACM Transactions on Programming Languages and Systems*, 632-658, vol. 15, 1993
- Optimality: 2 and 3 produce optimal schedules for RISC processors such as the IBM 801, Berkeley RISC and so on.

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An Example Rank Function

- The example DAG



- 1. Initially label all the nodes by the same value, say
- 2. Compute new labels from old starting with nodes at level zero (i_4) and working towards higher levels:
 - (a) All nodes at level zero get a rank of .
 - more...

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An Example Rank Function (Contd.)

- (b) For a node at level 1, construct a new label which is the concentration of all its successors connected by a latency 1 edge.
 - Edge i_2 to i_4 in this case.
- (c) The empty symbol is associated with latency zero edges.
 - Edges i_3 to i_4 for example.

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An Example Rank Function (Contd.)

- (d) The result is that i_2 and i_3 respectively get new labels and hence ranks ' $= >$ ' = .
- Note that ' $= >$ ' = i.e., labels are drawn from a totally ordered alphabet.
- (e) Rank of i_1 is the concentration of the ranks of its immediate successors i_2 and i_3 i.e., it is ' $'= > |$ '.
- 3. The resulting sorted list is (optimum) i_1, i_2, i_3, i_4 .

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Limitations of List Scheduling

- Cannot move instructions past conditional branch instructions in the program (scheduling limited by basic block boundaries)
- Problem: Many programs have small numbers of instructions (4-5) in each basic block. Hence, not much code motion is possible
- Solution: Allow code motion across basic block boundaries.
 - Speculative Code Motion: “jumping the gun”
 - execute instructions before we know whether or not we need to
 - utilize otherwise idle resources to perform work which we speculate will need to be done
 - Relies on program profiling to make intelligent decisions about speculation

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Getting around basic block limitations

- Basic block size limits amount of parallelism available for extraction
 - Need to consider more “flexible” regions of instructions
- A well known classical approach is to consider *traces* through the (acyclic) control flow graph.
 - Shall return to this when we cover Compiling for ILP processors

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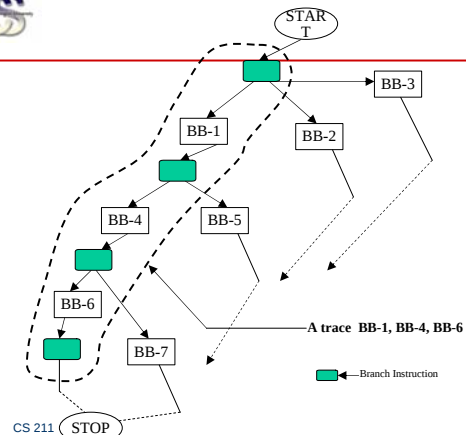


Traces

- “Trace Scheduling: A Technique for Global Microcode Compaction,” J.A. Fisher, *IEEE Transactions on Computers*, Vol. C-30, 1981.
- Main Ideas:
 - Choose a program segment that has no cyclic dependences.
 - Choose *one* of the paths out of each branch that is encountered.

more...

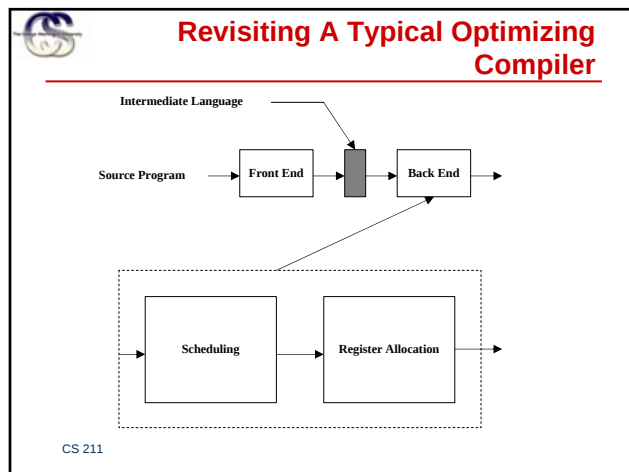
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Register Allocation

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Rationale for Separating Register Allocation from Scheduling

- Each of Scheduling and Register Allocation are hard to solve individually, let alone solve *globally* as a combined optimization.
- So, solve each optimization locally and heuristically “patch up” the two stages.

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The Goal

- *Primarily* to assign registers to variables
- However, the allocator runs out of registers quite often
- Decide which variables to “flush” out of registers to free them up, so that other variables can be bought in
 - *Spilling*

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Register Allocation and Assignment

- **Allocation:** identifying program values (virtual registers, live ranges) and program points at which values should be stored in a physical register
- Program values that are not allocated to registers are said to be *spilled*
- **Assignment:** identifying which physical register should hold an allocated value at each program point.

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Register Allocation – Key Concepts

- Determine the range of code over which a variable is used
 - Live ranges
- Formulate the problem of assigning variables to registers as a graph problem
 - Graph coloring
 - Use application domain (Instruction execution) to define the priority function

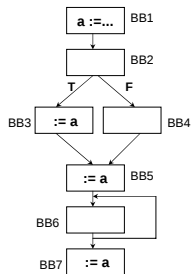
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Live Ranges

Live range of virtual register $a = (BB1, BB2, BB3, BB4, BB5, BB6, BB7)$.

