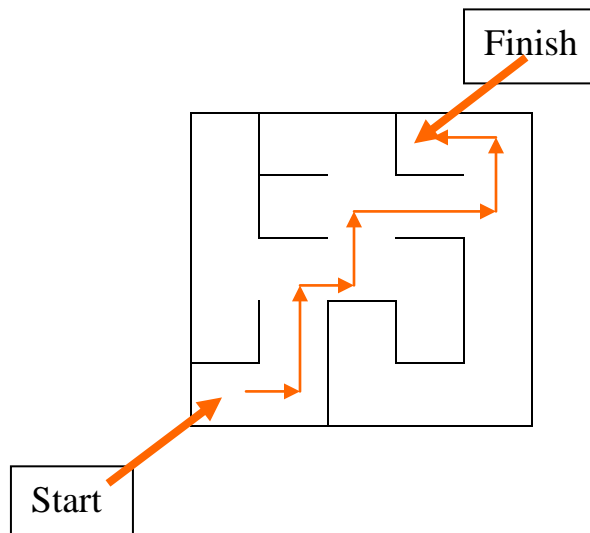


# Backtracking

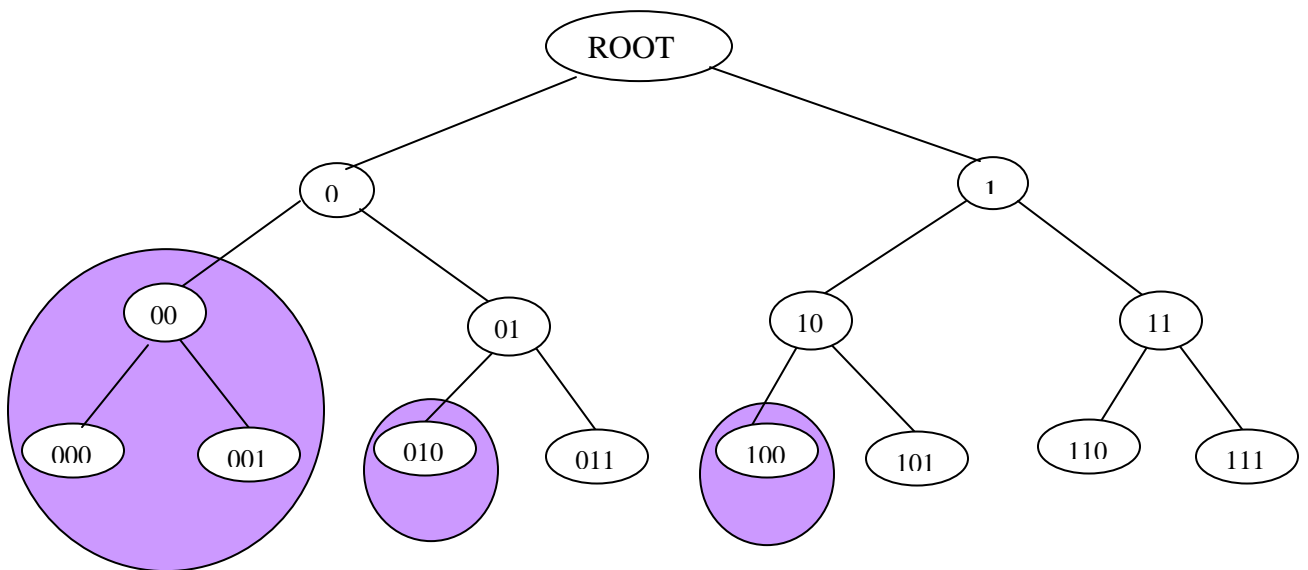
↳ Examples:

- Maze problem



- The bicycle lock problem:
  - ✓ Consider a lock with  $N$  switches, each of which can be either 0 or 1.
  - ✓ We know that the combination that opens the lock should have at least  $\left\lceil \frac{N}{2} \right\rceil$  1's.
  - ✓ Note: The total number of combinations is  $2^N$
  - ✓ The solution space can be modeled by a tree

✓ Example:  $N=3$



#### ↳ Characteristics

- Backtracking technique can be considered as an organized exhaustive search that often avoids searching all possibilities.
- The solution space can be organized as a tree called: search tree
- Use depth-first search technique
- The search tree is pruned using a bounding function.
- Assumptions:
  - ✓  $X[1..n]$  contains the solution of the problem
  - ✓ All possible values of  $X[i]$  are elements of a set  $S_i$

