

# *Greedy Method*

⇒ **Objective:**

⇒ **General approach:**

- Given a set of  $n$  inputs.
- Find a subset, called feasible solution, of the  $n$  inputs subject to some constraints, and satisfying a given objective function.
- If the objective function is maximized or minimized, the feasible solution is optimal.
- It is a locally optimal method.

⇒ **Algorithm:**

- ☞ Step 1: Choose an input from the input set, based on some criterion. If no more input exit.
- ☞ Step 2: Check whether the chosen input yields to a feasible solution. If no, discard the input and goto step 1.
- ☞ Step 3: Include the input into the solution vector and update the objective function. Goto step 1.

## *Optimal merge patterns*

### ↳ Introduction:

- Merge two files each has  $n$  &  $m$  elements, respectively:  
 $\Rightarrow$  takes  $O(n+m)$ .
- Given  $n$  files  
What's the minimum time needed to merge all  $n$  files?
- Example:

$$(F_1, F_2, F_3, F_4, F_5) = (20, 30, 10, 5, 30).$$

$$\begin{array}{ll} M_1 = F_1 \& F_2 & \Rightarrow 20+30 = 50 \\ M_2 = M_1 \& F_3 & \Rightarrow 50+10 = 60 \\ M_3 = M_2 \& F_4 & \Rightarrow 60+5 = 65 \\ M_4 = M_3 \& F_5 & \Rightarrow \underline{65+30 = 95} \\ & & 270 \end{array}$$

- **Optimal merge pattern:** Greedy method.

Sort the list of files:

$$(5, 10, 20, 30, 30) = (F_4, F_3, F_1, F_2, F_5)$$

Merge the first two files:

$(5, 10, 20, 30, 30) \rightarrow (15, 20, 30, 30)$

Merge the next two files:

$(15, 20, 30, 30) \rightarrow (30, 30, 35)$

Merge the next two files:

$(30, 30, 35) \rightarrow (35, 60)$

Merge the last two files:

$(35, 60) \rightarrow (95)$

Total time:  $15 + 35 + 60 + 95 = 205$

$\Rightarrow$  This is called a 2-way merge pattern.

- **Problem:**

- ✓ Given  $n$  sorted files
- ✓ Merge  $n$  files in a minimum amount of time.

- **Algorithm:**

- ✓ We associate with each file a node

Left	Weight	Right
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↪ **Example:**

Initial

✓

1	5	1
---	---	---

1	10	1
---	----	---

1	20	1
---	----	---

1	30	1
---	----	---

1	30	1
---	----	---

✓

1	15	1
---	----	---

1	20	1
---	----	---

1	30	1
---	----	---

1	30	1
---	----	---

	5	
--	---	--

	10	
--	----	--

✓

1	35	1
---	----	---

1	30	1
---	----	---

1	30	1
---	----	---

	15	
--	----	--

	20	
--	----	--

	5	
--	---	--

	10	
--	----	--

✓

1	35	1
---	----	---

1	60	1
---	----	---

	15	
--	----	--

	20	
--	----	--

	30	
--	----	--

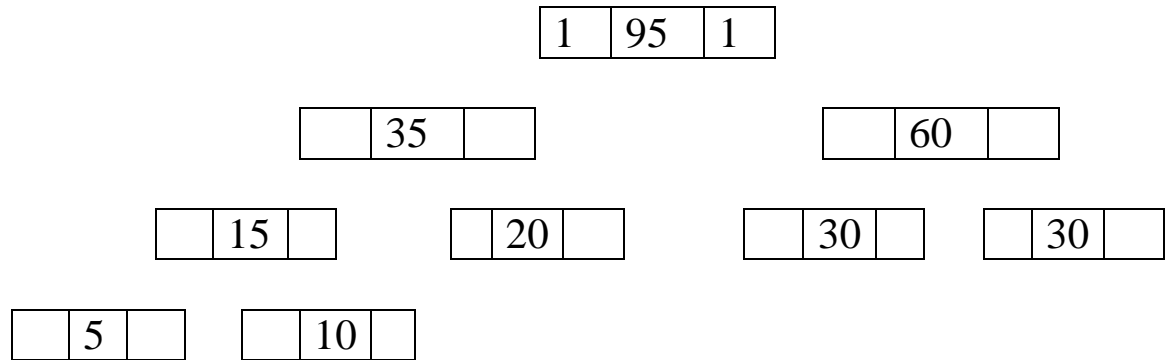
	30	
--	----	--

	5	
--	---	--

	10	
--	----	--

✓



- **Algorithm:**

- Least (L): find a tree in L whose root has the smallest weight.
- Function : Tree (L,n).  
Integer i;  
Begin  
For i=1 to n -1 do  
    Get node (T)     /\* create a node pointed by T \*/  
    Left child (T)= Least (L)     /\* first smallest \*/  
    Right child (T)= Least (L)     /\* second smallest \*/  
    Weight (T) = weight (left child (T))  
                  + weight (right child (T))  
    Insert (L,T);     /\* insert new tree with root T in L \*/  
End for  
Return (Least (L))     /\* tree left in L \*/  
End.