Def-Use chain of virtual register $a = (BB1, BB3, BB5, BB7)$.



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Computing Live Ranges

Using data flow analysis, we compute for each basic block:

- In the forward direction, the *reaching* attribute.

A variable is reaching block i if a definition or use of the variable reaches the basic block along the edges of the CFG.

- In the backward direction, the *liveness* attribute.

A variable is live at block i if there is a direct reference to the variable at block i or at some block j that succeeds i in the CFG, provided the variable in question is *not redefined* in the interval between i and j .

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Computing Live Ranges (Contd.)

The live range of a variable is the intersection of basic-blocks in CFG nodes in which the variable is live, and the set which it can reach.

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Global Register Allocation

- Local register allocation does not store data in registers across basic blocks.

Local allocation has poor register utilization
global register allocation is essential.

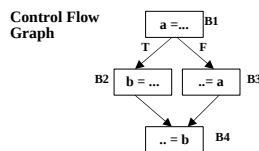
- Simple global register allocation: allocate most "active" values in each inner loop.
- Full global register allocation: identify live ranges in control flow graph, allocate live ranges, and split ranges as needed.

Goal: select allocation so as to minimize number of load/store instructions performed by optimized program.

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Simple Example of Global Register Allocation

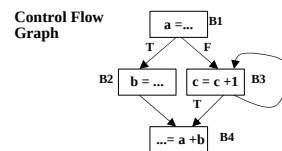


- Live range of $a = \{B1, B3\}$
- Live range of $b = \{B2, B4\}$
- No interference! a and b can be assigned to the same register

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Another Example of Global Register Allocation



- Live range of $a = \{B1, B2, B3, B4\}$
- Live range of $b = \{B2, B4\}$
- Live range of $c = \{B3\}$
- In this example, a and c interfere, and c should be given priority because it has a higher usage count.

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Cost and Savings

- Compilation Cost: **running time and space of the global allocation algorithm.**
- Execution Savings: **cycles saved due to register residence of variables in optimized program execution.**
- Contrast with memory-residence which leads to longer execution times.

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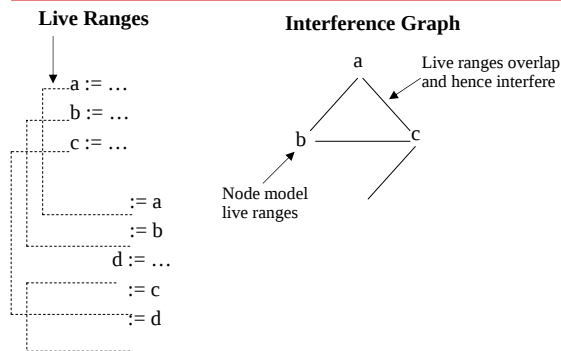
Interference Graph

- Definition: An *interference graph* G is an undirected graph with the following properties:
- (a) each node x denotes exactly one distinct live range X , and
- (b) an edge exists between nodes x and y iff X, Y interfere (overlap), where X and Y are the live ranges corresponding to nodes x and y .

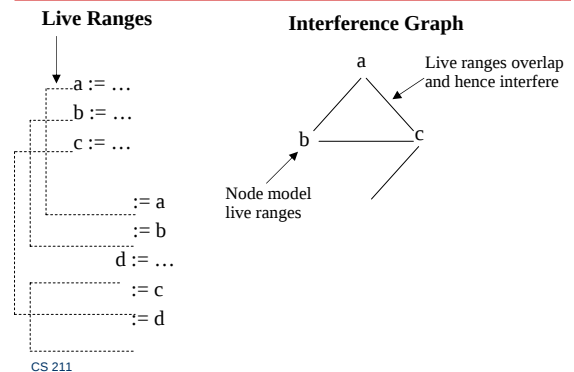
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Interference Graph Example



Interference Graph Example





The Classical Approach

- “Register Allocation and Spilling via Graph Coloring”, G. Chaitin, *Proceedings SIGPLAN-82 Symposium on Compiler Construction*, 98-105, 1982.
- “Register Allocation via Coloring”, G. Chaitin, M. Auslander, A. Chandra, J. Cocke, M. Hopkins and P. Markstein, *Computer Languages*, vol. 6, 47-57, 1981.

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• [more...](#)



The Classical Approach (Contd.)

- These works introduced the key notion of an *interference graph* for encoding conflicts between the live ranges.
- This notion was defined for the *global* control flow graph.
- It also introduced the notion of *graph coloring* to model the idea of register allocation.

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Execution Time and Spill-cost

- **Spilling:** Moving a variable that is currently register resident to memory when no more registers are available, and a new live-range needs to be allocated one spill.
- **Minimizing Execution Cost:** Given an optimistic assignment— i.e., one where all the variables are register-resident, *minimizing* spilling.

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Graph Coloring

- Given an undirected graph G and a set of k distinct *colors*, compute a *coloring* of the nodes of the graph i.e., assign a color to each node such that *no two adjacent* nodes get the same color.