- General algorithm:

```

Procedure backtrack(n)
/* X is the solution vector */
Integer k;
Begin
    k = 1;
    Compute Sk; /* compute the possible solution values for k=1 */
    While k > 0 do
        While Sk <> ∅ do
            X[k] = an element of Sk;
            Sk = Sk - {X[k]};
            If B(X[1], ..., X[i], ..., X[k]) = True
            Then
                Print the solution vector X;
            else begin
                k = k + 1;
                Compute Sk;
            End;
        End;
    End while;
    k = k - 1;
End while;
End;

```

- Recursive solution:

```

Procedure back_recursive(k)
begin
  For each X[k] in Sk do
    If B(X[1], ..., X[i],..., X[k]) = True
    then Print the solution vector X;
    else begin
      Compute Sk;
      Back_recursive(k+1);
    end if;
  end for;
end;

```

↳ Examples:

- n-queen
- sum of subsets
- Hamiltonian cycle
- Graph coloring

⇒ n-queen problem:

- Objective: place n queen in an n by n chessboard such that no two queens are not on:

- 1) same row
- 2) same column
- 3) same diagonal
- 4)

1), 2), and 3) form the **bounding function**

- Example: N=4

Q	Q	<u>Q</u>	
<u>Q</u>		Q	Q
	Q		<u>Q</u>
	<u>Q</u>		

- Brute force method or exhaustive search: takes  $O\left(\binom{n^2}{n}\right)$
- Using condition 2) ➔ reduce the solution space to  $n^n$
- Using condition 1) ➔ reduce the solution space to  $n!$
- The solution vector is characterized as follows:
  - ✓  $X[i]$  contains the column position of the queen  $i$  in the  $i$ th row.
  - ✓  $S_k = \{1, 2, \dots, n\}$   $S_k$  represents the number of columns for queen  $k$  in the  $k$ th row.

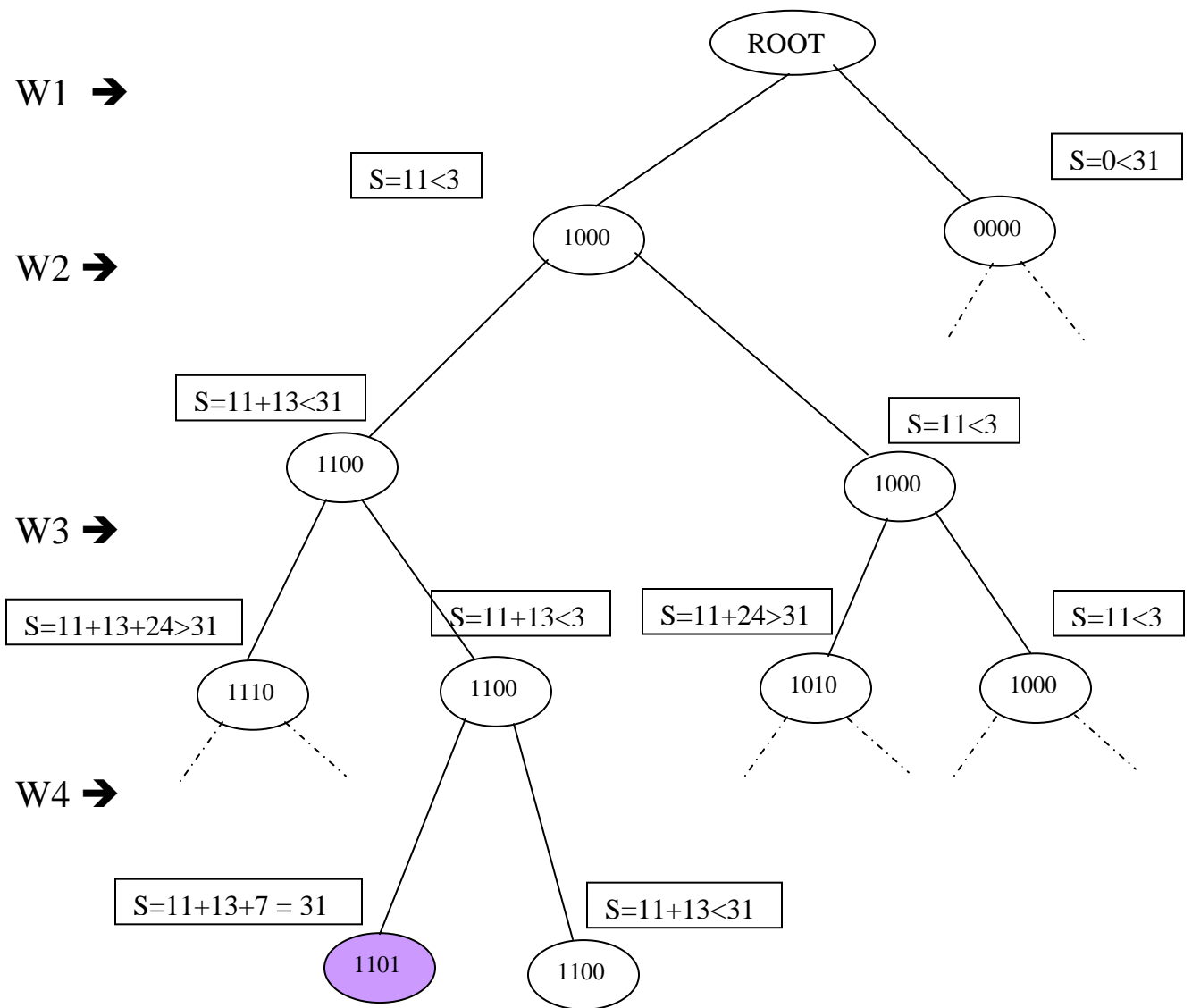
- Algorithm:  
Function bound(k)  
Integer i;  
Begin  
    For i=1 to k-1 do     /\* for each row up to k-1 \*/  
        If  $X[i] = X[k]$      /\* Are queens on the same row? \*/  
            or  
             $|X[i] - X[k]| = |i - k|$      /\* Are queens are on the same  
  diagonal \*/  
            then  
                return (False);  
            endif;  
        endfor;  
    return(True);  
end;