- **Analysis:**

$$T = O(n-1) * \max(O(\text{Least}), O(\text{Insert})).$$

- Case 1 L is not sorted.  
 $O(\text{Least}) = O(n).$   
 $O(\text{Insert}) = O(1).$

$$\Rightarrow T = O(n_2).$$

- Case 2 L is sorted.

Case 2.1

$$\begin{aligned} O(\text{Least}) &= O(1) \\ O(\text{Insert}) &= O(n) \end{aligned}$$

$$\Rightarrow T = O(n_2)$$

Case 2.2

L is represented as a min-heap. Value in the root is  $\leq$  the values of its children.

$$\begin{aligned} O(\text{Least}) &= O(1) \\ O(\text{Insert}) &= O(\log n) \end{aligned}$$

$$\Rightarrow T = O(n \log n).$$

## ***Knapsack problem***

### ↳ **Problem:**

- input:
  - ✓ n objects.
  - ✓ each object i has a weight  $w_i$  and a profit  $p_i$
  - ✓ Knapsack : M
- output:
  - ✓ Fill up the Knapsack s.t. the total profit is maximized.
  - ✓ Feasible solution:  $(x_1, \dots, x_n)$ .

### ↳ **Formally,**

- ✓ Let  $x_i$  be the fraction of object i placed in the Knapsack,  $0 \leq x_i \leq 1$ . For  $1 \leq i \leq n$ .
- ✓ Then :

$$P = \sum_{1 \leq i \leq n} p_i x_i$$

$$\text{And } \sum_{1 \leq i \leq n} w_i x_i \leq M$$

### ↳ **Assumptions:**

- $\sum_{i=1}^n w_i > M$  ; not all  $x_i = 1$ .
- $\sum_{1 \leq i \leq n} w_i x_i = M$

⇒ **Example:**

- ✓ 3 objects ( $n=3$ ).
- ✓  $(w_1, w_2, w_3)=(18, 15, 10)$
- ✓  $(p_1, p_2, p_3)=(25, 24, 15)$
- ✓  $M=20$

⇒ **Largest-profit strategy:** (Greedy method)

- ✓ Pick always the object with largest profit.
- ✓ If the weight of the object exceeds the remaining Knapsack capacity, take a fraction of the object to fill up the Knapsack.

⇒ **Example:**

- ✓  $P=0$  ,  $C=M=20$  /\* remaining capacity \*/

- ✓ Put object 1 in the Knapsack.

$$P=25 \quad \text{Since } w_1 < M \quad \text{then } x_1=1$$
$$C=M-18=20-18=2$$

- ✓ Pick object 2

$$\text{Since } C < w_2 \quad \text{then } x_2 = C/w_2 = 2/15.$$
$$P = 25 + 2/15 * 24 = 25 + 3.2 = 28.2$$

- ✓ Since the Knapsack is full then  $x_3=0$ .

- ✓ The feasible solution is  $(1, 2/15, 0)$ .



### ↳ **Smallest-weight strategy:**

- ✓ be greedy in capacity: do not want to fill the knapsack quickly.
- ✓ Pick the object with the smallest weight.
- ✓ If the weight of the object exceeds the remaining knapsack capacity, take a fraction of the object.

### ↳ **Example:**

- ✓  $cu=M=20$
- ✓ Pick object 3  
Since  $w_3 < cu$  then  $x_3=1$   
 $P= 15$        $cu =20-10 = 10$  ,  $x_3 =1$
- ✓ Pick object 2  
Since  $w_2 > cu$  then  $x_2 = 10/15 = 2/3$   
 $P = 15+ 2/3.24$   
 $= 15+ 16 = 31$        $cu= 0$ .
- ✓ Since  $cu=0$  then  $x_1=0$
- ✓ Feasible solution :       $(0,2/3,1)$        $p=31$ .