Recall that two nodes are adjacent iff they have an edge between them.

- A given graph might *not* be k -colorable.
- In general, it is a computationally hard problem to color a given graph using a given number k of colors.
- The register allocation problem uses good heuristics for coloring.

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Register Interference & Allocation

- **Interference Graph: $G = \langle E, V \rangle$**
 - Nodes (V) = variables, (more specifically, their live ranges)
 - Edges (E) = interference between variable live ranges
- **Graph Coloring (vertex coloring)**
 - Given a graph, $G = \langle E, V \rangle$, assign colors to nodes (V) so that no two adjacent (connected by an edge) nodes have the same color
 - A graph can be “ n -colored” if no more than n colors are needed to color the graph.
 - The chromatic number of a graph is $\min\{n\}$ such that it can be n -colored
 - n -coloring is an NP-complete problem, therefore optimal solution can take a long time to compute

How is graph coloring related to register allocation?

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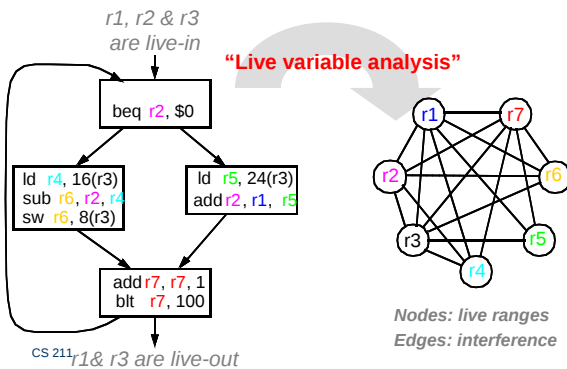
Register Allocation as Coloring

- Given k registers, interpret each register as a color.
- The graph G is the interference graph of the given program.
- The nodes of the interference graph are the executable live ranges on the target platform.
- A coloring of the interference graph is an assignment of registers (colors) to live ranges (nodes).
- Running out of colors implies not enough registers and hence a need to spill in the above model.

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Interference Graph



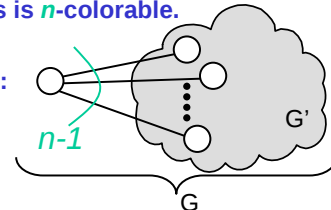
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Chaitin's Graph Coloring Theorem

- Key observation: If a graph G has a node X with degree less than n (i.e. having less than n edges connected to it), then G is n -colorable IFF the reduced graph G' obtained from G by deleting X and all its edges is n -colorable.

Proof:



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Graph Coloring Algorithm (Not Optimal)

- Assume the register interference graph is n -colorable
How do you choose n ?
- Simplification**
 - Remove all nodes with degree less than n
 - Repeat until the graph has n nodes left
- Assign each node a different color
- Add removed nodes back one-by-one and pick a legal color as each one is added (2 nodes connected by an edge get different colors)
Must be possible with less than n colors
- Complications:** simplification can block if there are no nodes with less than n edges
Choose one node to spill based on spilling heuristic

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Example (N = 4)

COLOR stack = {}

remove r5

blocks spill r1
Is this a good choice??

remove r6

COLOR stack = {r5, r6}

COLOR stack = {r5}

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Example (N = 5)

COLOR stack = {}

remove r5

remove r6

remove r4

COLOR stack = {r5, r6, r4}

COLOR stack = {r5, r6}

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Register Spilling

- When simplification is blocked, pick a node to delete from the graph in order to unblock
- Deleting a node implies the variable it represents will not be kept in register (i.e. spilled into memory)
 - When constructing the interference graph, each node is assigned a value indicating the estimated cost to spill it.
 - The estimated cost can be a function of the total number of definitions and uses of that variable weighted by its estimated execution frequency.
 - When the coloring procedure is blocked, the node with the least spilling cost is picked for spilling.
- When a node is spilled, spill code is added into the original code to store a spilled variable at its definition and to reload it at each of its use
- After spill code is added, a new interference graph is rebuilt from the modified code, and n -coloring of this graph is again attempted

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The Alternate Approach: more common

- an alternate approach used widely in most compilers
 - also uses the Graph Coloring Formulation
- “The Priority Based Coloring Approach to Register Allocation”, F. Chow and J. Hennessey, *ACM Transactions on Programming Languages and Systems*, vol. 12, 501-536, 1990.
 - Hennessey, Founder of MIPS, President of Stanford Univ!

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Important Modeling Difference

- The first difference from the classical approach is that now we assume that the “home location” of a live range is in memory.
 - Conceptually, values are always in memory unless promoted to a register; this is also referred to as the *pessimistic* approach.
 - In the classical approach, the dual of this model is used where values are *always in registers* except when spilled; recall that this is referred to as the *optimistic* approach.

more...