## ↪ Sum of subsets

- Input:  $n$  distinct positive numbers  $w_i$  where  $1 \leq i \leq n$  and integer  $M$
- Output: all combinations whose sum is  $M$
- Solution:
  - ✓ Use static binary tree where level  $I$  corresponds to the selection of  $w_i$ .
  - ✓ The solution vector  $X$  is defined as follows:

It a bit-map vector where  $X[i]$  contains 1 if the  $w_i$  is included; otherwise it contains 0;

- Example:  $n=4$   
 $(w_1, w_2, w_3, w_4) = (11, 13, 24, 7)$   
 $M = 31$



Bounding function:

Function bound(k)

Begin

If  $(\sum_{i=1}^k X[i]w_i + \sum_{i=k+1}^n w_i \geq M)$  and  $(\sum_{i=1}^k X[i]w_i \leq M)$

Then

Return(True);

Else

Return(False);

Endif;

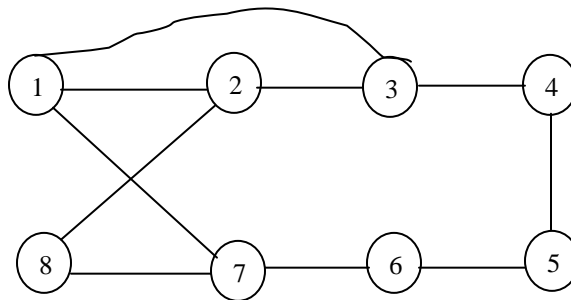
End;



