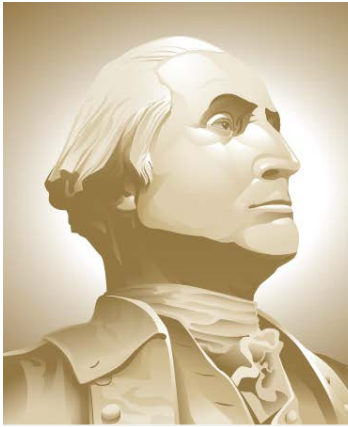


Future Scenario - Definition

Presentation by: J. Rene van Dorp



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VCU

GWU Personnel: Dr. J. Rene van Dorp

VCU Personnel: Dr. Jason R. W. Merrick

MARCH 15, 2013

2010 VTRA STUDY – FUTURE PROJECT + FOCUS VESSELS

BASE CASE: VTRA UPDATED WITH VTOSS 2010

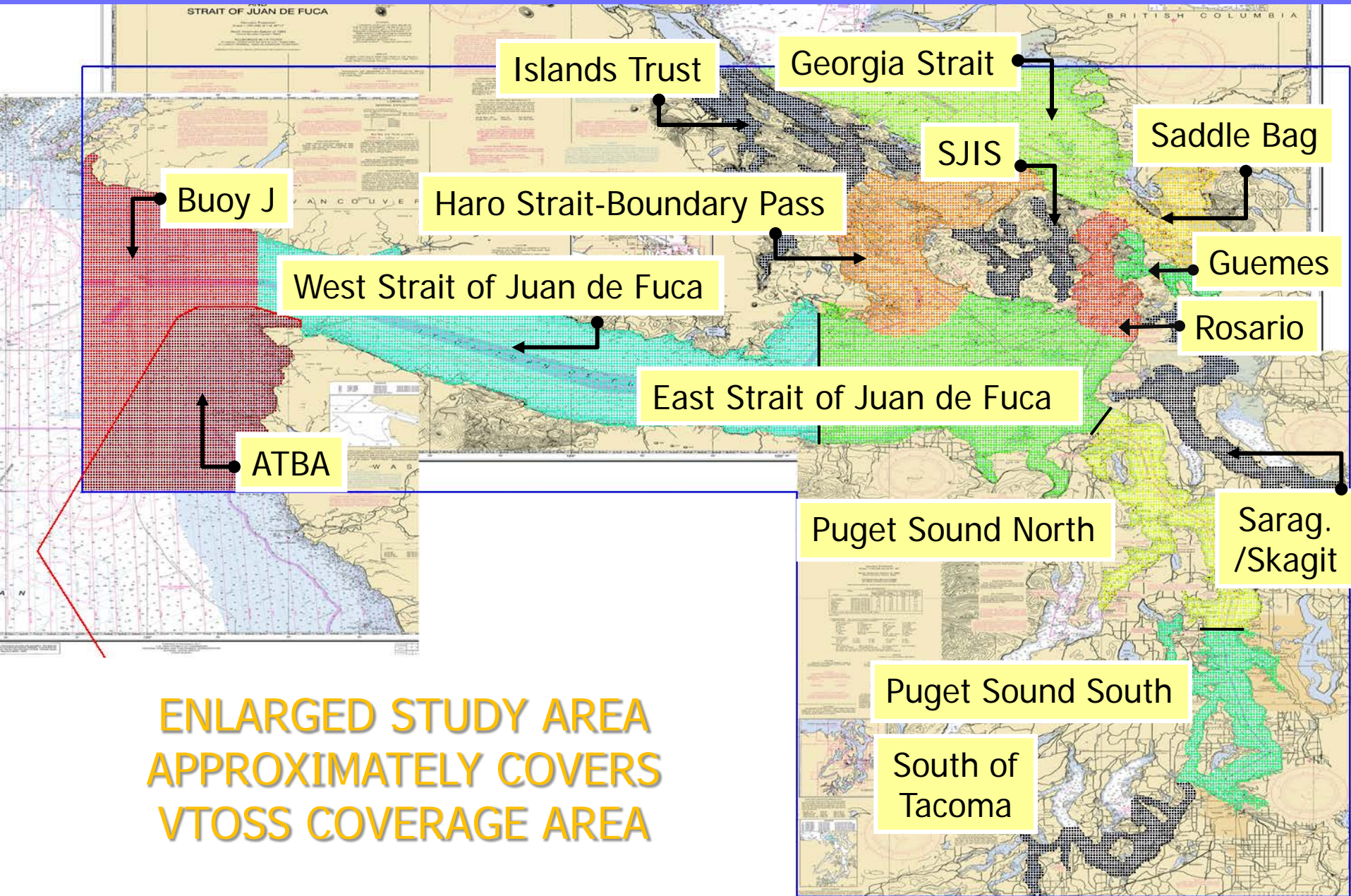
FOCUS VESSEL	VESSEL TYPE
1	Oil Tanker
2	ATB
3	Oil Barge
4	Bulk Carrier
5	Container Vessels

FUTURE	SCENARIO
1	GATEWAY
2	KINDER MORGAN
3	DELTA\WEST SH\ NEPTUNE
4	OTHER TRENDS

SUGGESTED APPROACH TOWARDS FUTURE SCENARIO DEFINITION:

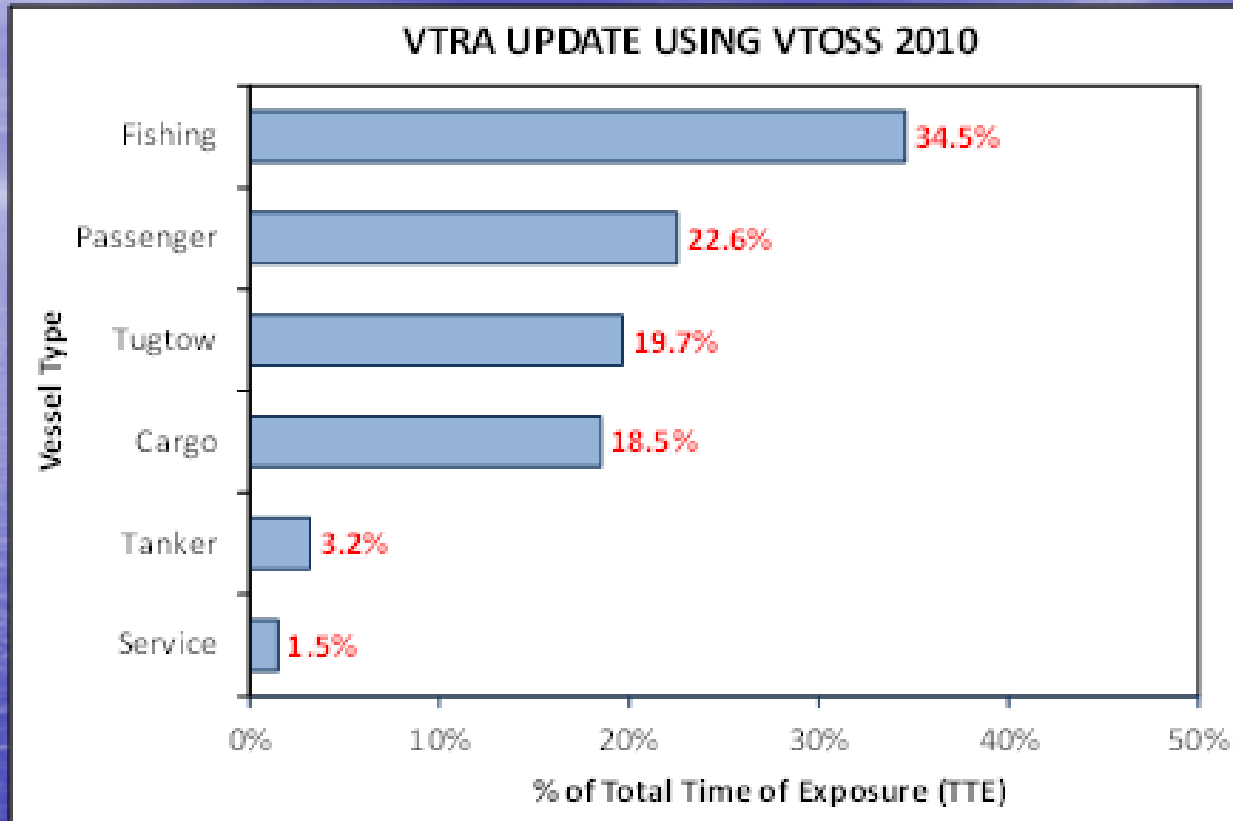
- Keep interacting vessels at VTOSS 2010 levels, limit to FV changes
- Each Scenario may result in Focus Vessel increases
- Each Scenario may result in Focus Vessel decreases

VTRA STUDY AREA - 15 DEFINED LOCATIONS



**ENLARGED STUDY AREA
APPROXIMATELY COVERS
VTOSS COVERAGE AREA**

2010 VTRA STUDY AREA – 15 WATERWAY LOCATIONS



Larger Traffic – Modeled in VTRA Model using VTOSS 2010 data

VTS Participating Traffic: Seattle, Tofino and Victoria

Smaller Traffic – Modeled in VTRA Model using other data

State, Tribal, Canadian fisheries, Permitted Regatta Events, Whale Watching Activities

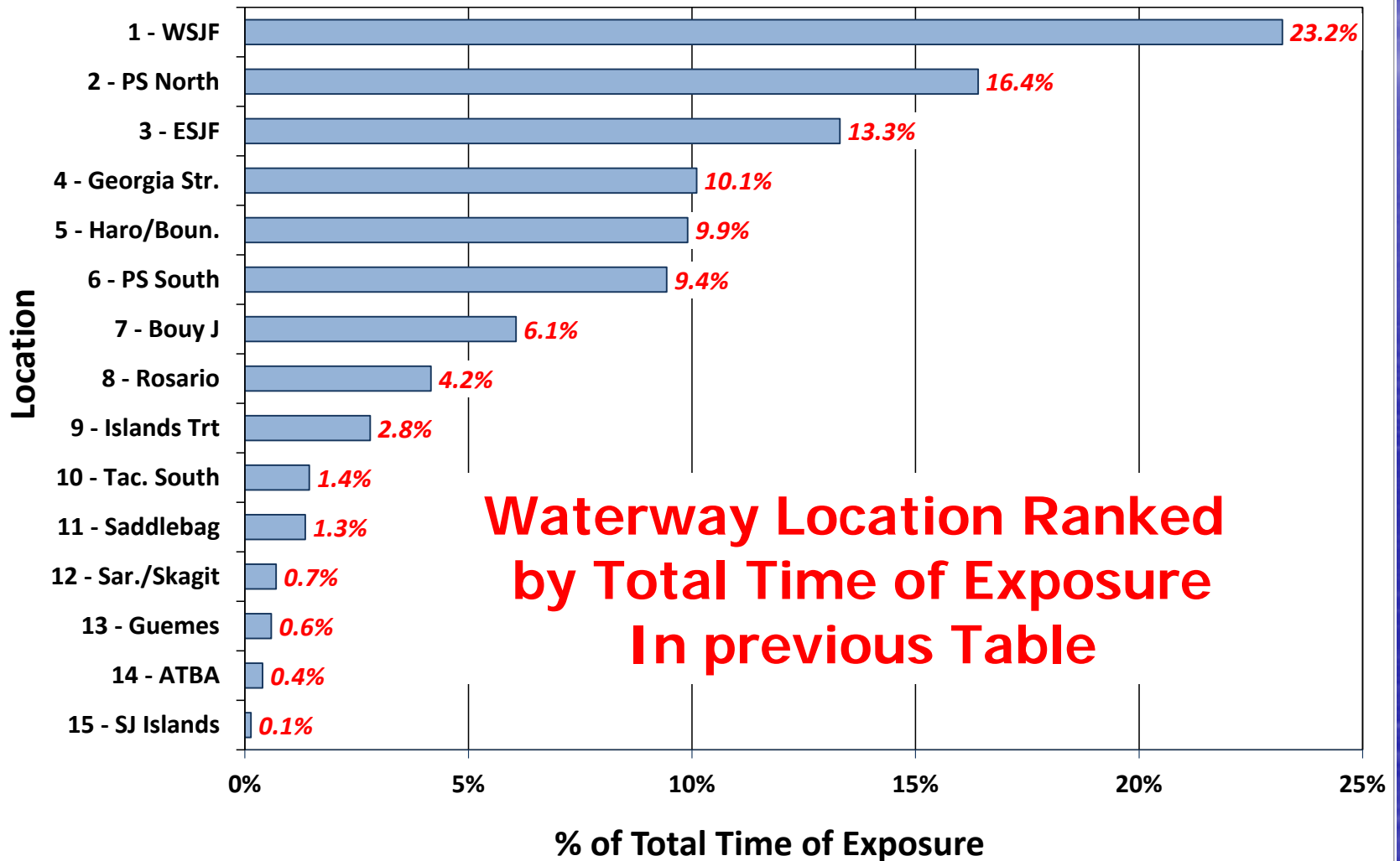
2010 VTRA STUDY AREA – 15 WATERWAY LOCATIONS

With eye towards larger potential oil spills restrict in
Table below to Tanker, Cargo, Tug-Tow

LOCATION	# Grid Cells	% Area	# Days Vessel is Moving per year	Average # of Vessels	% of Total Time of Exposure	Density Factor (DF)
WSJF	2857	19.6%	2683.7	7.4	23.2%	1.18
PS North	983	6.8%	1896.7	5.2	16.4%	2.43
ESJF	2049	14.1%	1538.9	4.2	13.3%	0.94
Georgia Str.	1424	9.8%	1168.3	3.2	10.1%	1.03
Haro/Boun.	1066	7.3%	1145.1	3.1	9.9%	1.35
PS South	619	4.3%	1090.9	3.0	9.4%	2.22
Bouy J	1478	10.2%	701.5	1.9	6.1%	0.60
Rosario	307	2.1%	481.2	1.3	4.2%	1.97
Islands Trt	696	4.8%	324.0	0.9	2.8%	0.59
Tac. South	326	2.2%	166.7	0.5	1.4%	0.64
Saddlebag	375	2.6%	156.0	0.4	1.3%	0.52
Sar./Skagit	459	3.2%	80.8	0.2	0.7%	0.22
Guemes	127	0.9%	68.4	0.2	0.6%	0.68
ATBA	1520	10.5%	45.9	0.1	0.4%	0.04
SJ Islands	259	1.8%	15.6	0.0	0.1%	0.08
Total	13246	100.0%	11563.8	31.7	100.0%	1.0

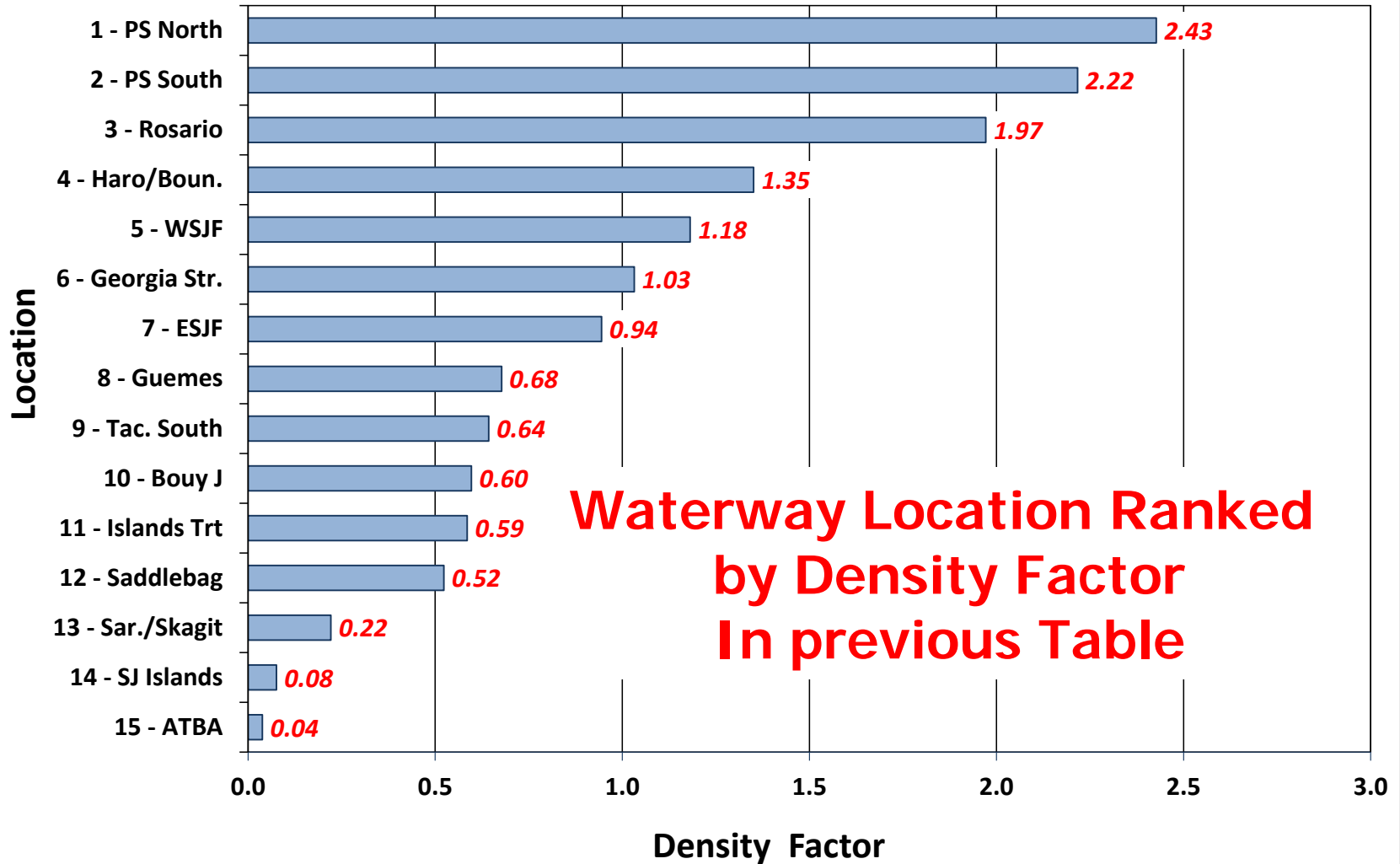
2010 VTRA STUDY AREA – 15 WATERWAY LOCATIONS

VTRA MODEL - VTOSS 2010



2010 VTRA STUDY AREA – 15 WATERWAY LOCATIONS

VTRA MODEL - VTOSS 2010



2010 VTRA STUDY – EXPOSURE GEOGRAPHIC PROFILE

Tanker, Cargo and Tug-Tow

VTRA CASE : Cargo, Tanker, TugTow combined

100%

46%

25%

Factor x Average Exposure:

- > 42.87
- > 10.00
- 10.00
- 3.60
- 2.89
- 2.47
- 2.17
- 1.93
- 1.74
- 1.58
- 1.44
- 1.20
- 1.09
- 1.00
- 0.81
- 0.66
- 0.52
- 0.39
- 0.28
- 0.18
- 0.09
- 0.00