↳ **Largest profit-weight ratio strategy:**

- ✓ Order profit-weight ratios of all objects.
- ✓  $P_i/w_i \geq (p_i+1)/(w_i+1)$  for  $1 \leq i \leq n-1$
- ✓ Pick the object with the largest  $p/w$
- ✓ If the weight of the object exceeds the remaining knapsack capacity, take a fraction of the object.

↳ **Example:**

$$P_1/w_1=25/18=1.389$$

$$P_2/w_2=24/15=1.6$$

$$P_3/w_3=15/10=1.5$$

$$\rightarrow P_2/w_2 > P_1/w_1 > P_3/w_3$$

$$C_u=20; p=0$$

- ✓ Pick object 2

$$\text{Since } c_u \geq w_2 \text{ then } x_2=1$$

$$c_u=20-15=5 \text{ and } p=24$$

- ✓ Pick object 3

$$\text{Since } c_u < w_3 \text{ then } x_3=c_u/w_3=5/10=1/2$$

$$c_u=0 \text{ and } P=24+1/2 \cdot 15=24+7.5=31.5$$

- ✓ Feasible solution  $(0,1,1/2)$   $p=31.5$

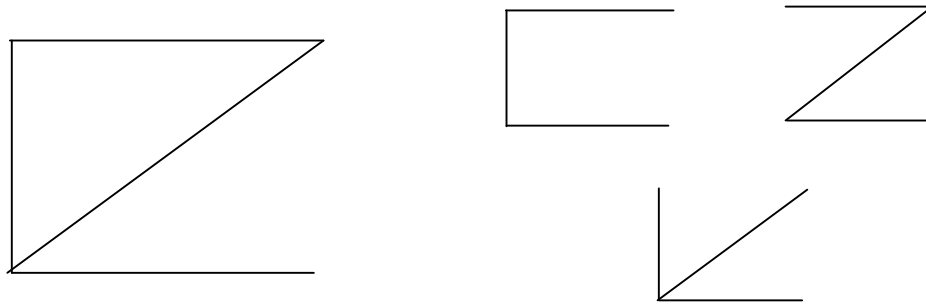
## *Minimum Spanning Tree.*

### ↳ Definition:

Let  $G=(V,E)$  be an undirected connected graph.

$T=(V,E')$  is a spanning tree iff  $T$  is a tree.

### ↳ Example:



### ↳ Definition:

- If each edge of  $E$  has a weight,  $G$  is called a weighted graph.

### ↳ Problem:

- Given an undirected, connected, weighted graph  $G=(V,E)$ .
- We wish to find an acyclic subset  $T \subseteq E$  that connects all the vertices and whose total weight:

$$w(T) = \sum_{(u,v) \in T} w(u,v) \text{ is minimized.}$$

Where  $w(u,v)$  is the weight of edge  $(u,v)$ .

- $T$  is called a minimum spanning tree of  $G$ .

### ↳ **Solution:**

- Using greedy method.
- Two algorithms:
  - ✓ **Prim's algorithm.**
  - ✓ **Kruskal's algorithm.**