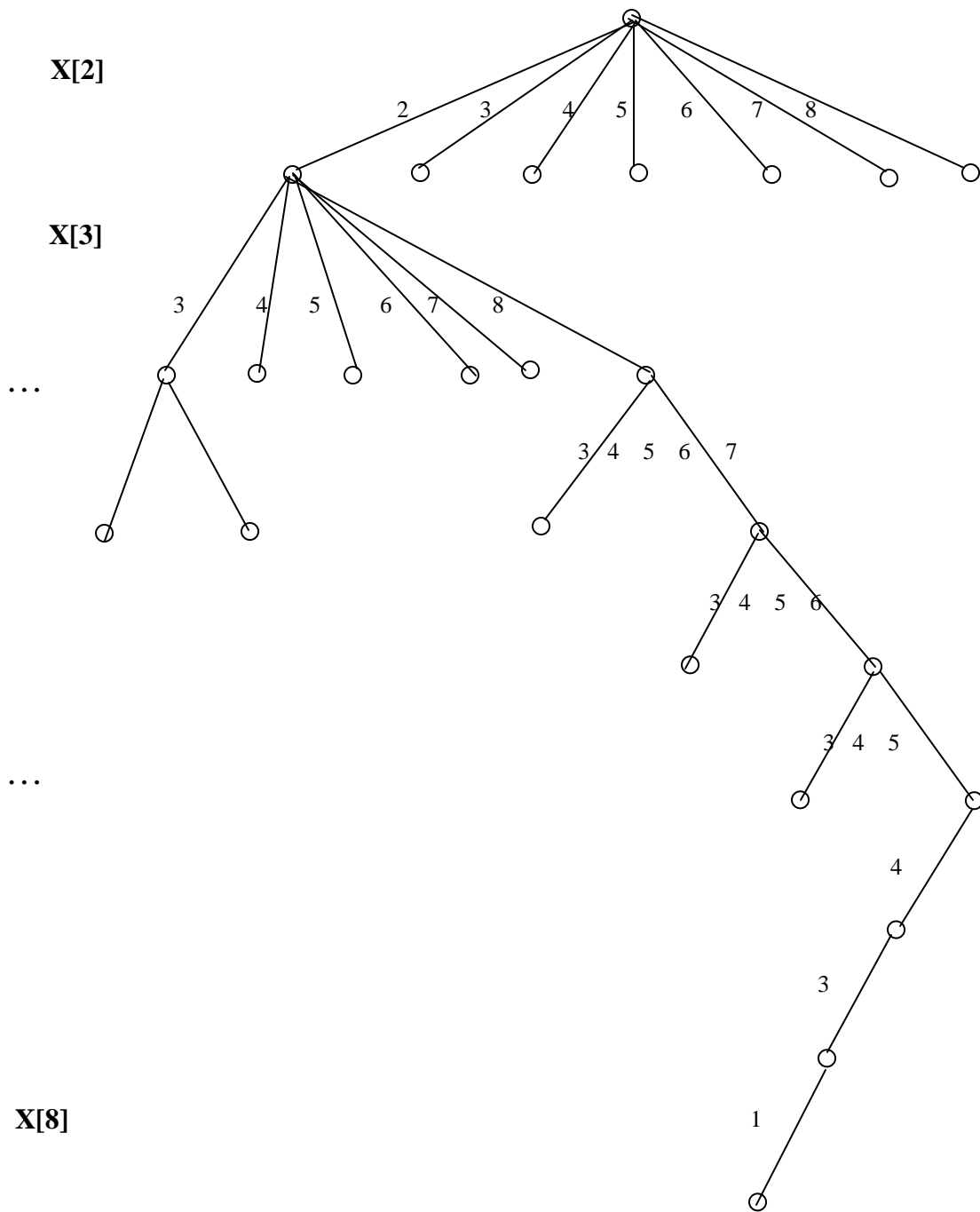
## ↪ Hamiltonian Cycle

- Definition: A Hamiltonian cycle in an undirected graph  $G=(V,E)$  is a simple cycle that passes through every vertex once.
- Input: a graph  $G$  with  $n$  vertices
- Output: Hamiltonian cycle
- Solution:
  - ✓ Use dynamic tree where level  $i$  corresponds to the selection of the  $i$ th vertex.
  - ✓ The solution vector  $X[1..n]$  is such that  $X[i]$  is the vertex in the cycle.

- Example:



- ✓ Start at vertex 1

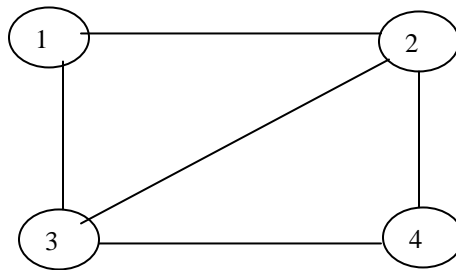


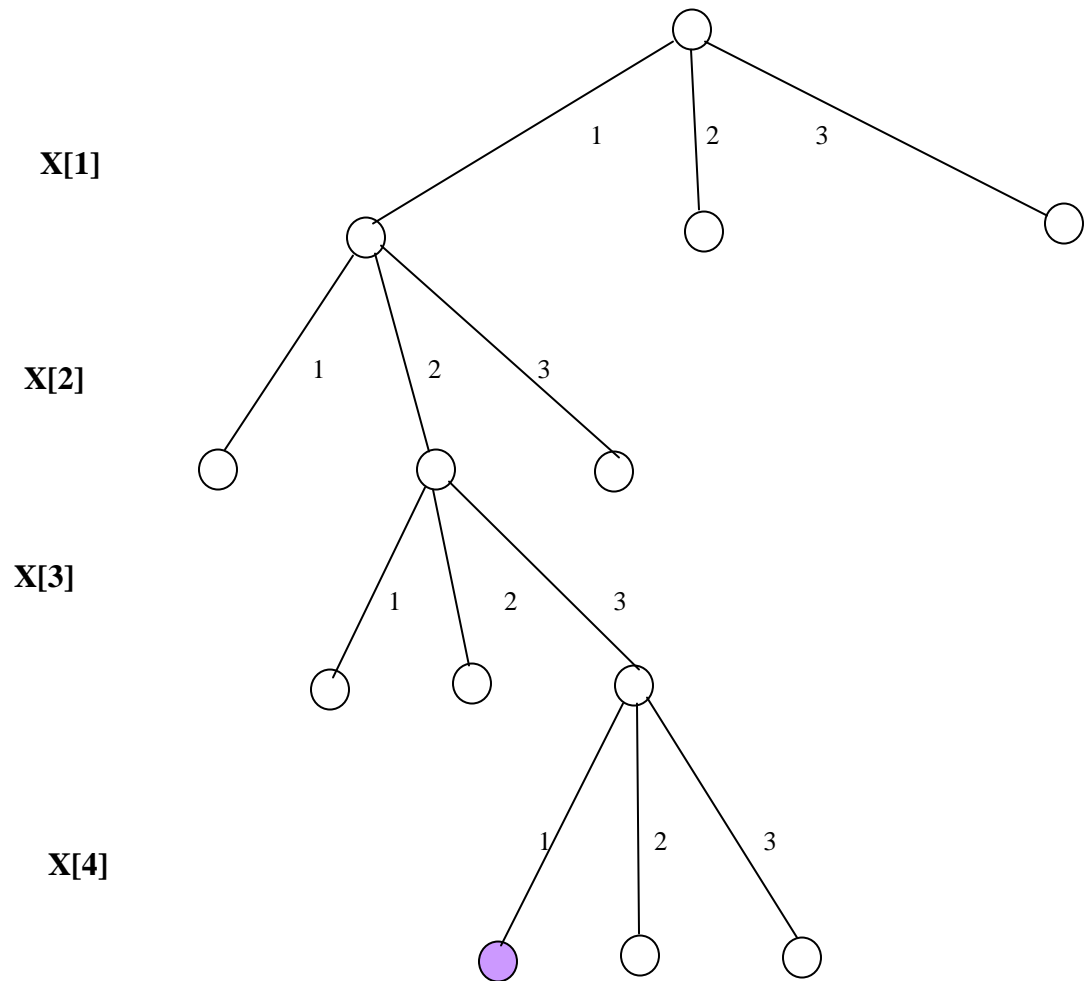
- Bounding function:

```
Function bound(k)
integer i;
begin
    for i=1 to k-1 do      /* check for distinctness */
        If x[i]=x[k]
            then
                return(False);
            endif;
    endfor;
    If (X[k],X[k]-1) is an edge in G
    then
        return (True);
    else
        return(False);
    endif;
end;
```

## ↳ Graph coloring:

- Definition: A coloring of a graph  $G=(V,E)$  is a mapping  $F:V \rightarrow C$  where  $C$  is a finite set of colors such that if  $\langle v,w \rangle$  is an element of  $E$  then  $F(v)$  is different from  $F(w)$ ; in other words, adjacent vertices are not assigned the same color.
- Input: Graph  $G$  of  $n$  vertices and a set of  $m$  colors in  $C=\{1,2,\dots,m\}$
- Output: - color the vertices of  $G$  such that no two adjacent vertices have the same color
- Solution:
  - ✓ Use a static tree
  - ✓ The solution vector  $X[1..n]$  is such  $X[i]$  has the color of the  $i$ th node.
- Example:  $n=4$  and  $m=3$





- Bounding function:

```

Function next_color(k)
Integer i;
begin
  for i=1 to k-1 do
    If ((k,i) is an edge) and (X[i]=X[k])
    then
      return (False);
    endif;
  endfor;
end;

```