41.4 % of TOTAL 2010 TRAFFIC DENSITY

- 47.5% - TugTow
- 44.8% - Cargo
- 07.7% - Tanker

100.0%



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VCU

Towards the Development of a Comprehensive Vessel Traffic Risk Management Tool

Presentation by: J. Rene van Dorp



GWU Personnel: Dr. J. Rene van Dorp, Dr. Jack Harrald, Dr. Greg Shaw, Adil Caner Sener, Christian Salmon

VCU Personnel: Dr. Jason R. W. Merrick, Christina Werner

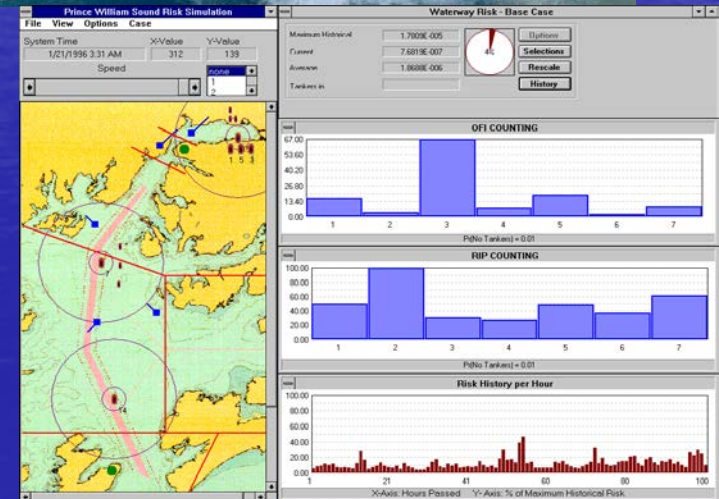
RPI Personnel: Dr. Martha Grabowski, Zhi Zhou, Michael Steward, Brittany Steward, Huawei Song, Zhuyu You

TU Delft Personnel: Giel van de Wiel

Puget Sound Harbor Safer Committee Presentation April 2012

Previous Work

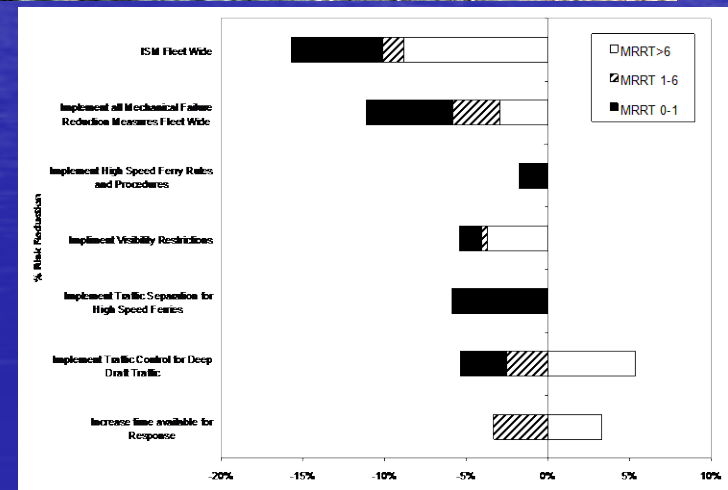
- **Prince William Sound Risk Assessment**
 - Site of the Exxon Valdez Disaster
 - Objective—reduce oil spill risk
 - Model used system simulation, data analysis and expert judgment
 - Capable of modeling systemic effects of proposed interventions
 - Multi-million dollar investments made to reduce risk of further oil spills



Merrick, J. R. W., J. R. van Dorp, T. Mazzuchi, J. Harrald, J. Spahn, M. Grabowski.
2002. The Prince William Sound Risk Assessment. *Interfaces* 32(6) 25-40.

Previous Work

- **Washington State Ferries Risk Assessment**
 - Largest ferry system in the United States
 - Objective—Subchapter W determination, reduce risk alternatives to lifeboats
 - Simulation/expert judgment model improved based on NRC review of PWS study
 - Legislature approved funding of Safety Management System, training and emergency preparedness exercises

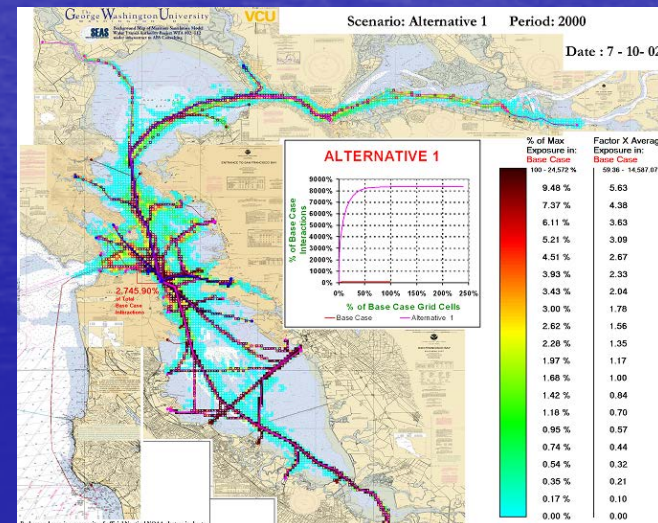
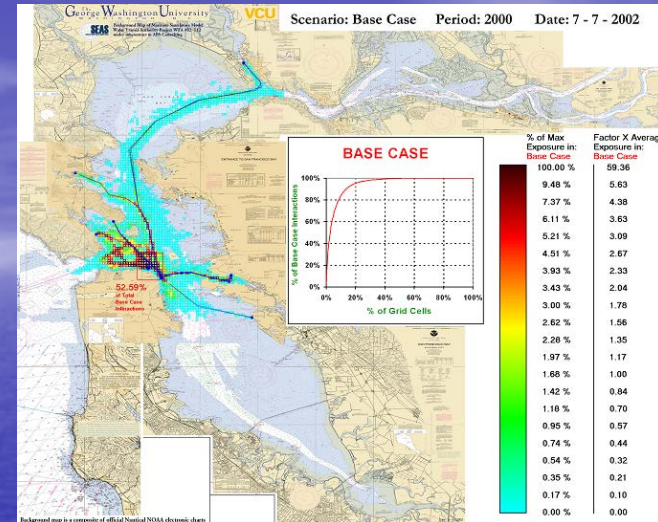


van Dorp, J. R., J. R. W. Merrick, J. Harrald, T. Mazzuchi, M. Grabowski. 2001. A Risk Management Procedure for the Washington State Ferries. *Risk Analysis* 21(1) 127-142.

Previous Work

- **San Francisco Bay Exposure Assessment**

- California legislature examining the effects of major expansion of ferry services
- Objective—fulfill environmental impact requirement
- Simulation model tested the impact of proposed expansion on vessel interactions
- Legislature considering implementing proposed expansions



Merrick, J. R. W., J. R. van Dorp, J. P. Blackford, G. L. Shaw, J. Harrauld, T.A. Mazzuchi. 2003. Traffic Density Analysis of Proposed Ferry Service Expansion in San Francisco Bay Using a Maritime Simulation Model. *Reliability Engineering and System Safety* 81(2) 119-132.

Map

Satellite

Hybrid



North-Wing Pier
at Cherry Point

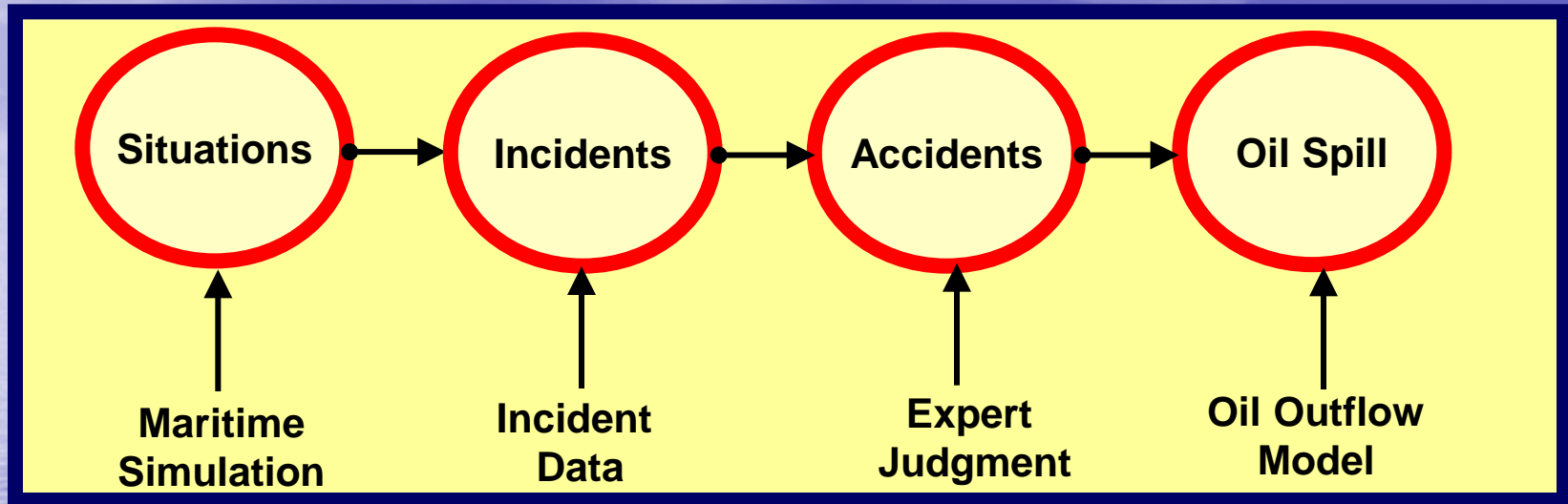
CONTEXT OF VTRA STUDY



©2006 Google - Imagery ©2006 DigitalGlobe, Map data ©2006 NAVTEQ™ - [Terms of Use](#)



An Oil Spill is a series of cascading events referred to as a Causal Chain



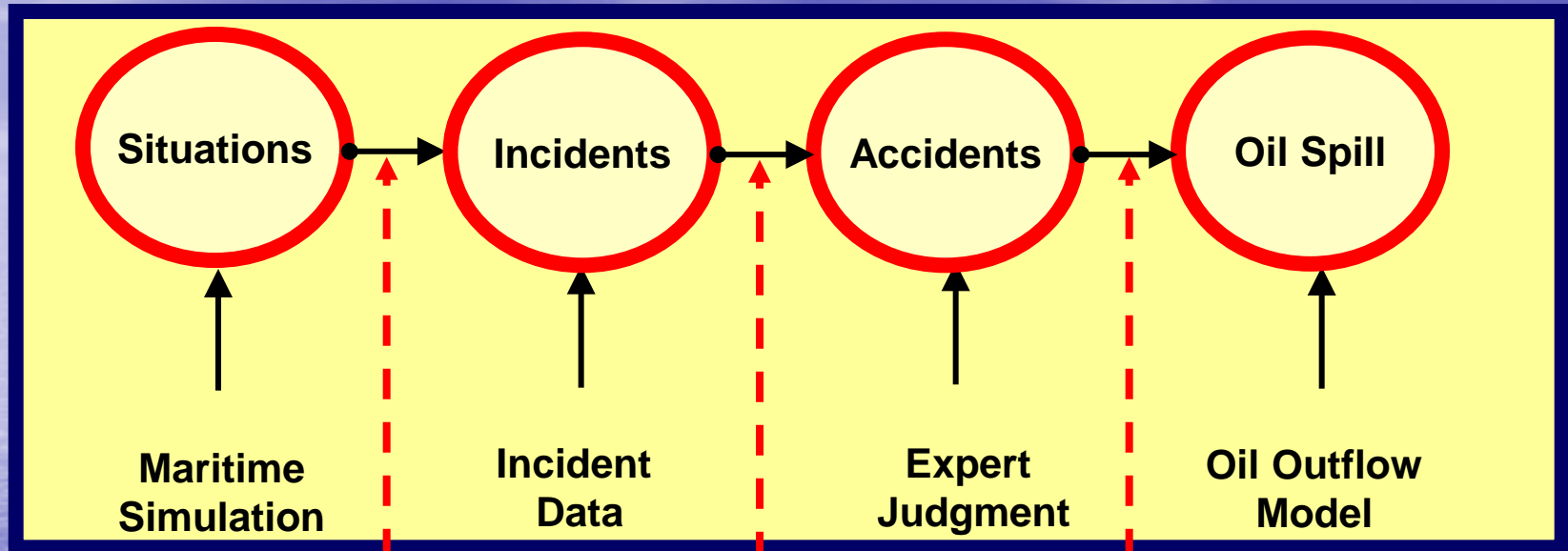
$$R = \{ \langle s_i, l_i, x_i \rangle \}_c$$

Complete Set

Scenario i Likelihood i Consequence i

Use Kaplan's (1997) definition of system risk in:
"The Words of Risk Analysis", Risk Analysis 17 (4), 407-417

Risk Management of a Causal Chain

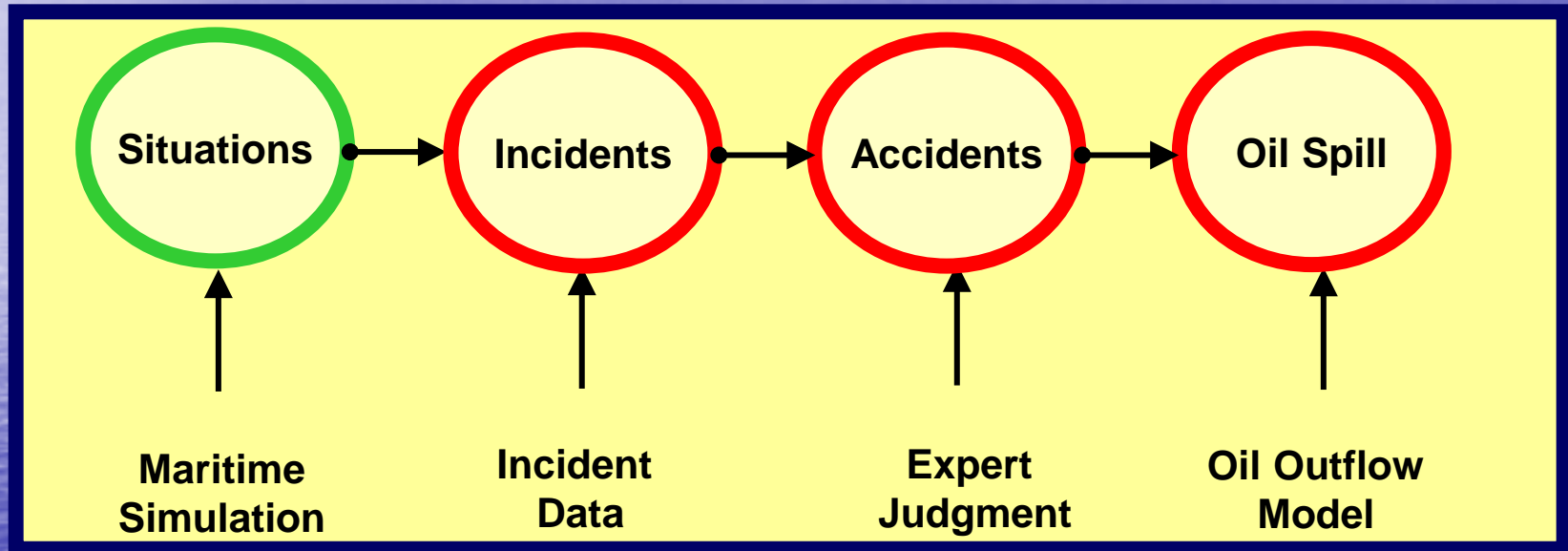


**RISK
MANAGEMENT
QUESTIONS**

Kaplan's (1997)
Risk Definition

$$R = \{ \langle s_i, l_i, x_i \rangle \}_c$$

Step 1: Generate Accident Scenarios



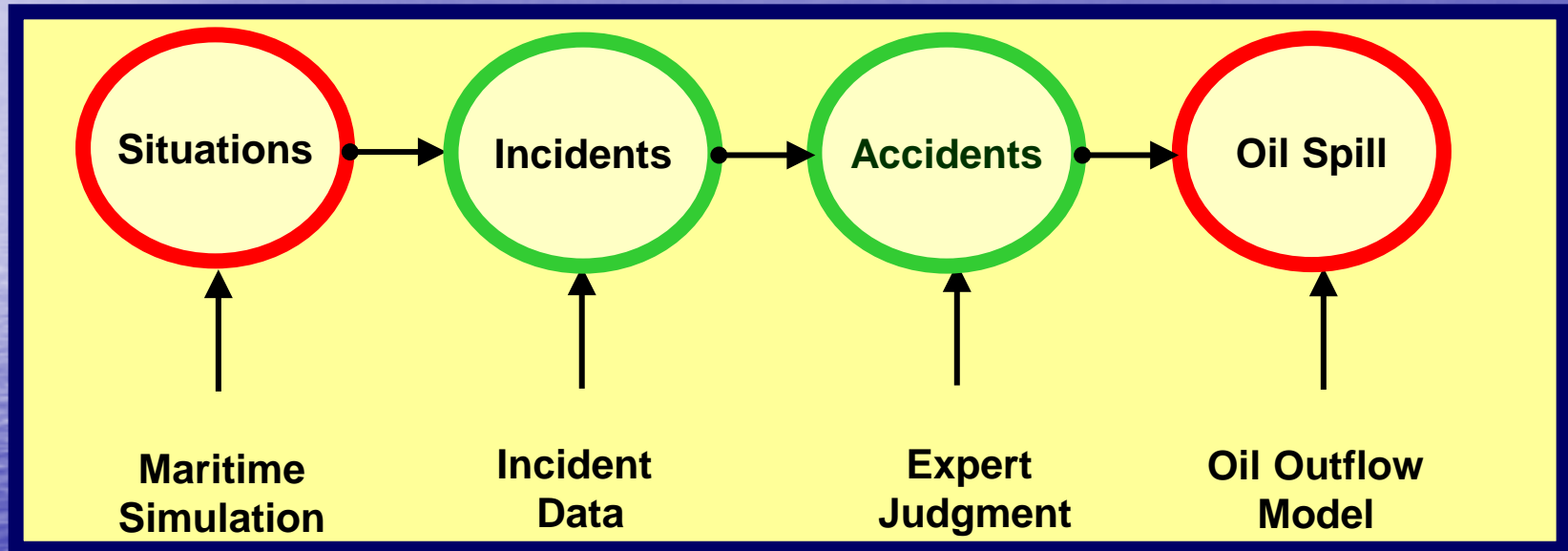
Joint work with:



VCU Personnel:

Dr. Jason R. W. Merrick,
Christina Werner

Step 2: Evaluate Accident Likelihood per Accident Scenario



Joint work
with:

VCU Personnel:

Dr. Jason R. W. Merrick,
and Team

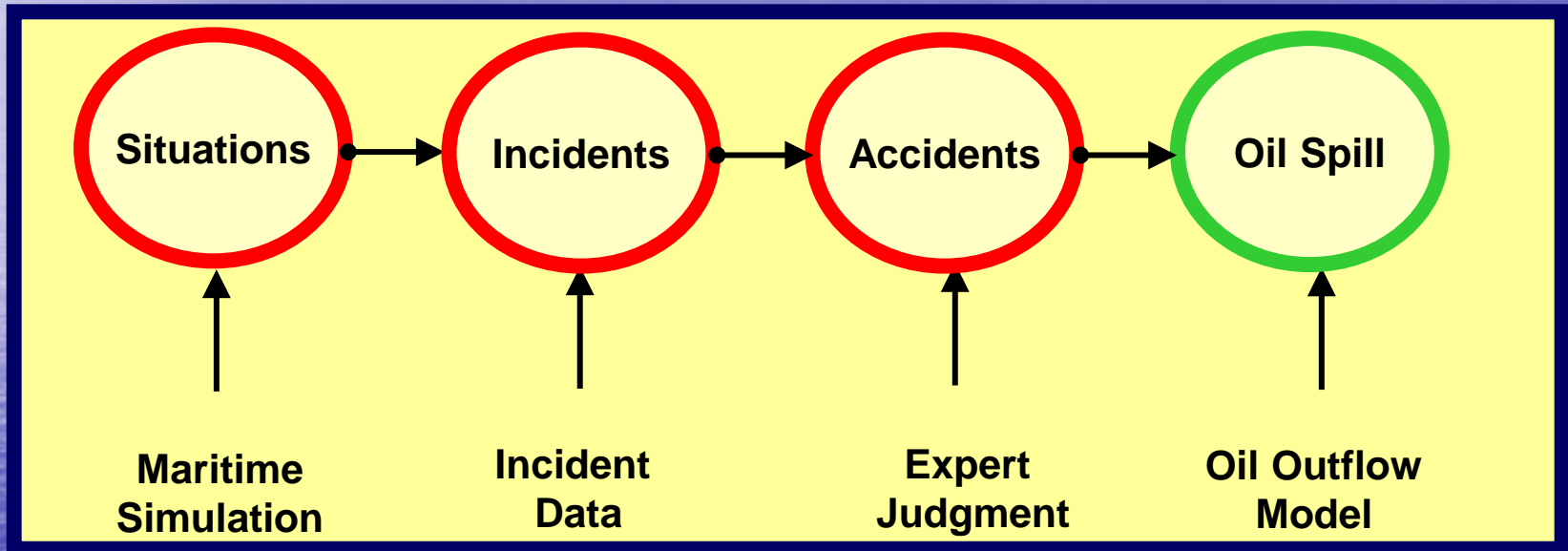


RPI Personnel:

Dr. M. Grabowski,
and Team



Step 3: Evaluate Consequence per Accident Scenario

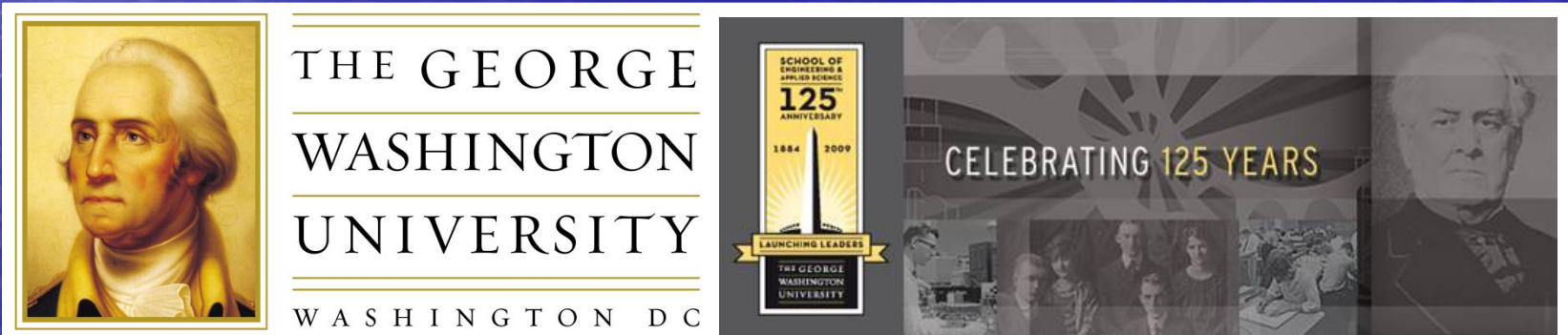
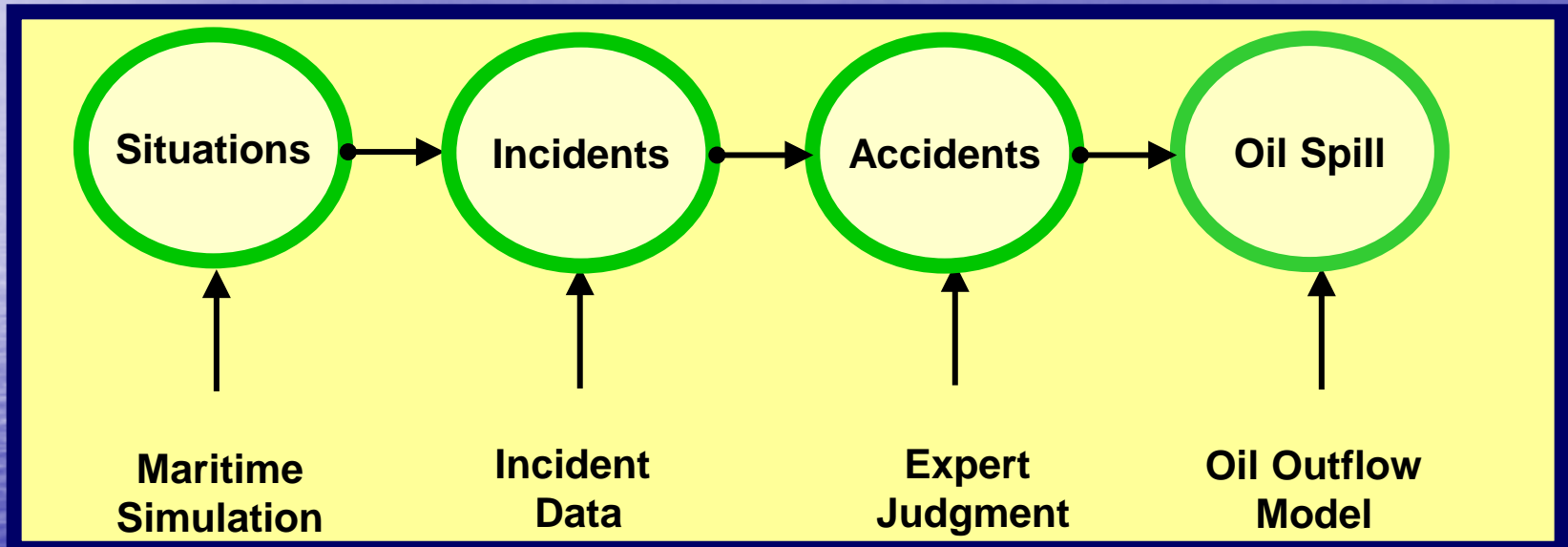


Joint work with:

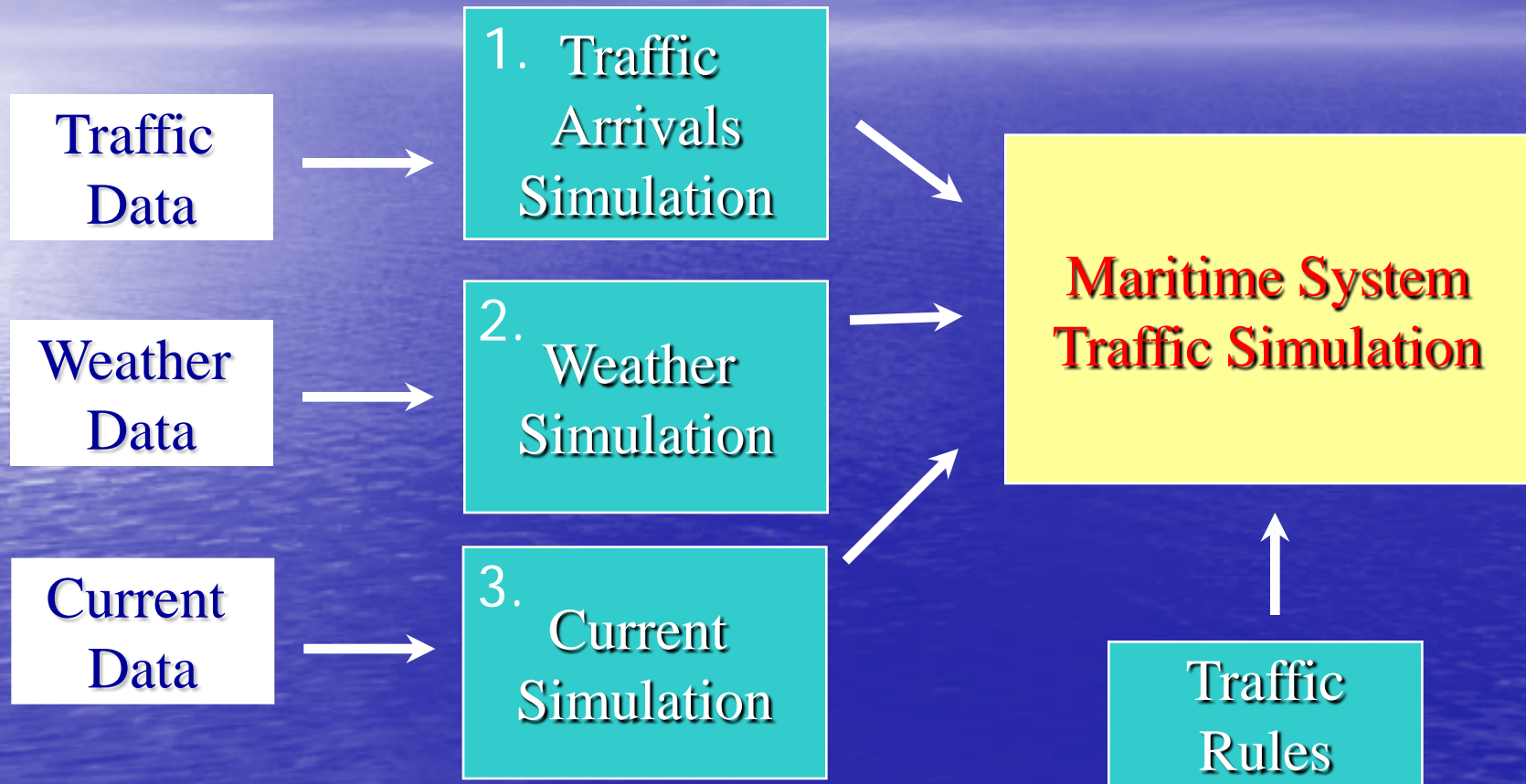


TU Delft
Personnel:
Giel van de Wiel

Step 4: Integrate Previous 3 Steps



Step 1a: Model Maritime Traffic Simulation (MTS) Model



Required close cooperation with the USCG VTS and **Puget Sound Harbor Safety Committee** for data + validation

Route Modeling:

Assessment of Oil Spill Risk due to Potential Increased Vessel Traffic at Cherry Point, Washington

GWU Personnel:

Dr. Jack R. Harrald, Dr. J. Rene van Dorp, Dr. Greg Shaw,
Dr. Thomas A. Mazzuchi, Adil Caner Sener

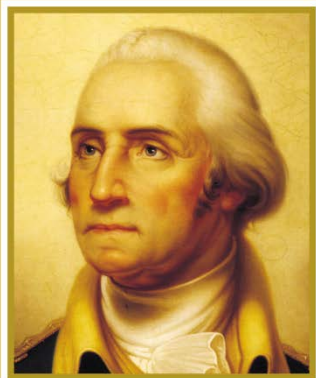
RPI Personnel:

Dr. Martha Grabowski, Zhi Zhou

VCU Personnel:

Dr. Jason R. W. Merrick, Christina Werner

July 7, 2006



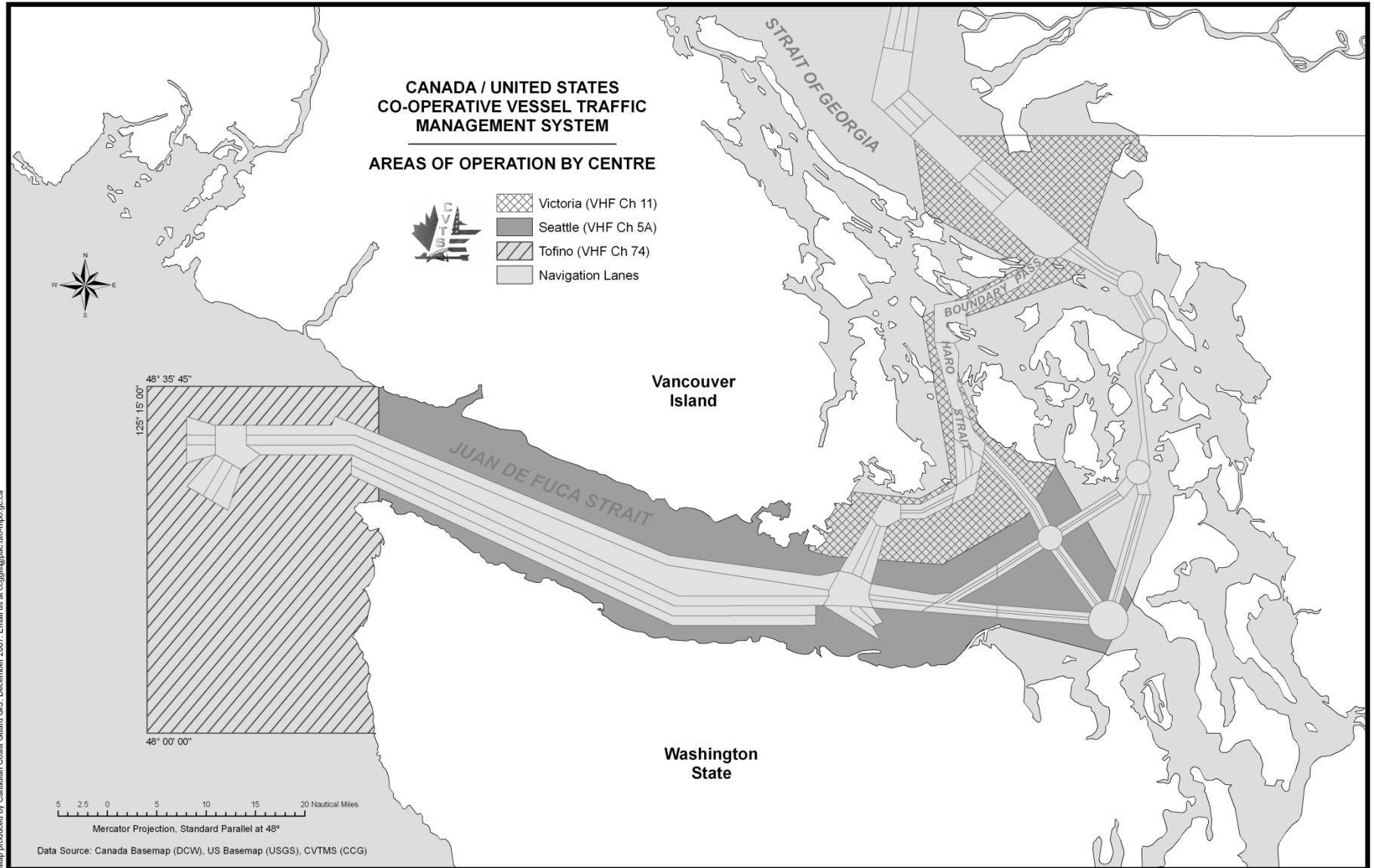
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WASHINGTON D C



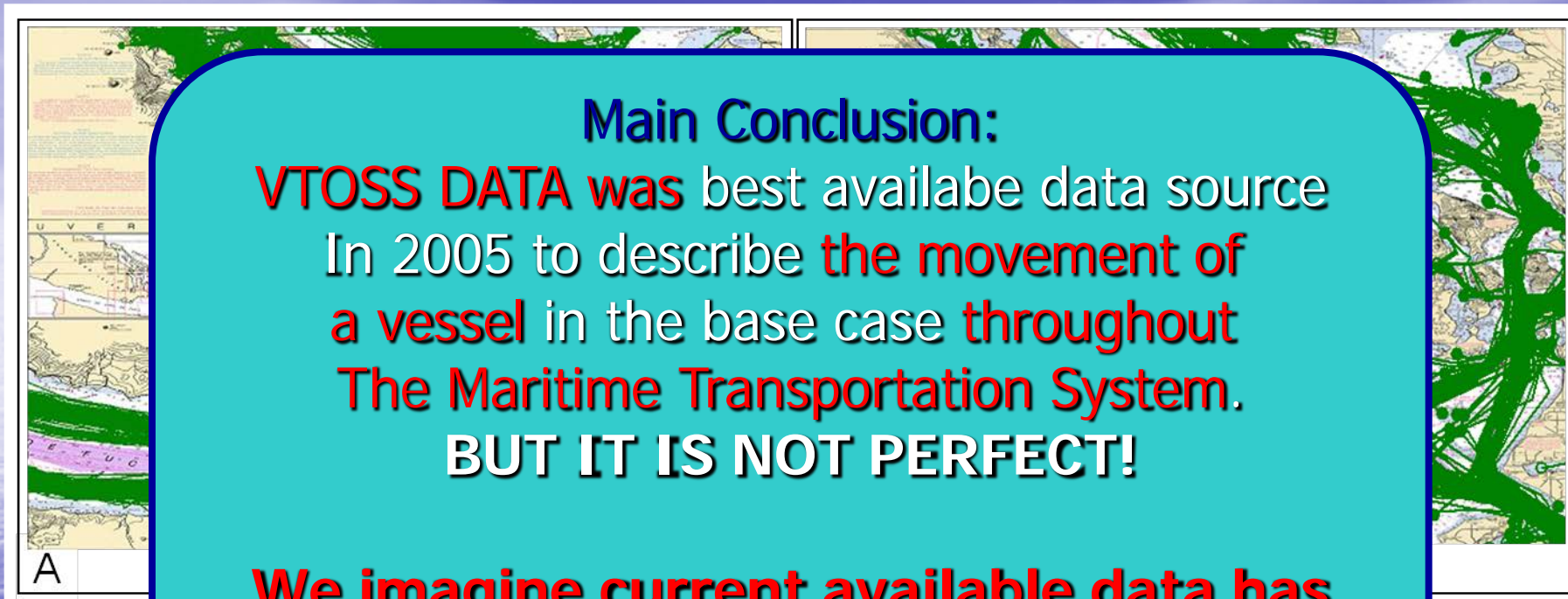
Rensselaer

VCU

The Vessel Traffic Operation Support System (VTOSS)



From this VTOSS Database routes and input files were constructed that describe vessel movements arrivals to routes:

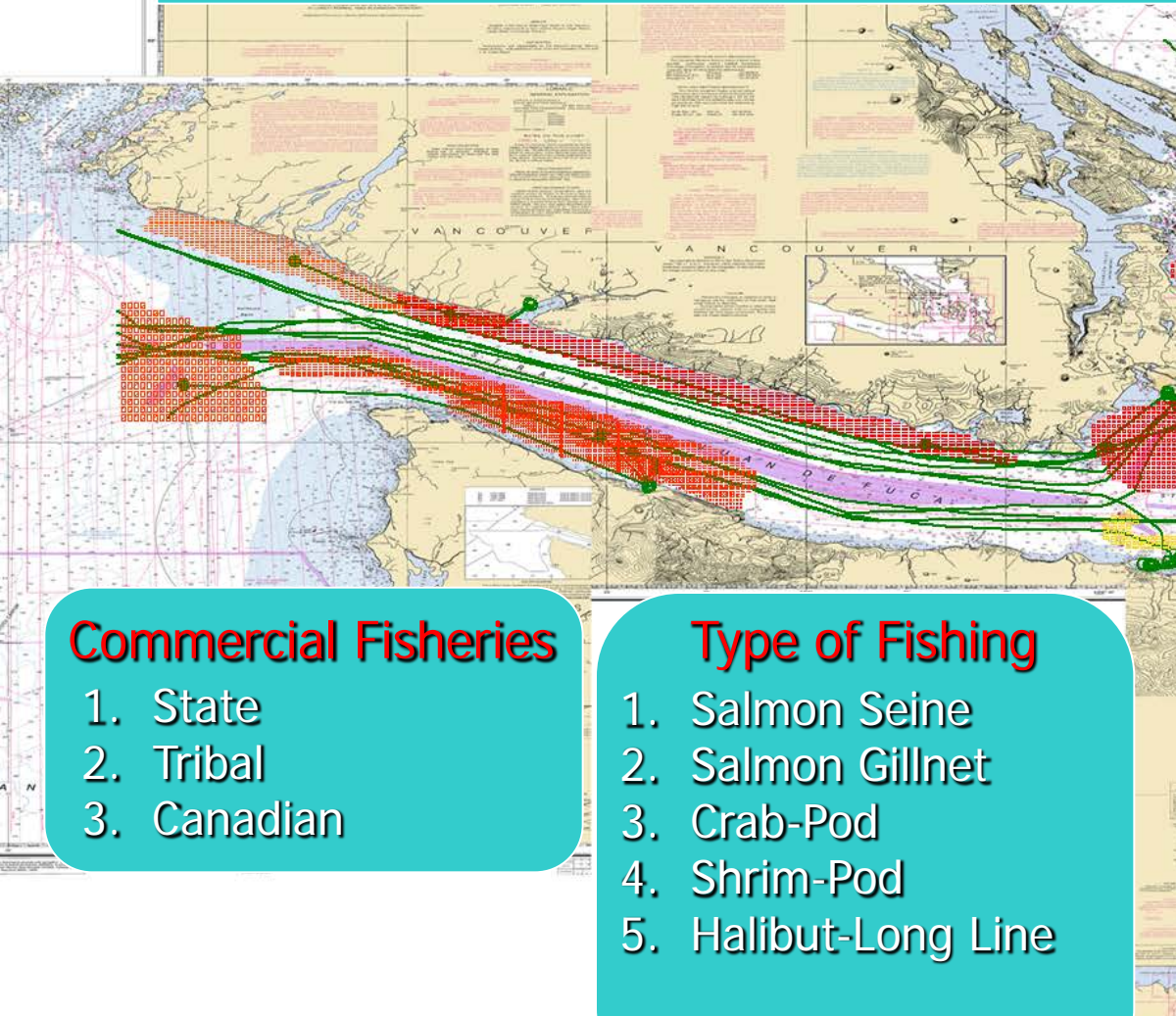


Main Conclusion:
VTOSS DATA was best available data source
 In 2005 to describe **the movement of a vessel** in the base case **throughout The Maritime Transportation System.**
BUT IT IS NOT PERFECT!
We imagine current available data has improved

Vessel Name									Beam	Draft	
ITB BALTIMORE	CALIF								32.23	12.8	
ITB BALTIMORE	SEAT							32.9	32.23	12.8	
ITB BALTIMORE	CHERRY PT							179.9	32.23	12.8	
ITB BALTIMORE	CALIF	CHERRY PT	3/25/05 6:26 AM	9.18	3012560 Product	ITB	48067 DB/SS	10357	179.9	32.23	12.8
ITB BALTIMORE	CHERRY PT	CALIF	3/26/05 10:41 PM	1.17	3001714 Product	ITB	48067 DB/SS	10357	179.9	32.23	12.8
ITB BALTIMORE	CALIF	CHERRY PT	4/6/05 9:10 PM	10.47	3012560 Product	ITB	48067 DB/SS	10357	179.9	32.23	12.8
ITB BALTIMORE	CHERRY PT	CALIF	4/8/05 2:14 PM	1.20	3001714 Product	ITB	48067 DB/SS	10357	179.9	32.23	12.8
ITB BALTIMORE	CALIF	CHERRY PT	4/19/05 3:21 PM	10.58	3012560 Product	ITB	48067 DB/SS	10357	179.9	32.23	12.8
ITB BALTIMORE	CHERRY PT	CALIF	4/21/05 1:10 AM	0.90	3001714 Product	ITB	48067 DB/SS	10357	179.9	32.23	12.8

VTS responding traffic moves over constructed traffic routes according to their arrivals in the VTOSS database

Fishing Seasons Modeling



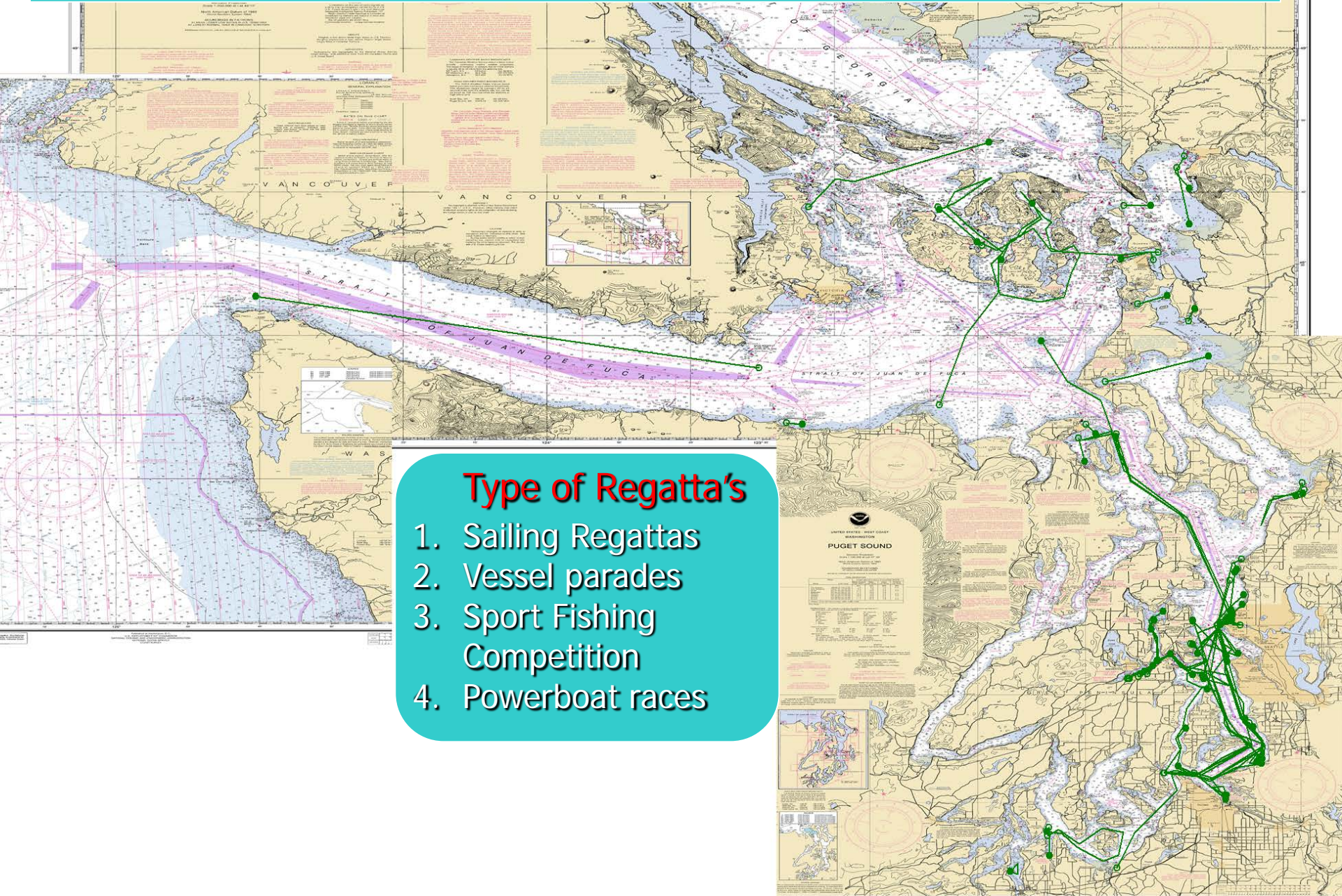
Commercial Fisheries

1. State
2. Tribal
3. Canadian

Type of Fishing

1. Salmon Seine
2. Salmon Gillnet
3. Crab-Pod
4. Shrim-Pod
5. Halibut-Long Line

USCG Permitted Non-Commercial Traffic



Type of Regatta's

1. Sailing Regattas
2. Vessel parades
3. Sport Fishing Competition
4. Powerboat races

Whale Watching – Sound Watch Data



The movements of whale watching vessels are determined by the movements of the orca pods. The Sound Watch data gives the location of the orcas and then the number of vessels within a 2 mile radius of them. We move the orcas in the simulation and then add a swarm whale watching vessels around them. The number of vessels in the swarm is varied over time according to the counts in the Sound Watch data.

Wind Model Presentation:

Assessment of Oil Spill Risk due to Potential Increased Vessel Traffic at Cherry Point, Washington

GWU Personnel:

Dr. Jack R. Harrald, Dr. J. Rene van Dorp, Dr. Greg Shaw,
Dr. Thomas A. Mazzuchi, Adil Caner Sener

RPI Personnel:

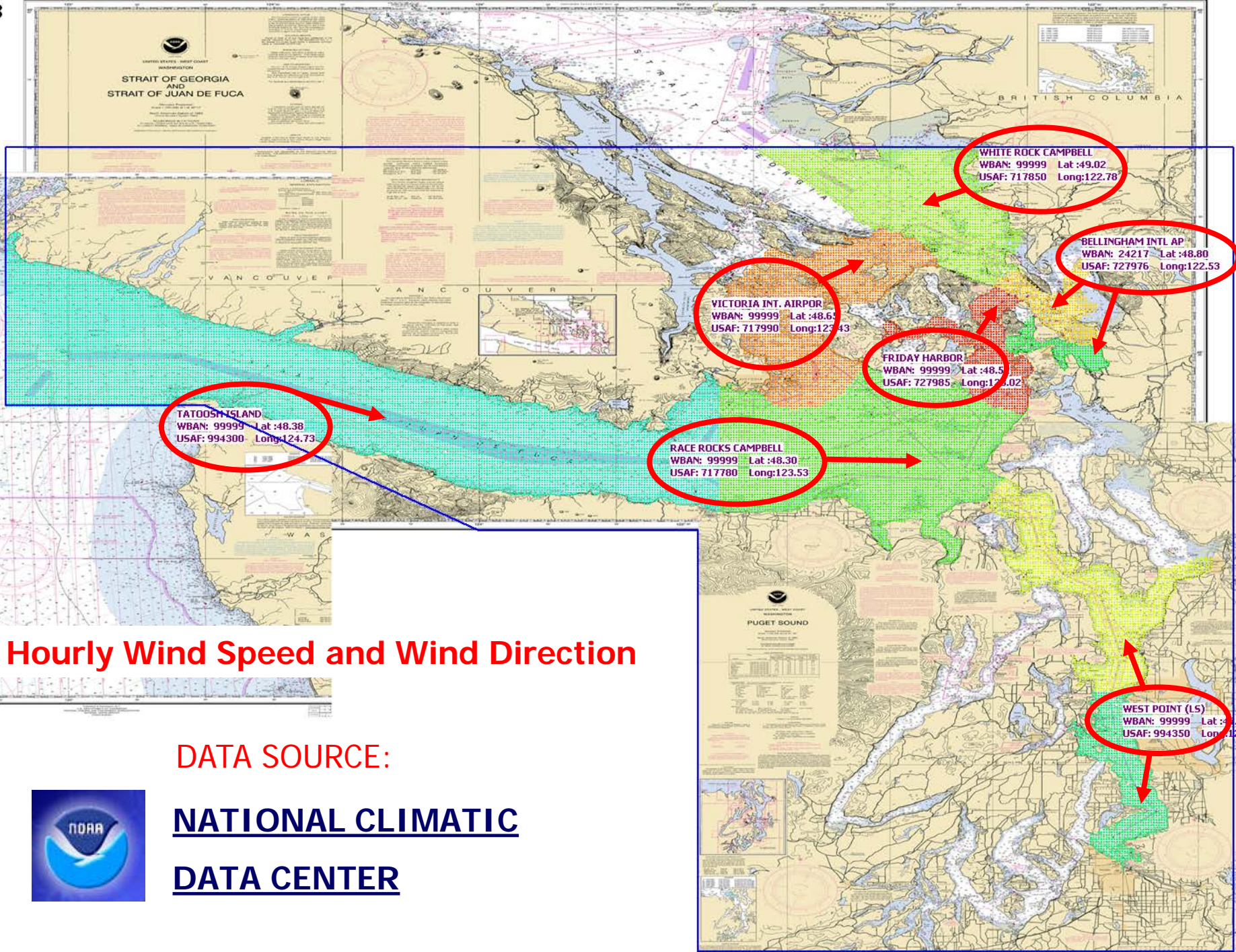
Dr. Martha Grabowski, Zhi Zhou, Michael Steward

VCU Personnel:

Dr. Jason R. W. Merrick, Kristina Werner

December 7, 2006



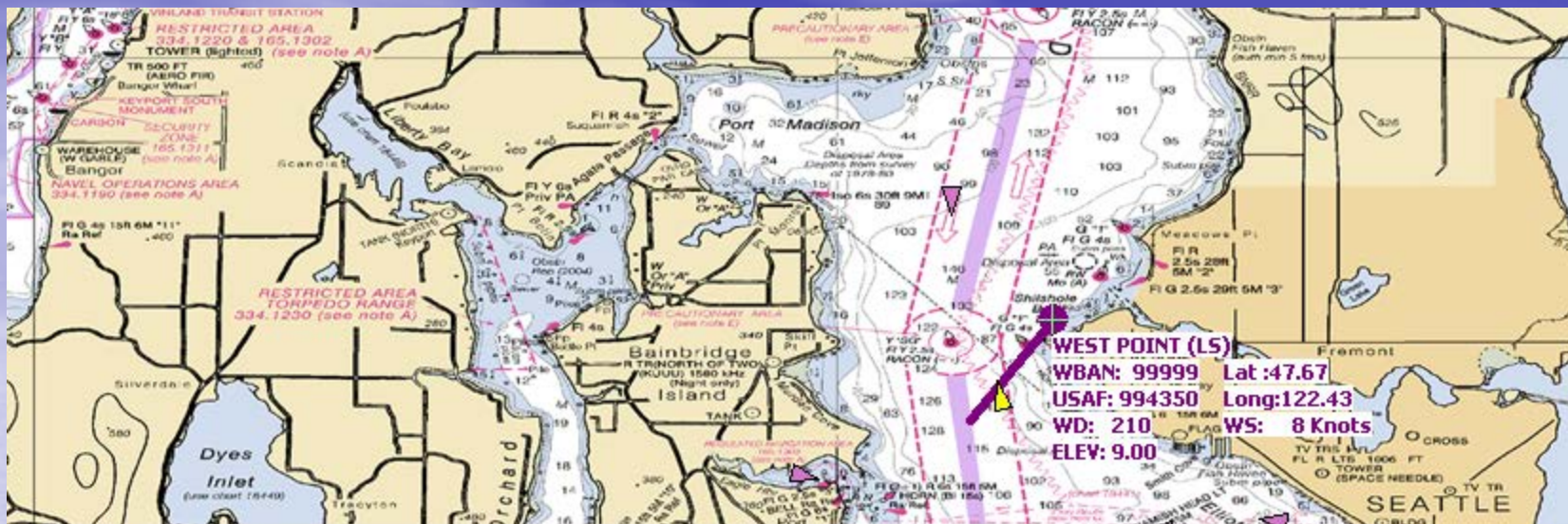


Hourly Wind Speed and Wind Direction

DATA SOURCE:



NATIONAL CLIMATIC DATA CENTER



Data base - Wind

YY	MM	DD	HH	BH WD	BH WS	FH WD	FH WS	RR WD	RR WS	TI V
2005	3	6	20	40	6	30	3	40	10	
2005	3	6	21	10	6	30	5	60	12	
2005	3	6	22	10	5	30	5	70	10	
2005	3	6	23	50	7	90	3	70	8	
2005	3	7	0	30	5	10	4	50	6	
2005	3	7	1	30	5	10	0	60	8	
2005	3	7	2	360	0	10	0	70	7	
2005	3	7	3	360	0	360	3	70	8	
2005	3	7	4	360	0	360	3	30	10	
2005	3	7	5	360	0	360	4	30	7	
2005	3	7	6	290	3	360	0	40	5	
2005	3	7	7	290	0	360	0	250	1	
2005	3	7	8	290	0	360	0	250	7	
2005	3	7	9	280	3	360	0	250	7	
2005	3	7	10	280	0	360	3	260	7	
2005	3	7	11	280	0	360	0	270	8	
2005	3	7	12	280	0	230	4	270	11	

VISIBILITY Model Presentation: Assessment of Oil Spill Risk due to Potential Increased Vessel Traffic at Cherry Point, Washington

GWU Personnel:

Dr. Jack R. Harrald, Dr. J. Rene van Dorp, Dr. Greg Shaw,
Dr. Thomas A. Mazzuchi, Adil Caner Sener

RPI Personnel:

Dr. Martha Grabowski, Zhi Zhou, Michael Steward

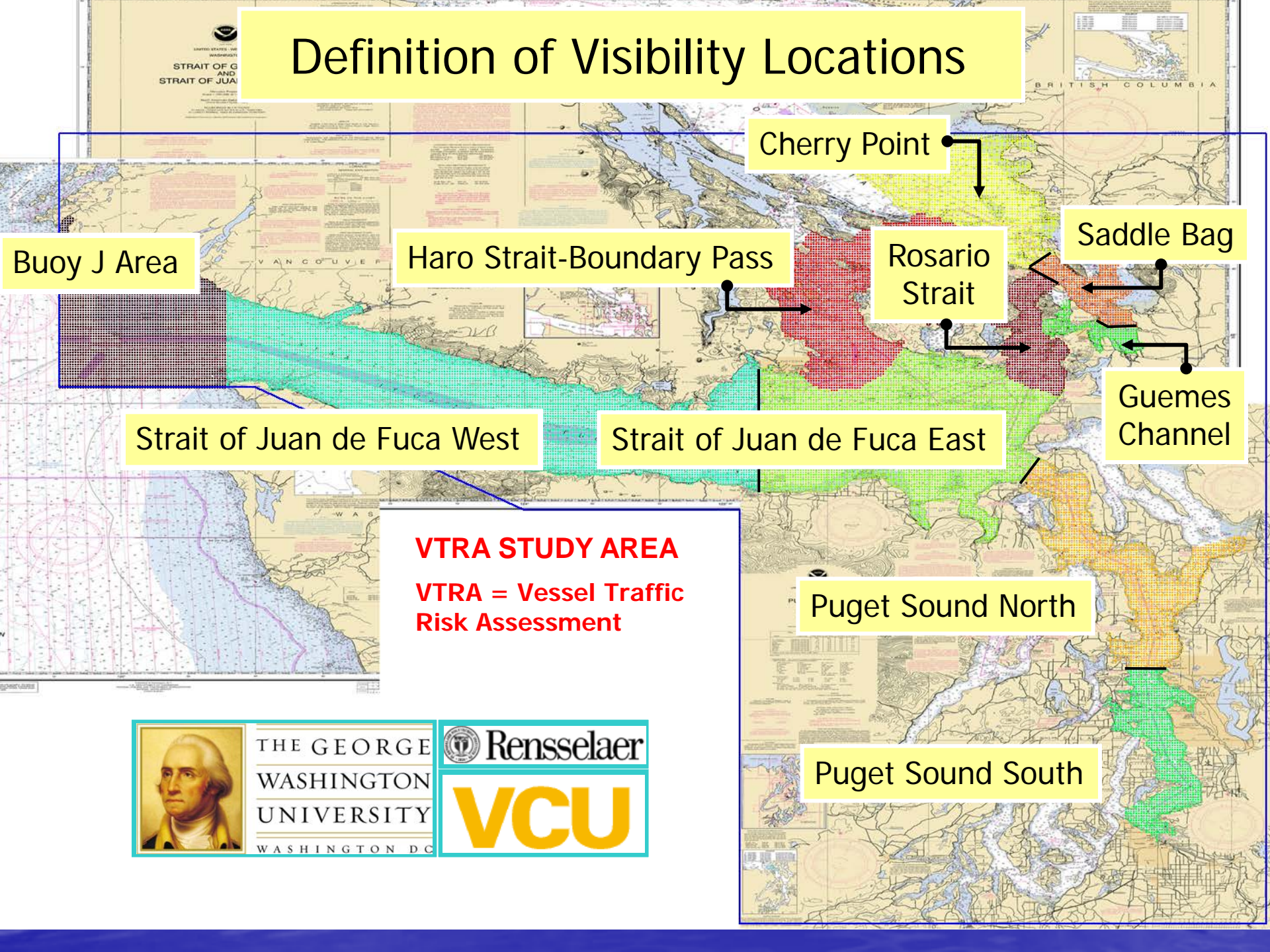
VCU Personnel:

Dr. Jason R. W. Merrick, Kristina Werner

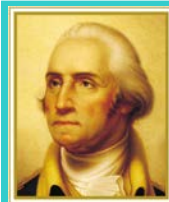
December 7, 2006



Definition of Visibility Locations



VTRA STUDY AREA
VTRA = Vessel Traffic Risk Assessment



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WASHINGTON, D.C.



Sea Visibility Model

W = Water Surface Temperature (°C) D = Dew Point Temperature (°C)

WS = Wind Speed

Sea Visibility = $\begin{cases} \text{Bad when } (D - W) \approx \Delta \text{ and } WS \approx \text{up to 3 Beaufort} \\ \text{Good} & \text{Otherwise} \end{cases}$

Good = More than 0.5 nautical mile

Bad = Less than 0.5 nautical mile

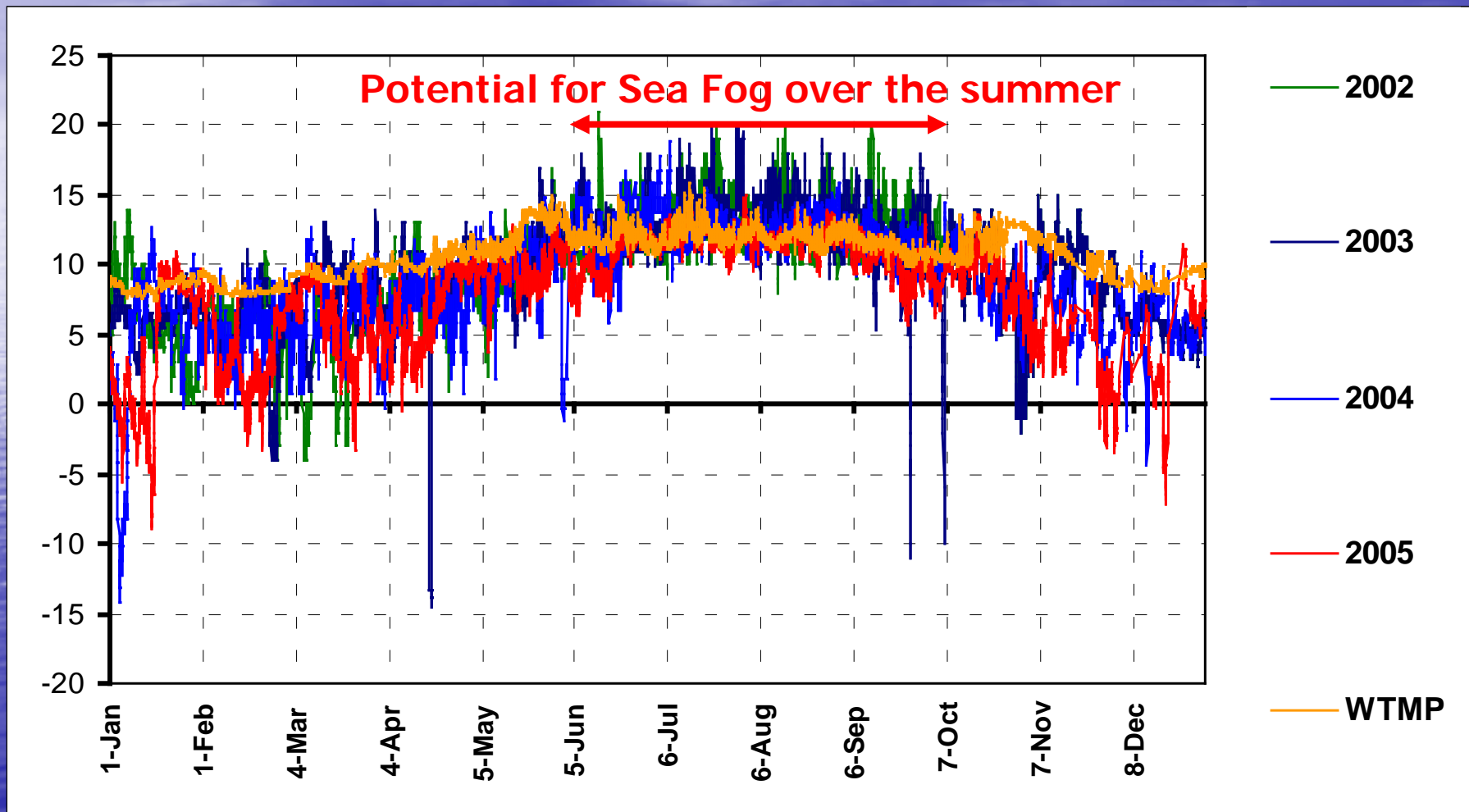
Δ between 0 and 2 Celsius (Patches of Fog)

Δ larger than 2 Celsius dense fog

Breeze up to 3 Beaufort \approx 4-7 knots

**Reference : Ray Sanderson, *Meteorology at Sea*,
Stanford Maritime Limited, 1982**

Time Series of WTMP and DEWPT For West Strait of Juan de Fuca



Current Model Presentation: Assessment of Oil Spill Risk due to Potential Increased Vessel Traffic at Cherry Point, Washington

GWU Personnel:

Dr. Jack R. Harrald, Dr. J. Rene van Dorp, Dr. Greg Shaw,
Dr. Thomas A. Mazzuchi, Adil Caner Sener

RPI Personnel:

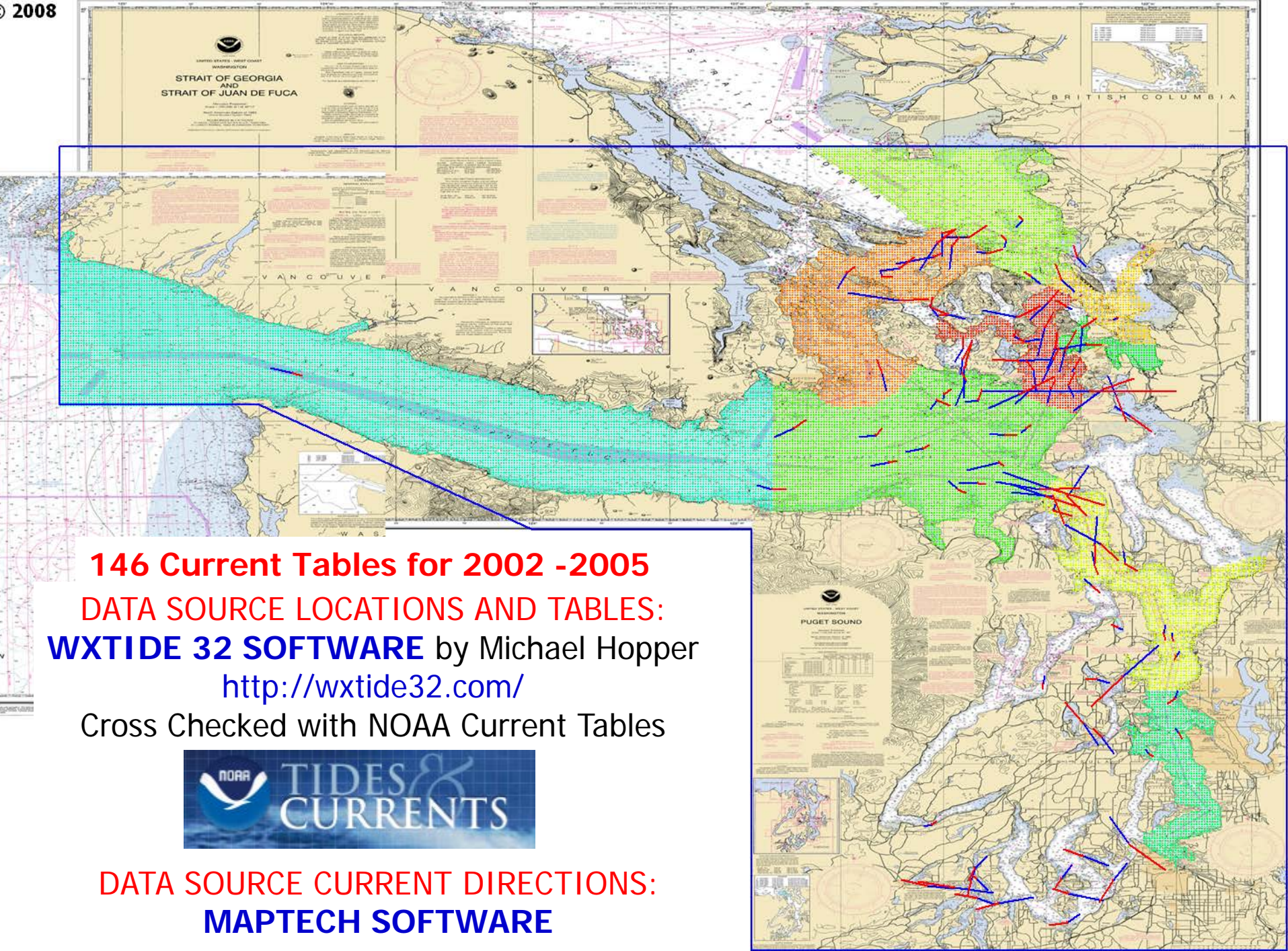
Dr. Martha Grabowski, Zhi Zhou, Michael Steward

VCU Personnel:

Dr. Jason R. W. Merrick, Kristina Werner

December 7, 2006





146 Current Tables for 2002 -2005

DATA SOURCE LOCATIONS AND TABLES:

WXTIDE 32 SOFTWARE by Michael Hopper

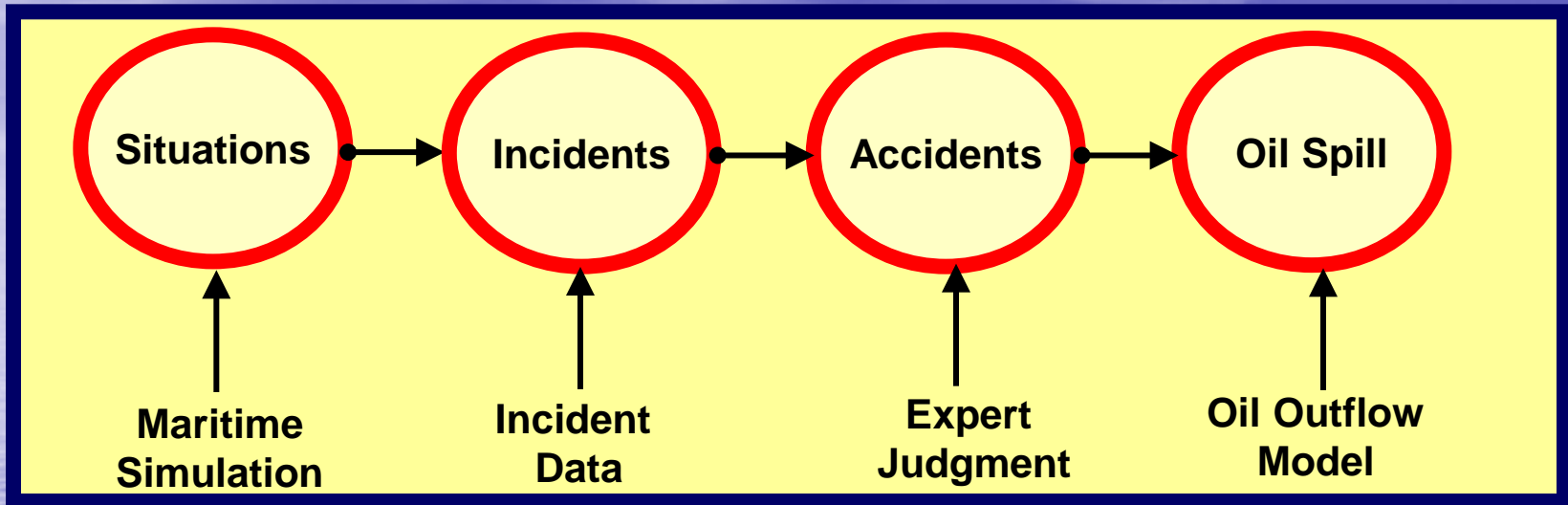
<http://wxtide32.com/>

Cross Checked with NOAA Current Tables



DATA SOURCE CURRENT DIRECTIONS:
MAPTECH SOFTWARE

An Oil Spill is a series of cascading events referred to as a Causal Chain



$$R = \{ \langle s_i, l_i, x_i \rangle \}_c$$

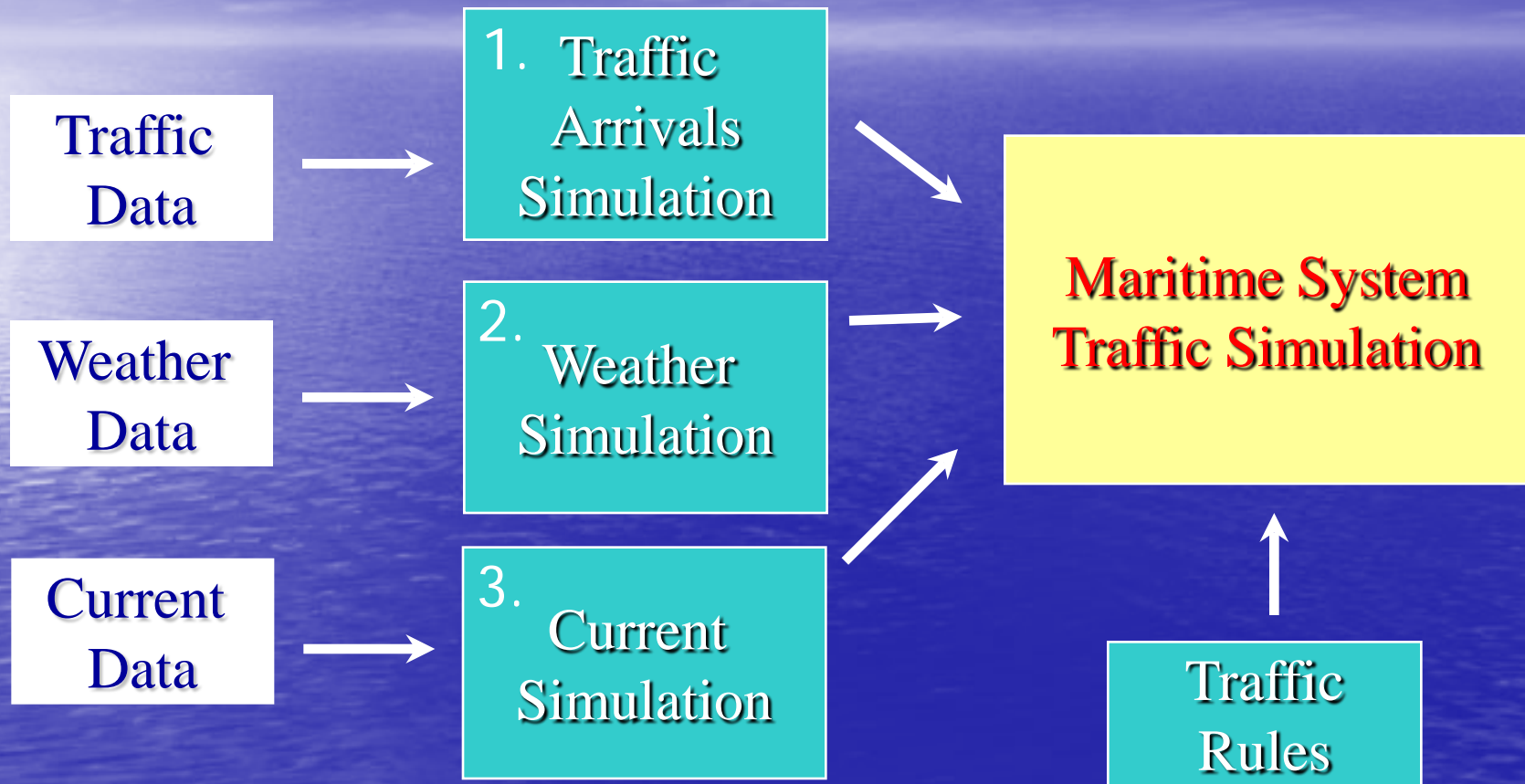
Complete Set

Scenario i Likelihood i Consequence i

Use Kaplan's (1997) definition of system risk in:
"The Words of Risk Analysis", Risk Analysis 17 (4), 407-417