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Important Modeling Difference

- A second major difference is the *granularity* at which code is modeled.
 - In the classical approach, individual instructions are modeled whereas
 - Now, basic blocks are the primitive units modeled as nodes in live ranges and the interference graph.
- The final major difference is the place of the register allocation in the overall compilation process.
 - In the present approach, the interference graph is considered *earlier* in the compilation process using intermediate level statements; compiler generated temporaries are known.
 - In contrast, in the previous work the allocation is done at the level of the machine code.

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The Main Information to be Used by the Register Allocator

- For each live range, we have a bit vector *LIVE* of the basic blocks in it.
- Also we have *INTERFERE* which gives for the live range, the set of all other live ranges that interfere with it.
- Recall that two live ranges interfere if they intersect in at least one (basic-block).
- If $|INTERFERE|$ is smaller than the number of available registers for a node *i*, then *i* is *unconstrained*; it is constrained otherwise.

more...

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The Main Information to be Used by the Register Allocator

- An unconstrained node can be safely assigned a register since conflicting live ranges do not use up the available registers.
- We associate a (possibly empty) set FORBIDDEN with each live range that represents the set of colors that have already been assigned to the members of its INTERFERENCE set.

The above representation is essentially a detailed interference graph representation.

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Prioritizing Live Ranges

In the memory bound approach, given live ranges with a choice of assigning registers, we do the following:

- Choose a live range that is “likely” to yield greater savings in execution time.
- This means that we need to estimate the savings of each basic block in a live range.

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Estimate the Savings

Given a live range X for variable x , the estimated savings in a basic block i is determined as follows:

1. First compute *CyclesSaved* which is the number of loads and stores of x in i scaled by the number of cycles taken for each load/store.
2. Compensate the single load and/or store that might be needed to bring the variable in and/or store the variable at the end and denote it by *Setup*.

Note that *Setup* is derived from a single load or store or a load plus a store.

more...

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Estimate the Savings (Contd.)

3. $Savings(X,i) = \{CyclesSaved - Setup\}$

These indicate the actual savings in cycles after accounting for the possible loads/stores needed to move x at the beginning/end of i .

4. $TotalSavings(X) = \sum_{i \in X} Savings(X,i) \times W(i)$.
 (a) x is the set of all basic blocks in the live range of X .
 (b) $W(i)$ is the execution frequency of variable x in block i .

more...

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Estimate the Savings (Contd.)

5. Note however that live regions might span a few blocks but yield a large savings due to frequent use of the variable while others might yield the same cumulative gain over a larger number of basic blocks. We prioritize the former case and define:

$$\{Priority(X) = TotalSavings(X)/Span(X)\}$$

where $Span(X)$ is the number of basic blocks in X .

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The Algorithm

For all constrained live ranges, execute the following steps:

1. Compute $Priority(X)$ if it has not already been computed.
2. For the live range X with the highest priority:
 - (a) If its priority is negative or if no basic block i in X can be assigned a register—because every color has been assigned to a basic block that interferes with i — then delete X from the list and modify the interference graph.
 - (b) Else, assign it a color that is not in its forbidden set.
 - (c) Update the forbidden sets of the members of $INTERFERE$ for X .

more...

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The Algorithm (Contd.)

3. For each live range X' that is in $INTERFERE$ for X do:

- (a) If the $FORBIDDEN$ of X' is the set of all colors

i.e., if no colors are available, $SPLIT(X')$.

Procedure $SPLIT$ breaks a live range into smaller

live ranges with the intent of reducing the interference of X' it will be described next.

4. Repeat the above steps till all constrained live ranges are colored or till there is no color left to color any basic block.

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The Idea Behind Splitting

- Splitting ensures that we break a live range up into increasingly smaller live ranges.
- The limit is of course when we are down to the size of a single basic block.
- The intuition is that we start out with coarse-grained interference graphs with few nodes.
- This makes the interference node degree possibly high.
- We increase the problem size via splitting on a need-to basis.
- This strategy lowers the interference.

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The Splitting Strategy

A sketch of an algorithm for splitting:

1. Choose a *split point*.

Note that we are guaranteed that X has at least one basic block i which can be assigned a color i.e., its forbidden set does not include all the colors. The earliest such in the order of control flow can be the split point.

2. Separate the live range X into X_1 and X_2 around the split point.

3. Update the sets *INTERFERE* for X_1 and X_2 and those for the live ranges that interfered with X
more...

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The Splitting Strategy (Contd.)

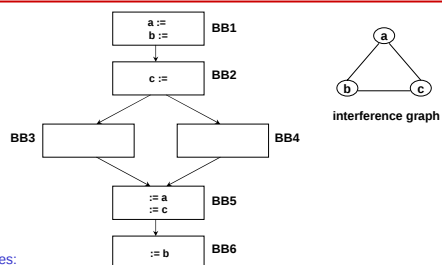
4. Recompute priorities and reprioritize the list.

Other bookkeeping activities to realize a safe implementation are also executed.