### ↳ **Approach:**

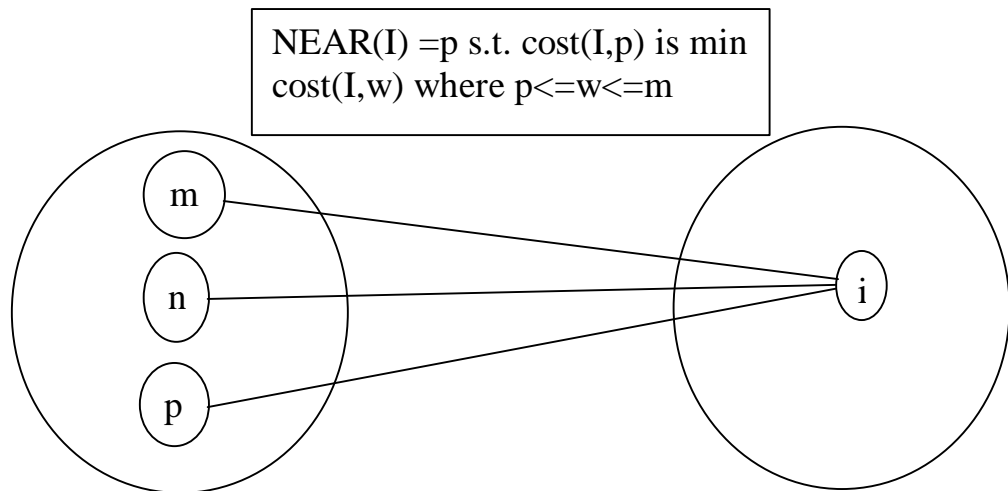
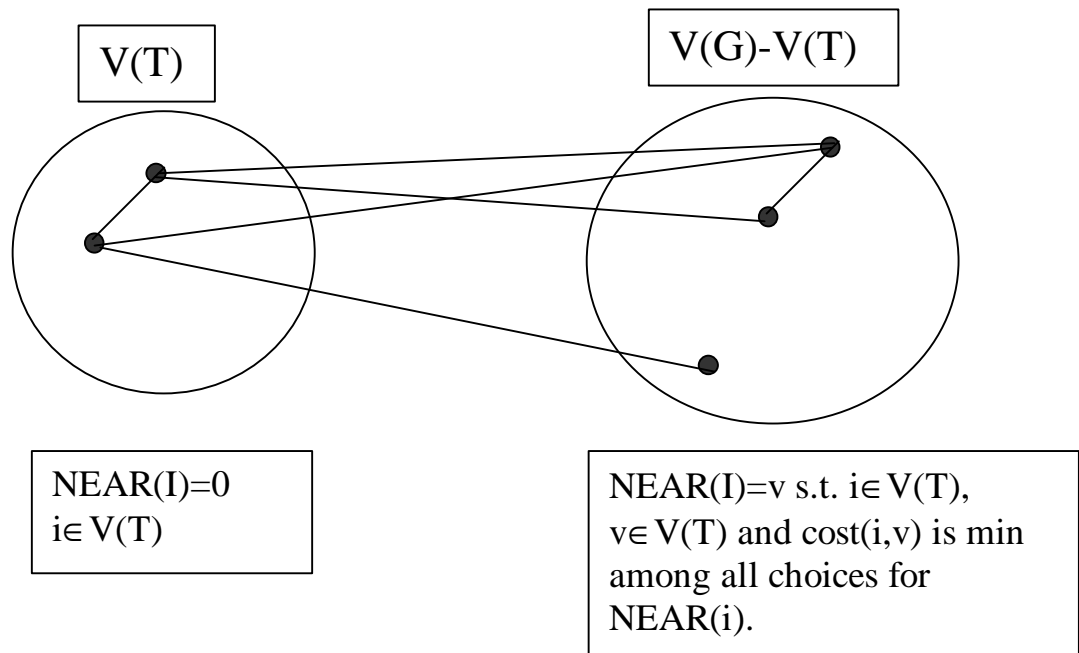
- The tree is built edge by edge.
- Let  $T$  be the set of edges selected so far.
- Each time a decision is made:
  - \* Include an edge  $e$  to  $T$  s.t. :  
Cost  $(T) + w(e)$  is minimized, and  
 $T \cup \{e\}$  does not create a cycle.

### ↳ **Prim's algorithm:**

- $T$  forms a single tree.
- The edge  $e$  added to  $T$  is always least-weight edge connecting the tree,  $T$ , to a vertex not in the tree

### ↳ **Implementation:**

- To choose the next edge to be included in  $T$ ,  
NEAR ( $i:n$ ) array is used.



Procedure PRIM (G, Cost, mincost)

/\* Let n be # of vertices \*/

Integer NEAR (1:n);

Integer u,w,p,I;

1. Begin
2.     Choose an arbitrary vertex  $v_o$ .
3.     mincost=0; NEAR ( $v_o$ )=0
4.     For each vertex  $w \neq v_o$  do
5.         NEAR ( $w$ )= $v_o$ ;
6.     End for
7.     For I=1 to n-1 do /\* fin n-1 edges of T \*/
8.         Choose a vertex w s.t.
9.             cost(w,NEAR(w) )= min (cost (u, NEAR(u)) )
10.             where NEAR (u)  $\neq o$
11.             mincost = mincost+ cost (w, NEAR(w));
12.             NEAR (w)=0
13.             For each vertex p do
14.                 if NEAR(p)  $\neq o$  & cost (p, NEAR(p) ) > cost (p,w)
15.                 then NEAR (p)= w;
16.                 endif
17.             end for
18.     End for
19.     End.

- Analysis:

- ✓ The for loop between 4 and 6 takes  $O(n)$ .
- ✓ Lines between 8 and 10 take  $O(n)$
- ✓ The For loop between 13 and 17 takes  $O(n)$
- ✓ Finally, the main For loop that starts at line 7 takes  $O(n)$
- ✓ the overall algorithm takes  $O(n^2)$ .