Step 1b: Generate Accident Scenarios

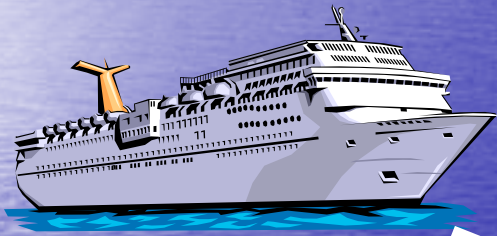
Using The Maritime System Simulation Model



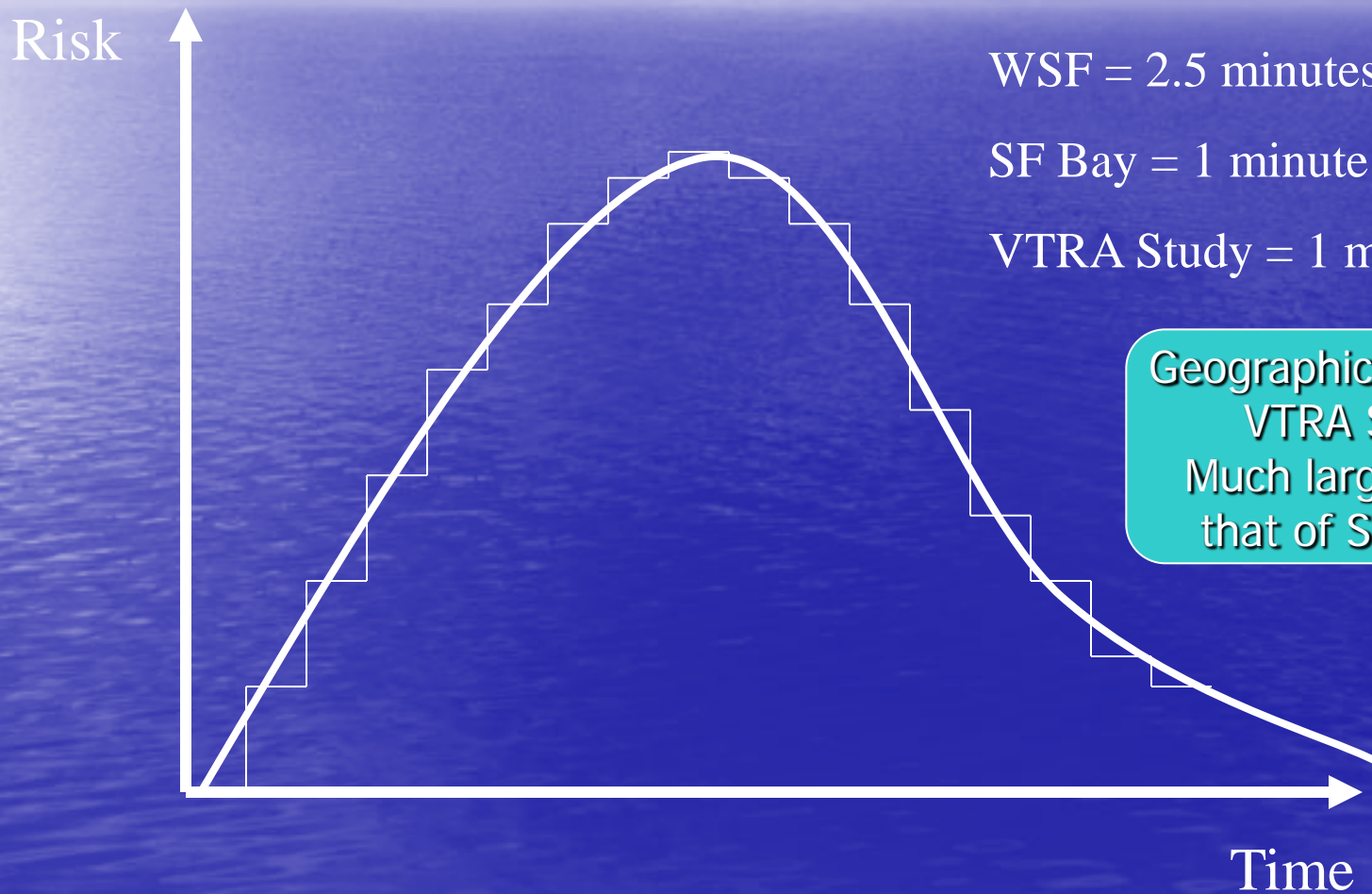
Required close cooperation with the USCG VTS and **Puget Sound Harbor Safety Committee** for data + validation

Count Accident Scenarios

Interacting Vessels



Risk During Interactions



PWS = 5 minutes

WSF = 2.5 minutes

SF Bay = 1 minute

VTRA Study = 1 minute

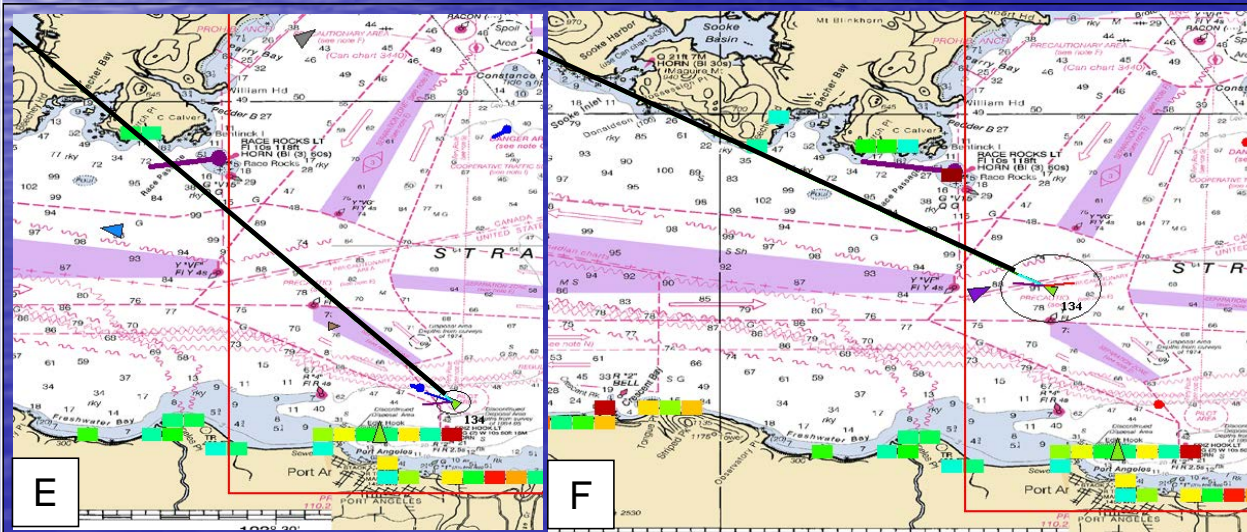
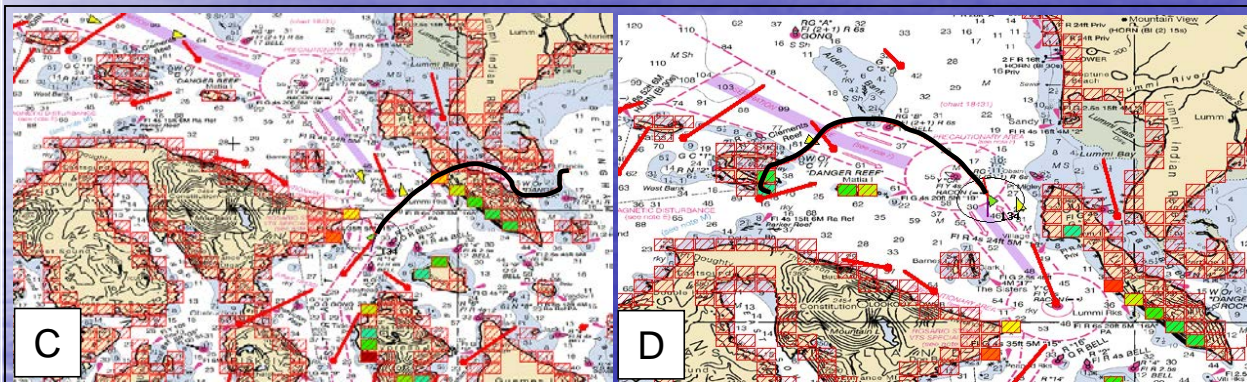
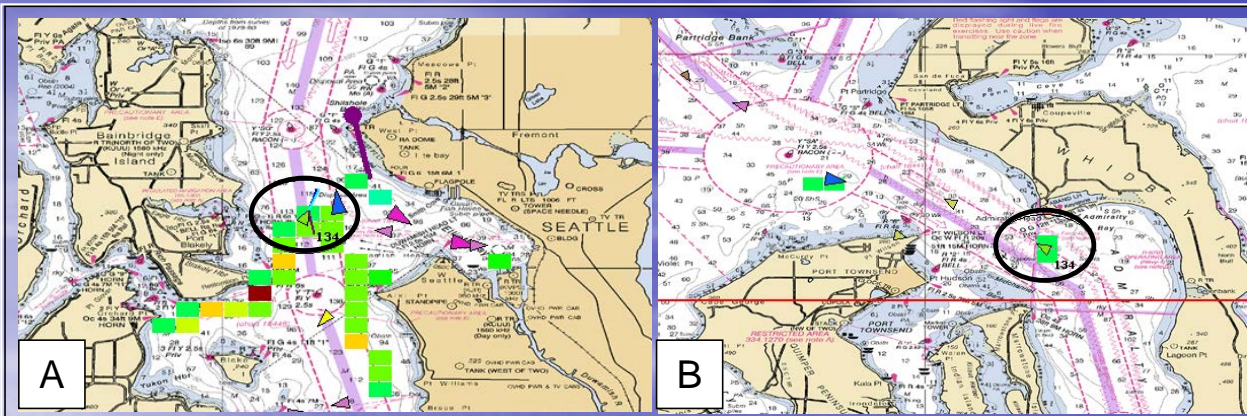
Geographic Scope of
VTRA Study
Much larger than
that of SF Study

Generating Accident Scenarios:

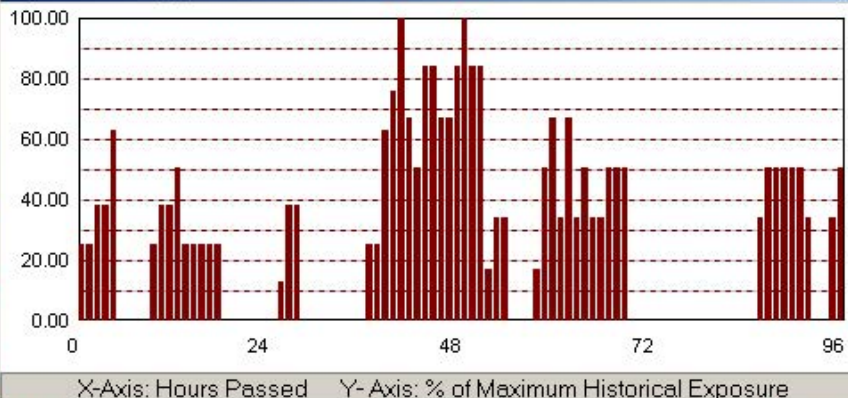
Counting Collision Accident Scenario's

Counting Drift Grounding Accident Scenario's

Counting Powered Grounding Accident Scenario's



Exposure History per Hour



Data base - Vessel Interactions

Index 1	Index 2	Index 3	Index 4	Index 5	Index 6	Index 7	No of Occurrences
425120095	410901300	110222107	111122130	628013199	121094074	301132018	2
425121095	410901300	110222107	111122130	626009190	126094032	223021000	1
425121095	410901300	110222107	111122130	628013199	122094074	301132018	1
425121095	410901300	110222107	111122230	626009190	126094032	223021000	1
425121095	410901300	110222107	111122230	628013199	122094074	301132018	1
425126095	410901300	110222107	112122130	626009190	127094032	223022018	3
425127095	410901300	110222107	112122130	626009190	127094032	223022018	4
425128095	410901300	110222107	112122130	626009190	127094032	223022018	2
425174081	321004200	120262107	111112130	626009190	176082032	223032000	1

type INTERACTION - record

```

lex_number_1      : longint;
lex_number_2      : longint;
lex_number_3      : longint;
lex_number_4      : longint;
lex_number_5      : longint;
lex_number_6      : longint;
lex_number_7      : longint;

{Index 1 - VOI Location Info}
Interaction_Type  : longint; { 400000000}
VOI               : longint; { 260000000}
VOI_X             : longint; { 5000000}
VOI_Y             : longint; { 500}

{Index 2 - VOI Attributes}
VOI_Location      : longint; { 900000000}
VOI_Inbound_Outbound : longint; { 200000000}
VOI_Speed         : longint; { 3000000}
VOI_DP           : longint; { 12500}
IV_Cargo         : longint; { 20}
IV_Barge_Type    : longint; { 5}

{Index 3 - VOI Attributes}
VOI_Cargo         : longint; { 200000000}
VOI_Tethered_State : longint; { 200000}
VOI_Barge_Type    : longint; { 50000}
VOI_Hook_Up       : longint; { 4000}
VOI_ID           : longint; { 999}

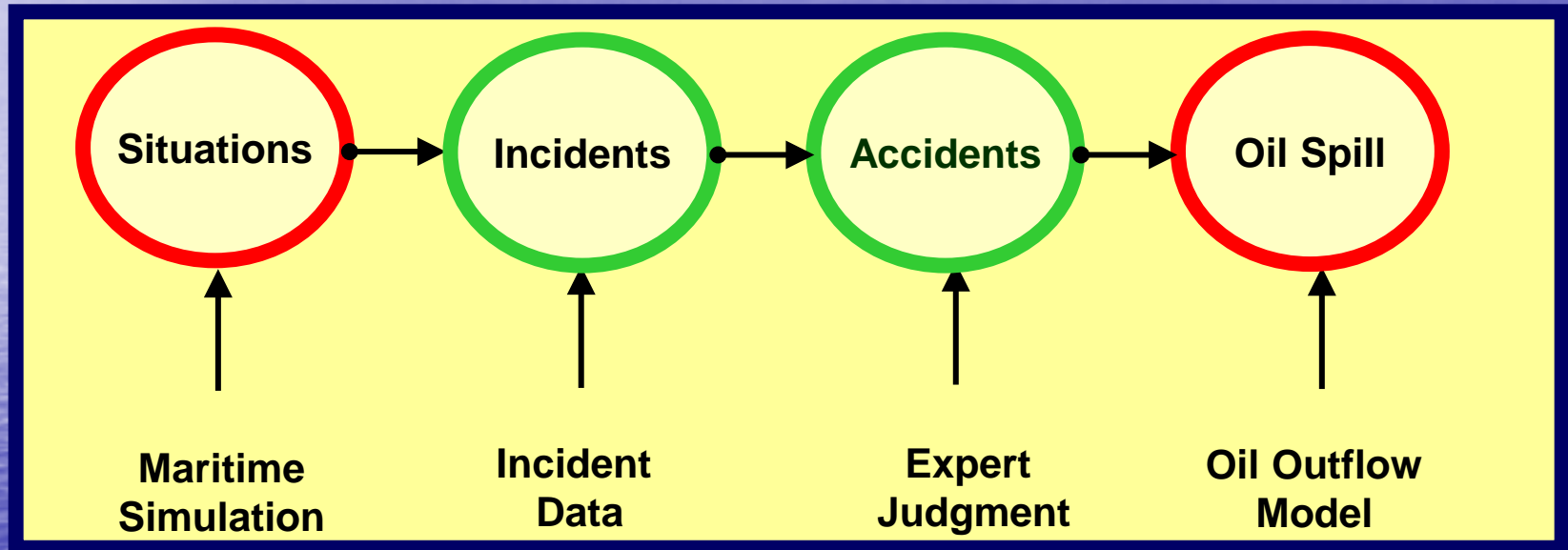
{Index 4 - Environment Info}
Visibility        : longint; { 200000000}
wind_Direction   : longint; { 2000000}
Wind_Speed       : longint; { 400000}
Current          : longint; { 30000}
Current_Direction : longint; { 3000}
N_Vessels        : longint; { 300}
Escort_State     : longint; { 20}

{Index 5 - Shore Interaction Location}
Shore_X          : longint; { 500000000}
Shore_Y          : longint; { 500000}
Time_To_Shore    : longint; { 300}

{Index 6 - Interacting Vessel Location}
IV_X             : longint; { 500000000}
IV_Y             : longint; { 500000}
IV_DP           : longint; { 125}

{Index 7 - Interacting Vessel Info}
IV_TrafficScenario : longint; { 400000000}
IV_TrafficType     : longint; { 25000000}
IV_Speed           : longint; { 300000}
IV_ProxVessel     : longint; { 2000}
IV_InterAngle     : longint; { 180}
end;
    
```

Step 2: Evaluate Accident Likelihood per Accident Scenario



Joint work
with:

VCU Personnel:

Dr. Jason R. W. Merrick,
and Team



RPI Personnel:

Dr. M. Grabowski,
and Team



Gather Relevant Incident and Accident Data

- **Accidents:** Collisions, Powered Grounding, Drift Grounding and Allisions of Tank Ships and Tug\Tow Barges.
- **Incidents:** Vessel Propulsion Failure, Steering Failure, Navigational Aid Failure and Human Error.