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Live Range Splitting Example



Live Ranges:

a: BB1, BB2, BB3, BB4, BB5

b: BB1, BB2, BB3, BB4, BB5, BB6

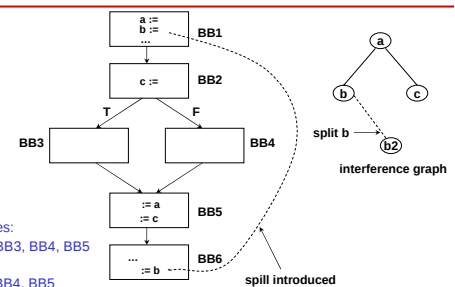
c: BB2, BB3, BB4, BB5

Assume the number of physical registers = 2

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Live Range Splitting Example



New live ranges:

a: BB1, BB2, BB3, BB4, BB5

b: BB1

c: BB2, BB3, BB4, BB5

b2: BB6

b and b2 are logically the same program variable

b2 is a renamed equivalent of b.

All nodes are now unconstrained.

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Interaction Between Allocation and Scheduling

- The allocator and the scheduler are typically patched together heuristically.
- Leads to the “phase ordering problem: *Should allocation be done before scheduling or vice-versa?*”
- Saving on spilling or “good allocation” is only indirectly connected to the actual execution time.
Contrast with instruction scheduling.
- Factoring in register allocation into scheduling and solving the problem “globally” is a research issue.

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Next - - Scheduling for ILP Processors

- Basic block does not expose enough parallelism due to small num of inst.
- Need to look at more flexible regions
 - Trace scheduling, Superblock,....
- Scheduling more flexible regions implies using features such as speculation, code duplication, predication

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EPIC and Compiler Optimization

- EPIC requires dependency free “scheduled code”
- Burden of extracting parallelism falls on compiler
- success of EPIC architectures depends on efficiency of Compilers!!
- We provide overview of Compiler Optimization techniques (as they apply to EPIC/ILP)
 - enhanced by examples using Trimaran ILP Infrastructure

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Scheduling for ILP Processors

- Size of basic block limits amount of ILP that can be extracted
- More than one basic block = going beyond branches
 - Loop optimizations also
- Trace scheduling
 - Pick a trace in the program graph
 - Most frequently executed region of code
- Region based scheduling
 - Find a region of code, and send this to the scheduler/register allocator

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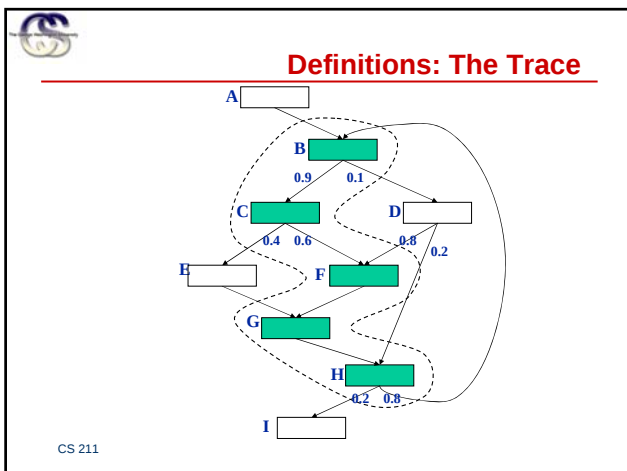
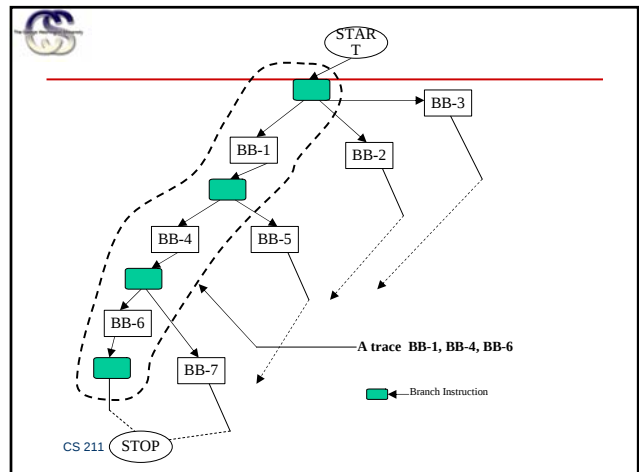


Getting around basic block limitations

- Basic block size limits amount of parallelism available for extraction
 - Need to consider more “flexible” regions of instructions
- A well known classical approach is to consider *traces* through the (acyclic) control flow graph.
 - Shall return to this when we cover Compiling for ILP processors

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Region Based Scheduling

- Treat a region as input to the scheduler
 - How to schedule instructions in a region ?
 - Can we move instructions to any “slot” ?
 - What do we have to watch out for ?
- Scheduling algorithm
 - Input is the Region (Trace, Superblock, etc.)
 - Use List scheduling algorithm
 - Treat movement of instructions past branch and join points as “special cases”

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The Four Elementary but Significant Side-effects

- Consider a single instruction moving past a conditional branch:

Branch Instruction
 Instruction being moved

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The First Case

If A is a DEF Live Off-trace

False Dependence Edge Added

Off-trace Path

- This code movement leads to the instruction executing sometimes when the instruction ought not to have: *speculatively*.

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The Second Case

Edges added

- Identical to previous case except the pseudo-dependence edge is *from A to the join instruction* whenever A is a “write” or a *def*.
- A more general solution is to permit the code motion but undo the effect of the speculated definition by adding repair code

An expensive proposition in terms of compilation cost.