↪ **Example:**

- Let's start from  $v=1$

## Kruskal's algorithm

⇒ Problem:

- T form a forest.
- The edge  $e$  added to T is always least-weight edge in the graph that connects two distinct trees of T.
- At the end of the algorithm T becomes a single tree.

⇒ Example:



Procedure kruskal (G, cost).

Begin

T: forest

T =  $\emptyset$

while  $|T| \leq n-1$  &  $E \neq \emptyset$  do

    choose an edge  $(v,w) \in E$  of least weight

    delete  $(v,w)$  from E

    If  $(v,w)$  does not create a cycle in T

    then

        add  $(v,w) \in T$

    else

        discard  $(v,w)$ ;

    endif

end while.

### ↳ Implementation:

- Choose the edge with the smallest weight:
  - ✓ Use min-heap:
    - Get the min & read just the heap takes  $O(\log e)$ .
    - Construct the heap takes  $O(e)$ .
- Be sure that the chosen edge does not create a cycle in the so far built forest, T:
  - ✓ Use union-find:
    - Once  $(u,v)$  is selected.
    - Check if  $\text{Find}(u) \neq \text{Find}(v)$ .

- Summary:
  - ✓ Min-heap on edges.
  - ✓ Union-find on vertices.
- Time complexity  $O(e \log e)$ .

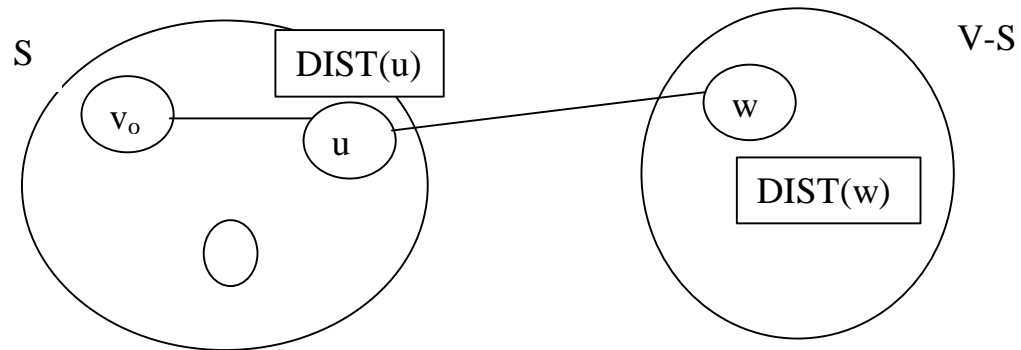
# Single Source Shortest Paths.

## ↪ Requirements:

- Given a weighted digraph  $G = (V, E)$  where the weights are  $> 0$ .
- A source vertex,  $v_o \in V$ .
- Find the shortest path from  $v_o$  to all other nodes in  $G$ .
- Shortest paths are generated in increasing order: 1, 2, 3, .....

## ↪ Algorithm Description: Dijkstra

- $S$ : Set of vertices (including  $v_o$ ) whose final shortest paths from the source  $v_o$  have already been determined.
- For each node  $w \in V - S$ ,  
Dist ( $w$ ): the length of the shortest path starting from  $v_o$  going through only vertices which are in  $S$  and ending at  $w$ .
- The next path is generated as follows:
  - It's the path of a vertex  $u$  which has Dist ( $u$ ) minimum among all vertices in  $V - S$
  - Put  $u$  in  $S$ .
- Dist ( $w$ ) for  $w$  in  $V - S$  may be decreased going through  $u$ .



Compare  $\text{Dist}(u) + \text{cost}(u, w)$  with  $\text{Dist}(w)$ .

#### ↪ **Algorithm:**

Procedure SSSP ( $v_o$ , cost, n)

Array S (1:n);

Begin

/\* initialization\*/

For  $i=1$  to  $n$  do

$S(i)=0$ ,  $\text{Dist}(i)=\text{cost}(v_o, i)$

End for.

$S(v_o)=1$ ,  $\text{Dist}(v_o)=0$ ;

For  $i=1$  to  $n-1$  do.

Choose  $u$  s.t.  $\text{Dist}(u) = \min_{S(w)=0} \{ \text{Dist}(w) \}$

$S(u)=1$ ;

For all  $w$  with  $S(w)=0$  do.

$\text{Dist}(w) = \min(\text{Dist}(w), \text{Dist}(u) + \text{Cost}(u, w))$

End for.

end for.

end.

✓ Time complexity:  $O(n^2)$ .