This step involves pulling together data from multiple data sources ensuring no double counting and avoiding occurrence of missing data

Summary Incident Data

- **Tankers calling at BP**
 - 11 years of data
 - Propulsion failures: 31
 - Steering failures: 11
 - Nav. aid failures: 10
- **ATBs and ITBs calling at BP**
 - 7.5 years of data
 - Propulsion failures: 3
 - Steering failures: 2
 - Nav. aid failures: 2
- **Human error incidents are rarely recorded**
 - 4 accidents have occurred in data collection period
 - 3 of these were caused by human error and 1 by mechanical failure
 - Use 1 to 3 multiplier on mechanical failure rates

Joint work
with:



RPI Personnel: Dr. Martha Grabowski, Zhi Zhou, Michael Steward, Brittany Steward, Huawei Song, Zhuyu You

Summary Accident Data

- 11 years of data, 4 accidents
- **1 collision**
 - The tanker Allegiance and its escort tug Sea King collided in Straits of Juan de Fuca east
- **1 grounding**
 - ITB New York dragged anchor and grounded in 55 knots winds off March Point
- **2 allisions**
 - Tanker Leyte Spirit allides with dock when trying to leave dock in high winds and seas
 - Tanker Overseas Arctic allides with piling bracket when docking at Tacoma

Joint work
with:



RPI Personnel: Dr. Martha Grabowski, Zhi Zhou, Michael Steward, Brittany Steward, Huawei Song, Zhuyu You 45

Calibration to Accident Data

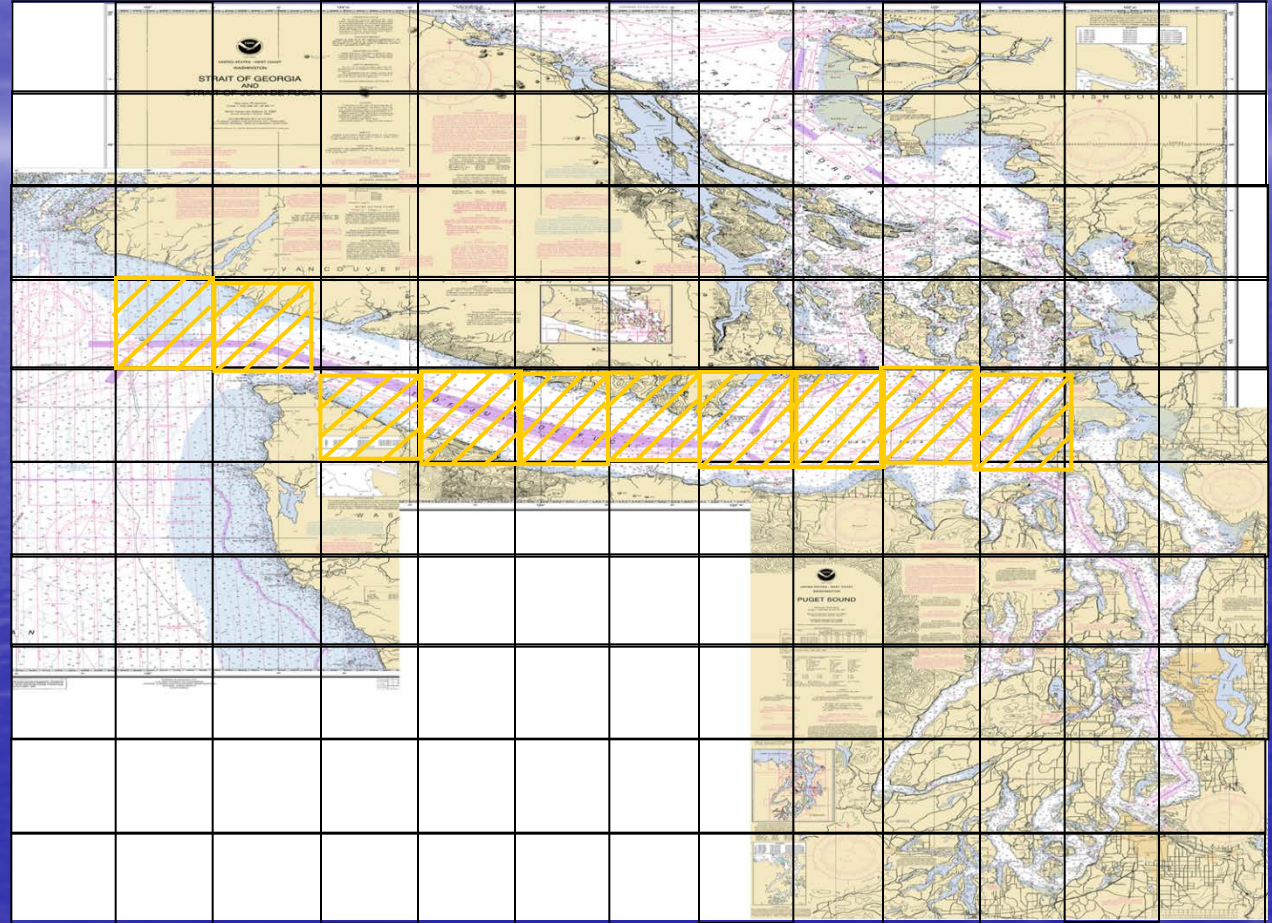
- Counting Grid: **130 Grid Cells**

• Suppose we have a total of **130 Vessel Interactions** evenly in **10 Grid Cells** over the area.

• Suppose we have a total of **1 Collision** in our Data.

• **Suppose all interactions are the same**

$$\text{Pr}(\text{Collision per Interaction}) = \frac{1}{130}$$



Calibration Step means that in Base Case Simulation the accident rate per year is the same as the historically observed accident Rate

Calibration to Accident Data

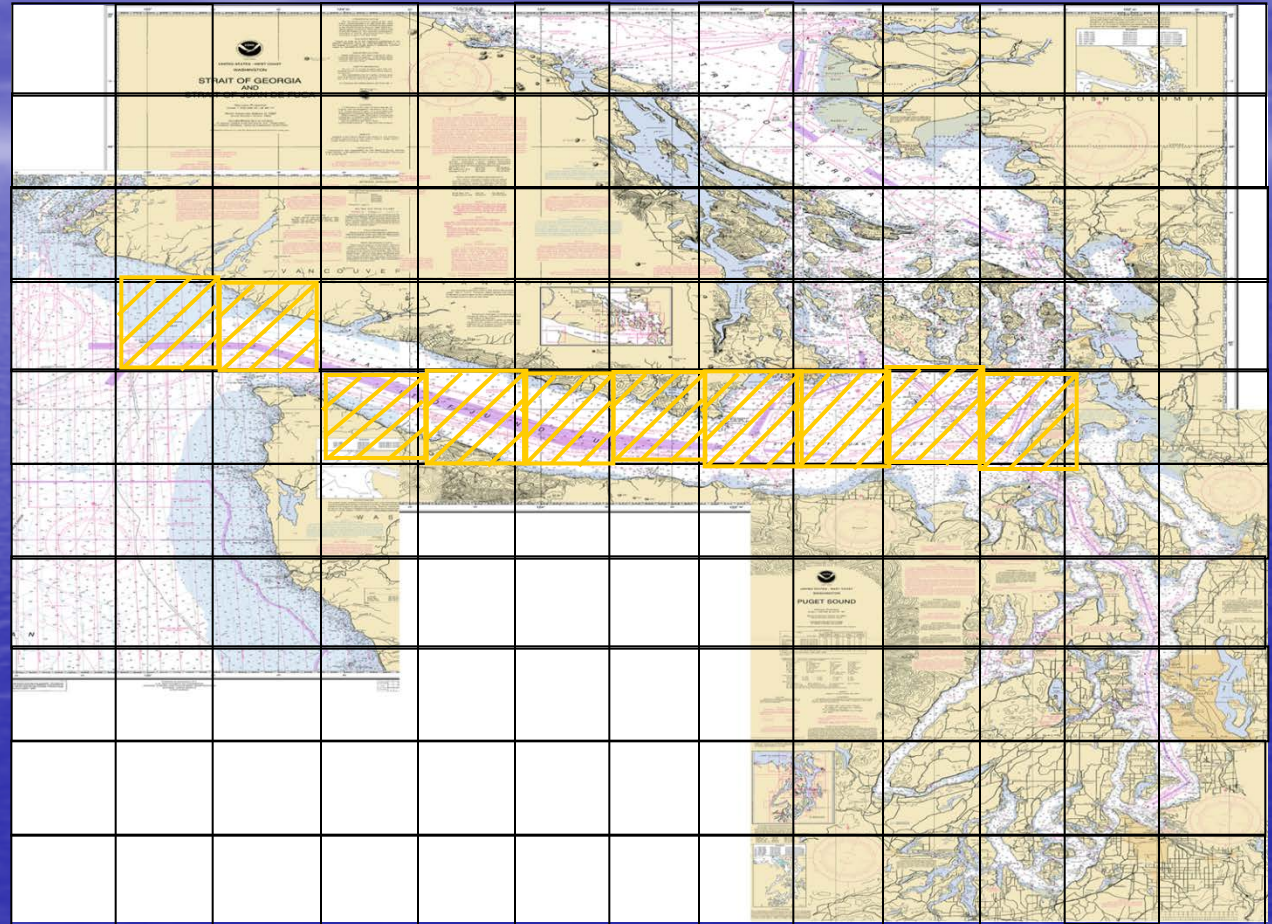
- Counting Grid: **130 Grid Cells**

- Suppose we have a total of **130 Vessel Interactions** evenly in **10 Grid Cells** over the area.

- Suppose we have a total of **1 Collision** in our Data.

- Suppose all interactions are the same**

$$\text{Pr}(\text{Collision per Interaction}) = \frac{1}{130}$$



DOES NOT MAKE SENSE BECAUSE LIKELIHOOD OF A COLLISION IS NOT THE SAME FOR EVERY INTERACTION

Calibration to Accident Data

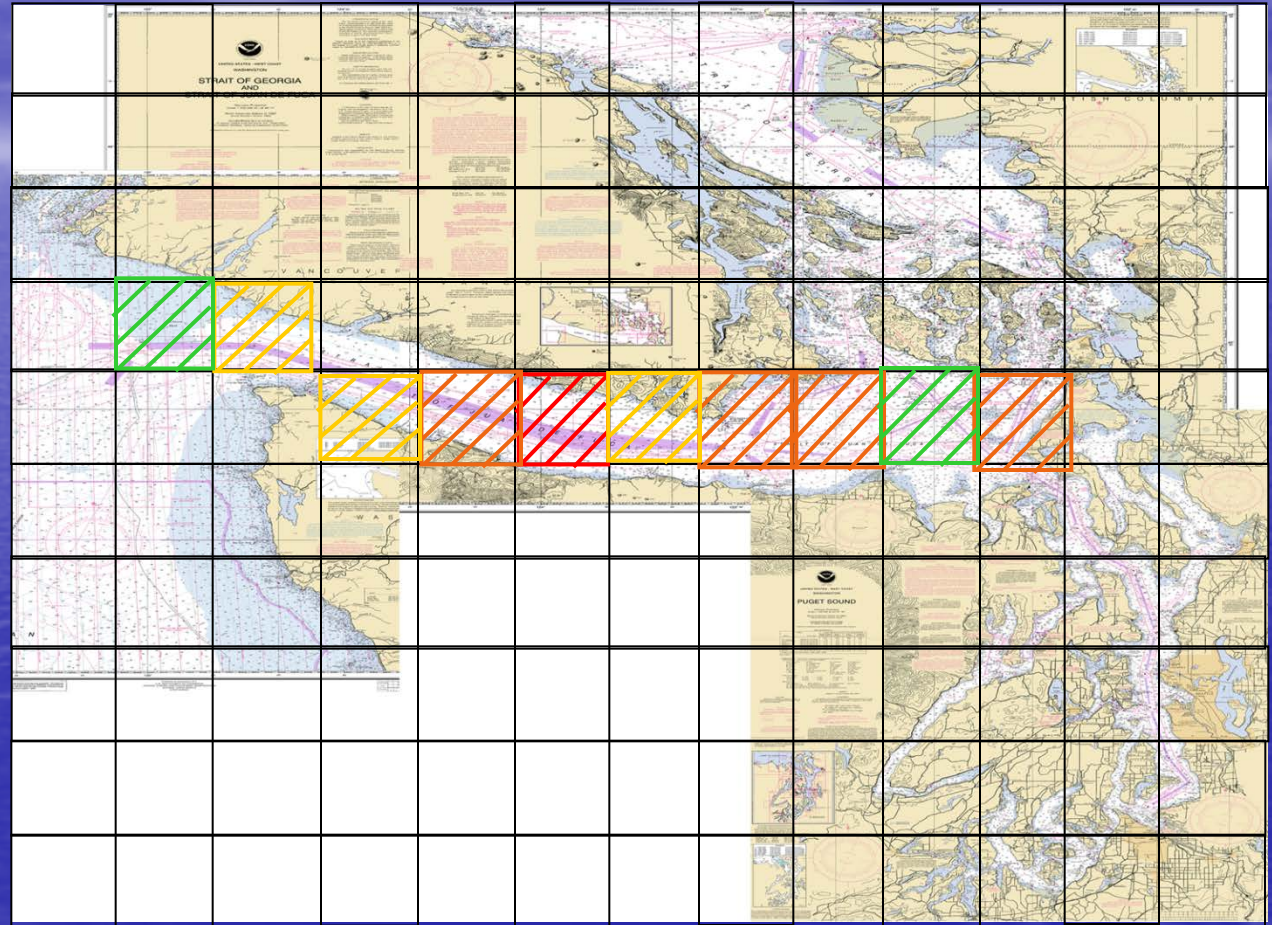
- Counting Grid: **130 Grid Cells**

- Suppose we have a total of **130 Vessel Interactions** evenly in **10 Grid Cells** over the area.

- Suppose we have a total of **1 Collision** in our Data.

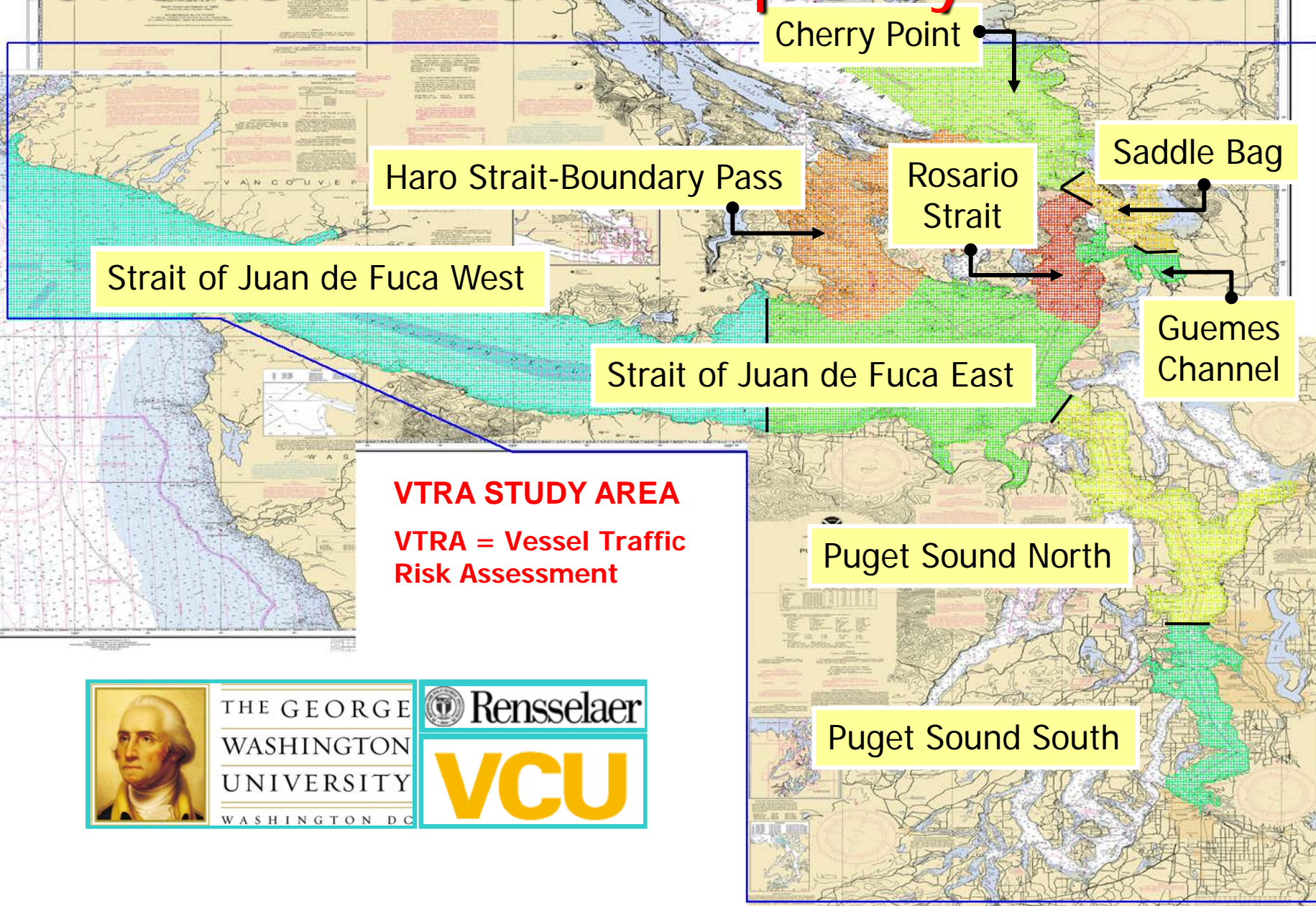
- Suppose all interactions are the same

$$\text{Pr}(\text{Collision per Interaction}) = \frac{1}{130}$$



**CALLIBRATE SO OVERALL ACCIDENT RATE
REMAINS THE SAME, BUT ACCOUNT FOR
RELATIVE LIKELIHOOD
OF ACCIDENTS IN DIFFERENT INTERACTIONS**

Towards Accident Frequency Results



Organizations Participating in Expert Judgment Elicitations

- 1. Puget Sound Pilots
- 2. ATC
- 3. US and Canadian Tug Companies operating in the VTRA study area:
 - US-Based: Foss, Crowley, Olympic Tug and Barge (US), K-Sea, Sea Coast, Sause Bros.
 - Canadian Based: Seaspan, Island Tug and Barge
- 4. The Washington State Ferries
- 5. Seattle sector US Coast guard VTS.

Conduct Expert Judgment Elicitations via Questionnaires

Q30

Situation 1	TANKER DESCRIPTION	Situation 2
Strait of Juan de Fuca East	Location	-
Inbound	Direction	-
Laden	Cargo	-
1 Escort	Escorts	-
Untethered	Tethering	-
INTERACTING VESSEL		
Shallow Draft Pass. Vessel	Vessel Type	-
Crossing the Bow	Traffic Scenario	-
Less than 1 mile	Traffic Proximity	-
WATERWAY CONDITIONS		
More than 0.5 mile Visibility	Visibility	Less than 0.5 mile Visibility
Along Vessel	Wind Direction	-
Less than 10 knots	Wind Speed	-
Almost Slack	Current	-
Along Vessel - Opposite Direction	Current Direction	-
More? : _____ 9 8 7 6 5 4 3 2 1 2 3 4 5 6 7 8 9 _____ : More?		
Situation 1 is worse	<=====X=====>	Situation 2 is worse

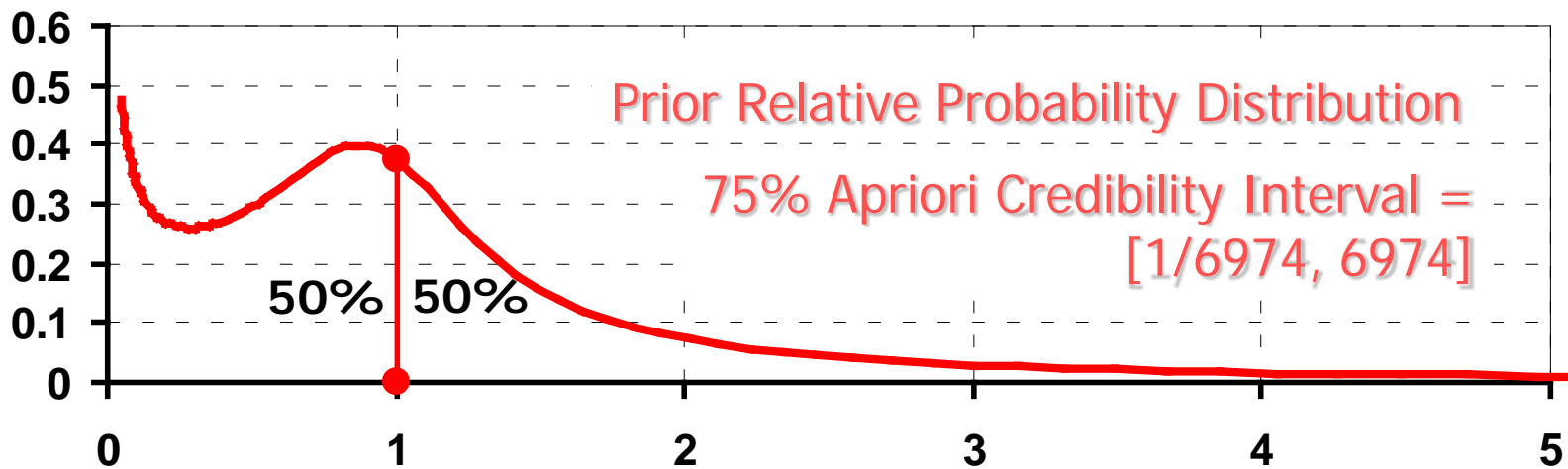
Example of potential experts: USCG VTS Operators, Puget Sound Pilots, Tanker Captains and First Mates, Tug Captains and First Mates, etc.