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The Third Case

Replicate A

Off-trace Path

- Instruction A will *not* be executed if the off-trace path is taken.
- To avoid mistakes, it is *replicated*.

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The Fourth Case

- Similar to Case 3 except for the direction of the replication as shown in the figure above.

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Super Block

- A trace with a single entry but potentially many exits
- Simplifies code motion during scheduling
 - upward movements past a side entry within a block are pure replication
 - downward movements past a side entry within a block are pure speculation
- Two step formation
 - Trace picking
 - Tail duplication

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Definitions: The Superblock

- The superblock is a scheduling region composed of basic blocks with a single entry but potentially many exits
- Superblock formation is done in two steps
 - Trace selection
 - Tail duplication

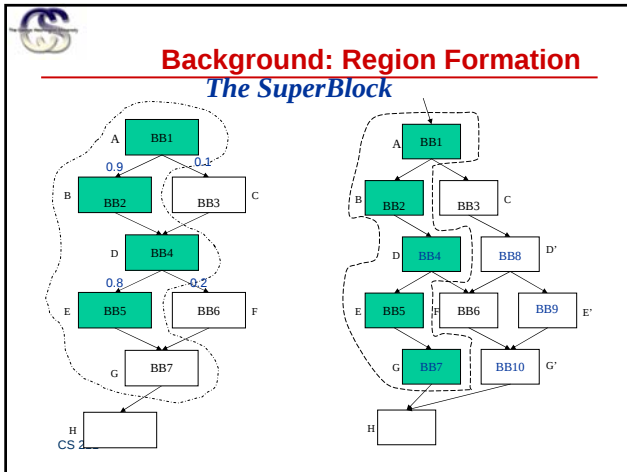
A larger scheduling region exposes more instructions that may be executed in parallel.

Very Long Instruction Word Format

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Super block formation and tail duplication

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Advantage of Superblock

- We have taken care of the replication when we form the region
 - Schedule the region independent of other regions!
 - Don't have to worry about code replication each time we move an instruction around a branch
- Send superblock to list scheduler and it works same as it did with basic blocks !

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Hyperblock Region Formation

- Single entry/ multiple exit set of predicated basic blocks (**if-conversion**)
- There are no incoming control flow arcs from outside basic blocks to the selected blocks other than the entry block
- Nested inner loops inside the selected blocks are not allowed
- Hyperblock formation procedure:
 - Trace selection
 - Tail duplication
 - Loop peeling
 - Node splitting
 - If-conversion

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Background: Region Formation

If-Conversion Example

If-conversion replaces conditional branches with predicated operations.

– Those instructions in branches not taken are disabled by predication.

For example, the code generated for:

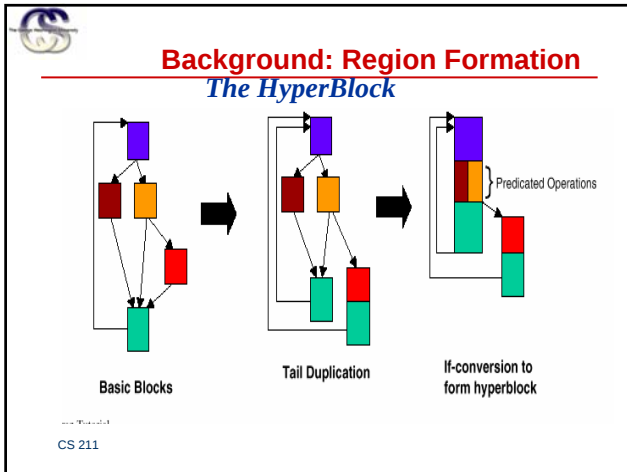
```

if (a < b)
    c = a;
else
    c = b;
if (d < e)
    f = d;
else
    f = e;

```

might be the two VLIW instructions:

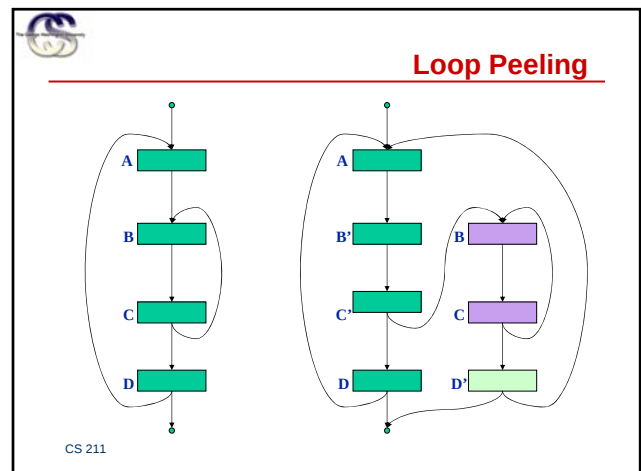
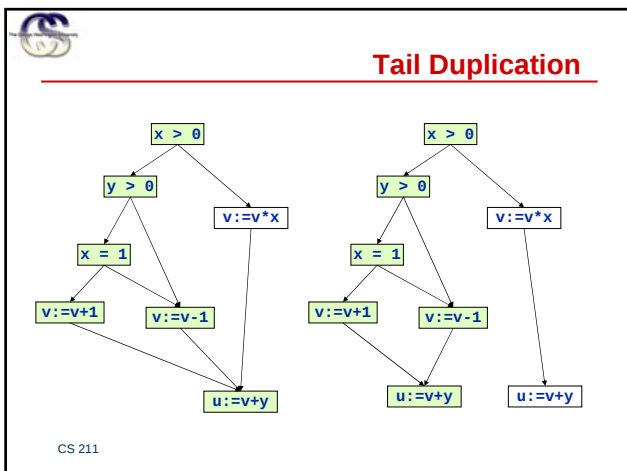
p1 = CMP.P.< a,b	p2 = CMP.P.>= a,b	p3 = CMP.P.< d,e	p4 = CMP.P.>= d,e
c = a if p1	c = b if p2	f = d if p3	f = e if p4

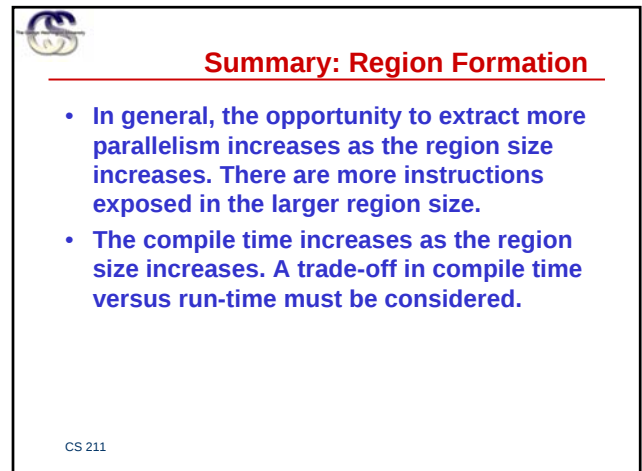
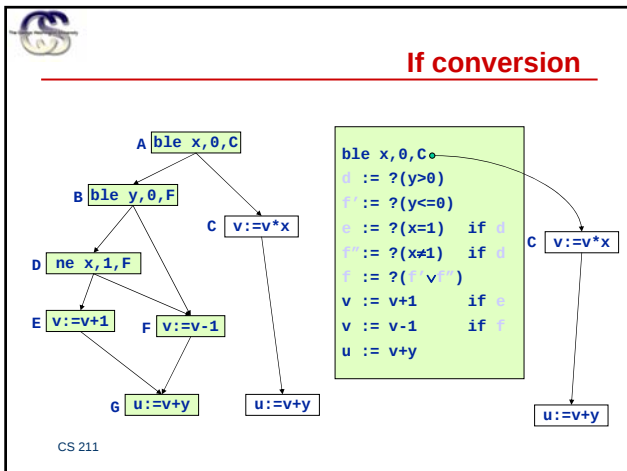
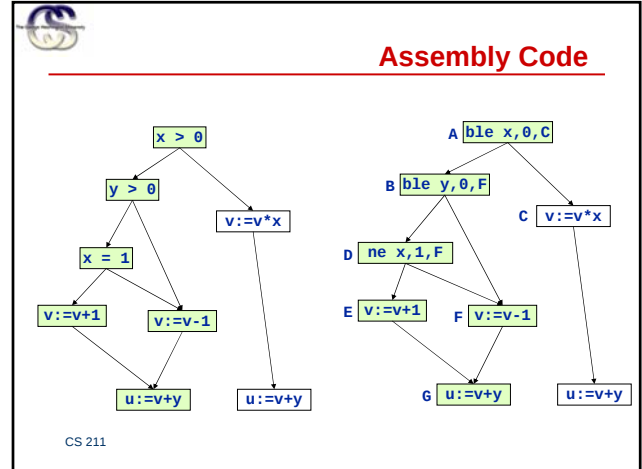
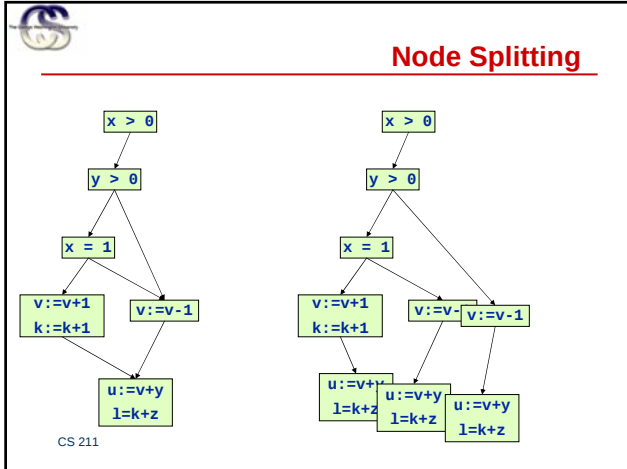


Hyper block formation procedure

- **Tail duplication**
 - remove side entries
- **Loop Peeling**
 - create bigger region for nested loop
- **Node Splitting**
 - Eliminate dependencies created by control path merge
 - large code expansion
- **After above three transformations, perform if conversion**

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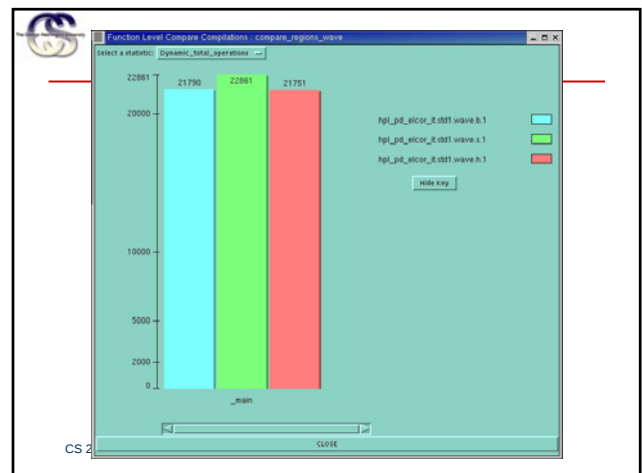
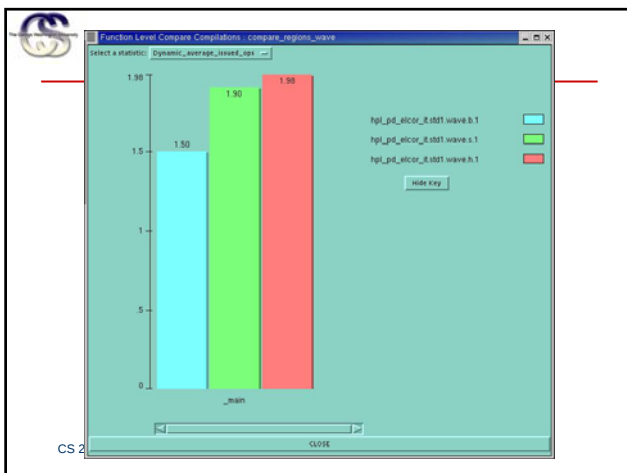
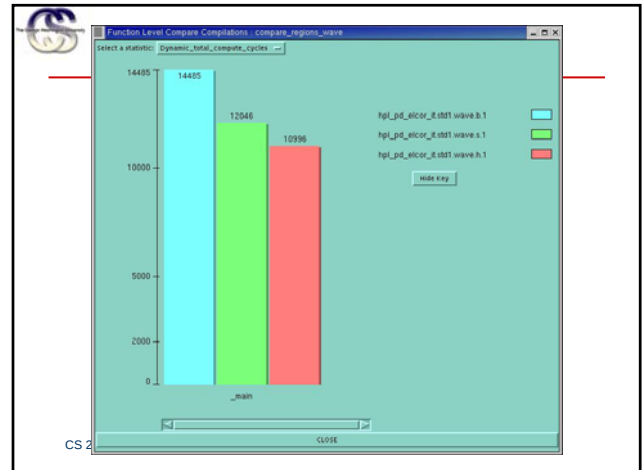


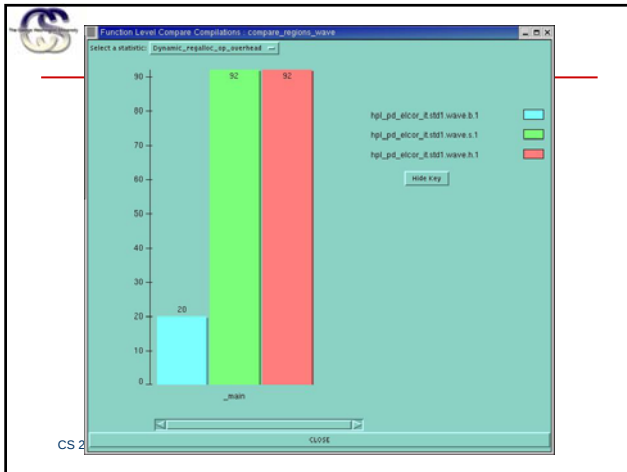


Region Formation in Trimaran

- A research infrastructure used to facilitate the creation and evaluation of EPIC/VLIW and superscalar compiler optimization techniques.
 - Forms 3 types of regions:
 - Basic blocks
 - Superblocks
 - Hyperblocks
 - Operates only on the C language as input
 - Uses a general machine description language (HMDES)
- This infrastructure uses a parameterized processor architecture called HPL-PD (a.k.a. PlayDoh)
- All architectures are mapped into and simulated in HPL-PD.

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CS 2

ILP Scheduling – Summary

- **Send a large region of code into a list scheduler**
 - **What regions?**
 - Start with a trace of high frequency paths in program
- **Modify list scheduler to handle movements past branches**
 - IF you have speculation in the processor then allow speculative code motion
 - Replication will cause code size growth but do not need speculation to support it
 - Hyperblock may need predication support
- **Key ideas: increase the scope of ILP analysis**
 - Tradeoff between compile time and execution time
 - When do we stop ?

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