9 QUESTIONNAIRES	38 EXPERTS - Numbers indicate years sailing experience in VTRA Study area	CUMULATIVE EXPERIENCE (YRS)	7 SESSIONS
Bradley-Terry Pair Wise Comparison Location Questionnaire	7 PILOTS (42,34,32,25,16,16) 6 TUG OPERATORS (39, 30, 30, 30, 15, 12) 4 FERRY OPERATORS (31, 30, 25, 8) 2 PORT CAPTAINS (27, 25) 1 VTS WATCH (25)	186 156 94 52 25	Dec-06 Feb-07
Bradley-Terry Pair Wise Comparison Traffic Sc	7 PILOTS (42,34,32,25,16,16)	186	Dec-06 Feb-07
Brac 1st			16 17
Brac 2nd			17 17 17
Brac Tug			17 17 17
Tan Acc Give			17 17
Tan Acc Give. Given Given Hu. Given Near By Vessel Failure			17 17
Tug Pair Wise Situation Accident Probability Questionnaires Given Propulsion Failure	7 TUG OPERATORS (53, 21, 20, 32 30, 28, 18) 2 PORT CAPTAINS (32, 30)	202 52	Aug-07 Sep-07 Dec-07
Tug Pair Wise Situation Collision Accident Probability Questionnaires Given Steering Failure, Given Navigational Aid Failure Given Human Error Given Near By Vessel Failure	7 TUG OPERATORS (53, 21, 20, 32 30, 28, 18) 2 PORT CAPTAINS (32, 30)	202 52	Aug-07 Sep-07 Dec-07

Summary of Expert Judgment Data Source

- A total of 9 questionnaires
- 38 experts over 7 separate elicitation sessions dispersed over a 1 year period.
- Combined numbers of years sailing experience exceeds 922 years.

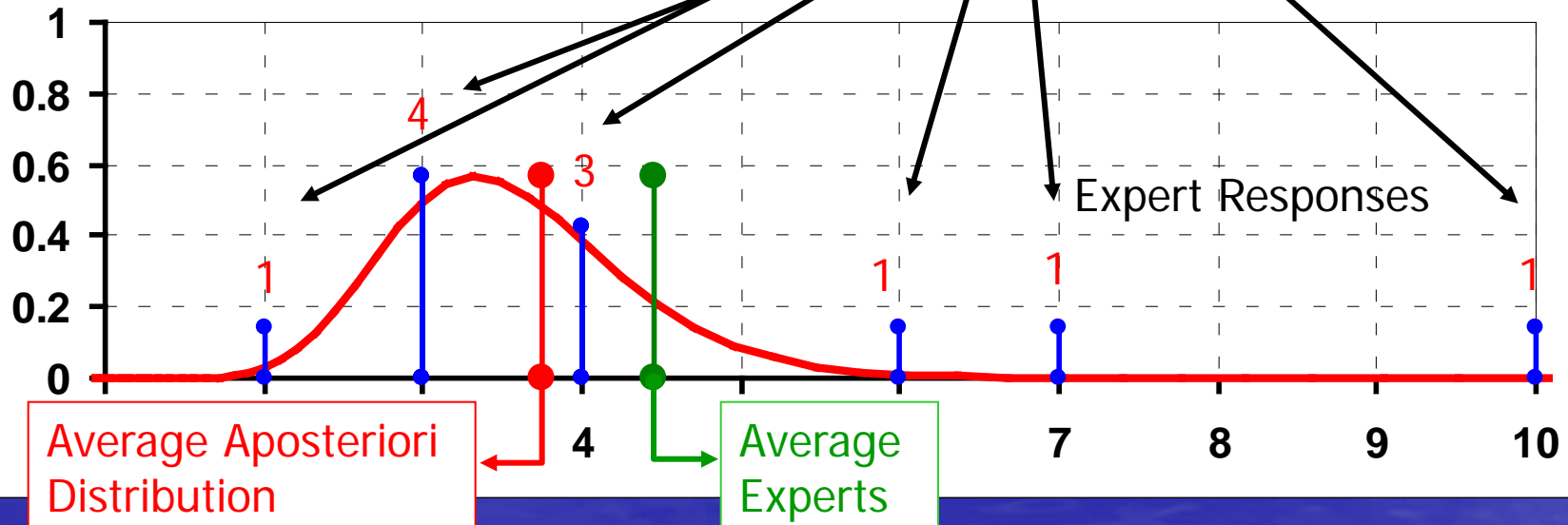
Situation 1	TANKER DESCRIPTION	Situation 2
Strait of Juan de Fuca East	Location	-
Inbound	Direction	-
Laden	Cargo	-
1 Escort	Escorts	-
Untethered	Tethering	-
INTERACTING VESSEL		
Shallow Draft Pass. Vessel	Vessel Type	-
Crossing the Bow	Traffic Scenario	-
Less than 1 mile	Traffic Proximity	-
WATERWAY CONDITIONS		
More than 0.5 mile Visibility	Visibility	Less than 0.5 mile Visibility
Along Vessel	Wind Direction	-
Less than 10 knots	Wind Speed	-
Almost Slack	Current	-
Along Vessel - Opposite Direction	Current Direction	-
More? : ____ 9 8 7 6 5 4 3 2 1 2 3 4 5 6 7 8 9 ____ : More?		
Situation 1 is worse <=====X=====> Situation 2 is worse		



Average of **A posteriori distribution** is different than the **Average of the expert responses** since we combine in this average also the information of the expert responses to all the other 43 questions

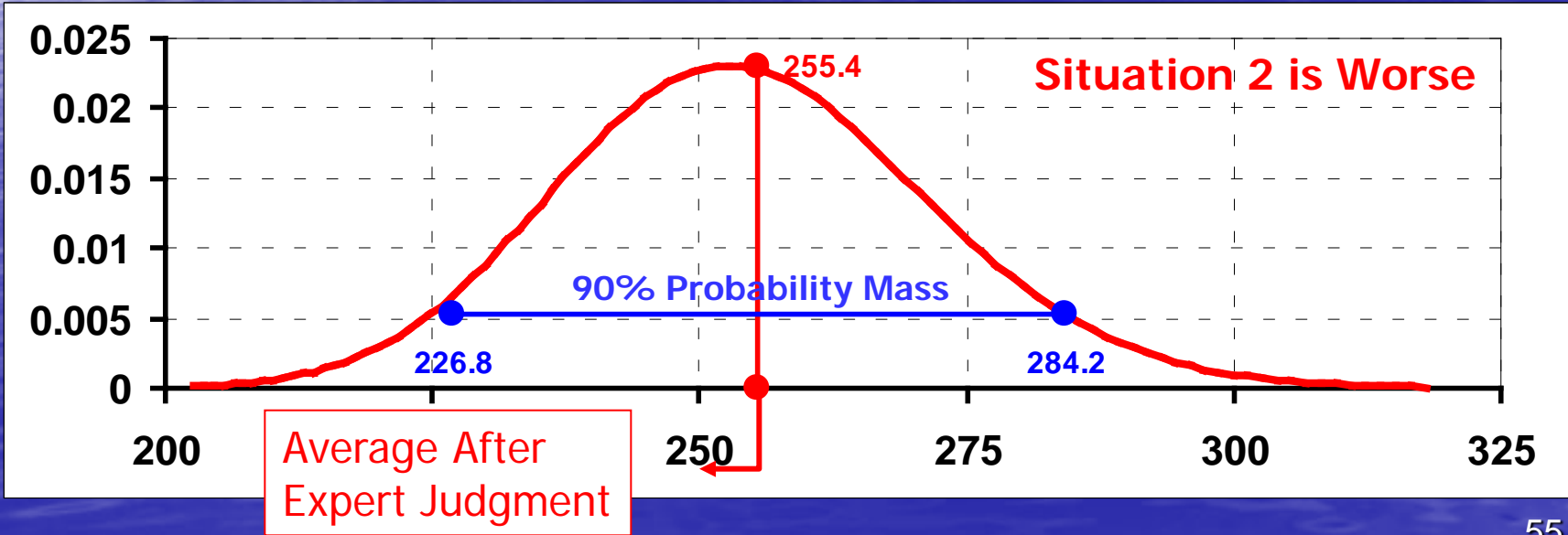
Situation 1 is worse

Situation 2 is worse

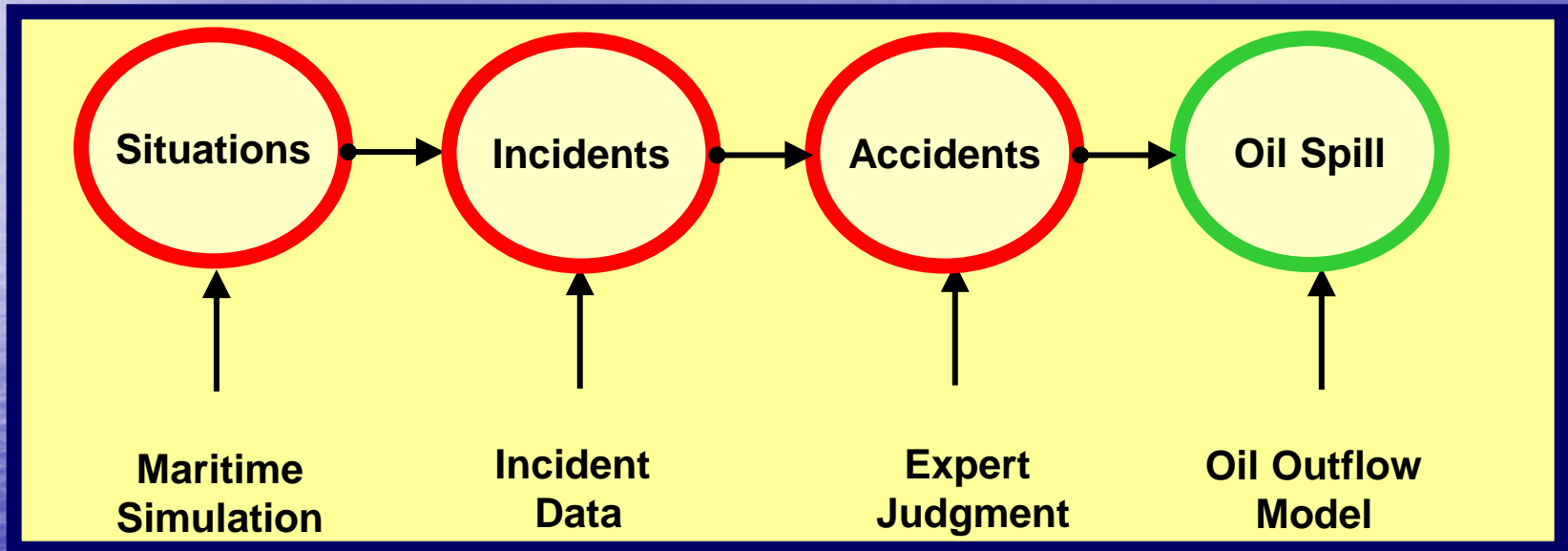


Situation 1	TANKER DESCRIPTION	Situation 2
Rosario Strait	Location	Guemes Channel
Inbound	Direction	-
Laden	Load	-
1 Escort	Accompaniment	Ports
One Tether	Mooring	bered
Shallow Draft Pa	Draft	-
Crossing the	Course	-
Less than 1	Distance	-
More than 0.5 mile Visibility	Visibility	-
Along Vessel	Wind Direction	-
Less than 10 knots	Wind Speed	-
Almost Slack	Current	-
Along Vessel - Same Direction	Current Direction	-

While Expert questions vary only One attribute at a time we may Now vary multiple attributes



Step 3: Evaluate Consequence per Accident Scenario



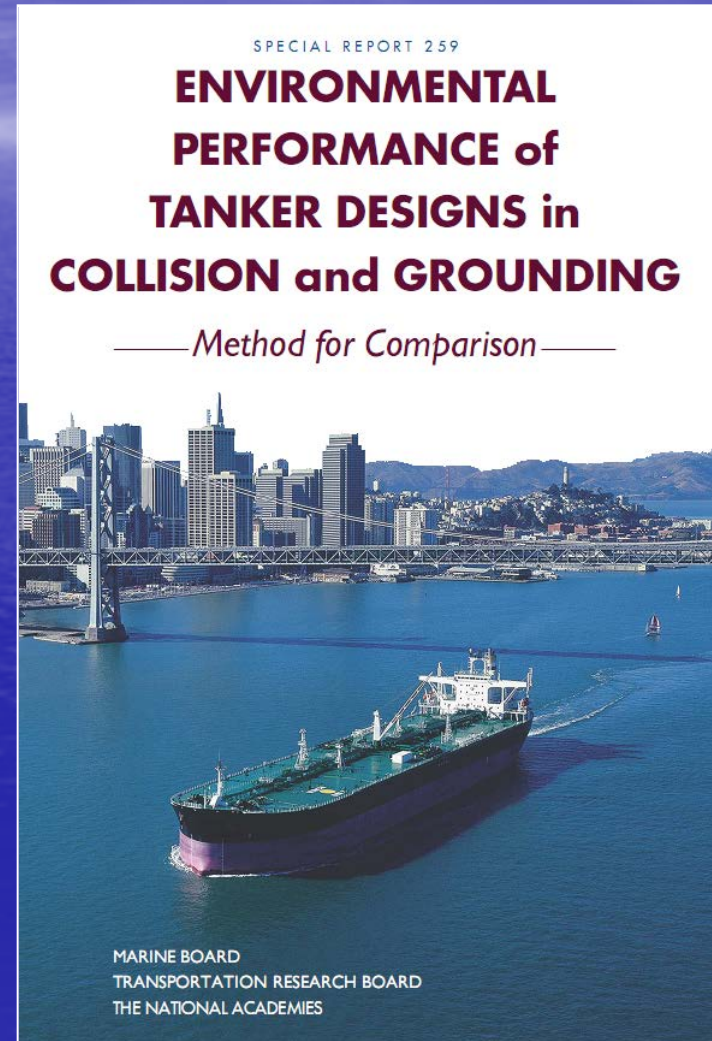
TU Delft
Personnel:
Giel van de Wiel

NATIONAL RESEARCH COUNCIL SPECIAL REPORT 259

“Given the status of previous efforts to establish a methodology for comparing the environmental performance of alternative tanker designs, **the committee concluded that the development of a new approach was warranted.**”

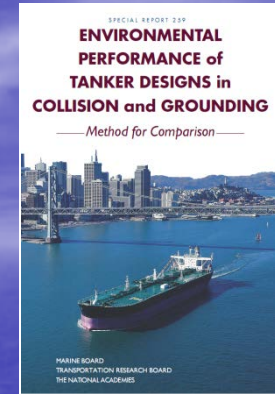
“The committee ran a total of 80,000 accident scenarios: 10,000 collision and 10,000 grounding events for each of two designs (single-hull and double-hull) of the two different sizes (150,000 and 40,000 DWT).”

Quoted from: NRC Special Report 259



NATIONAL RESEARCH COUNCIL SPECIAL REPORT 250

- 10,000 collision +
- 10,000 grounding scenarios
 - Applied to 4 tanker designs:

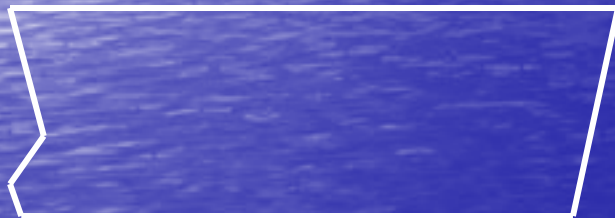


40kT

Single hull



Double hull



150kT



- Use physical damage simulation software SIMCOL and DAMAGE to generate oil outflows (Computationally extensive)

For our Oil Outflow model development computational efficiency is a requirement

A baseline system risk analysis using our maritime risk simulation generated:

157,670 collision and 1,236,603 grounding scenarios

Scope: collision and grounding scenarios

Answers 3 questions:

1. Given accident scenario what is the damage extent?
2. What is the probability of outflow given the damage extent?
3. Which compartments are affected, i.e. what is the total outflow volume?

A SR 259 Collision Scenario

Step 1
Damage
calculation

struck ship
-velocity
-displacement
-hull type

collision
-location
-angle

striking ship
-velocity
-displacement
-bow angle

**Perpendicular
Kinetic Energy**

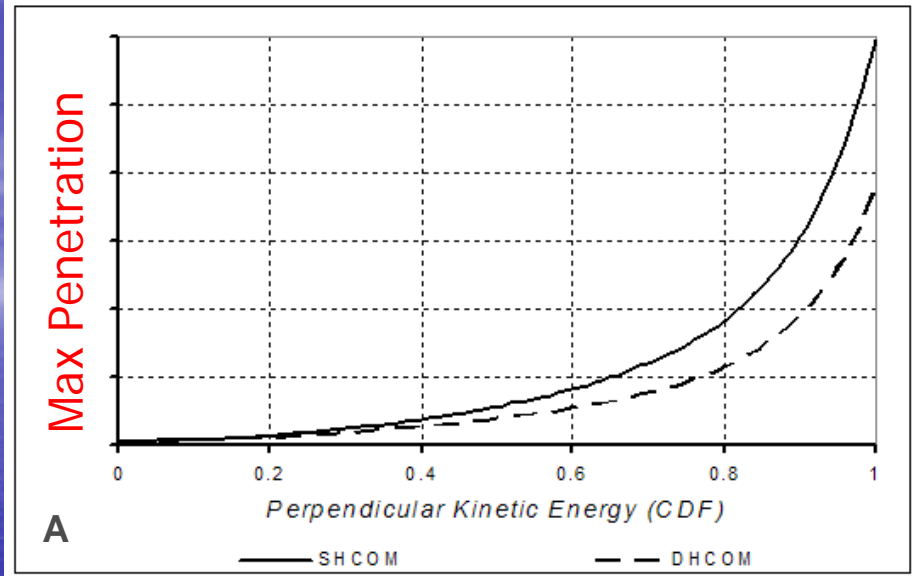
**Tangential
Kinetic Energy**

Step 1
Damage
calculation

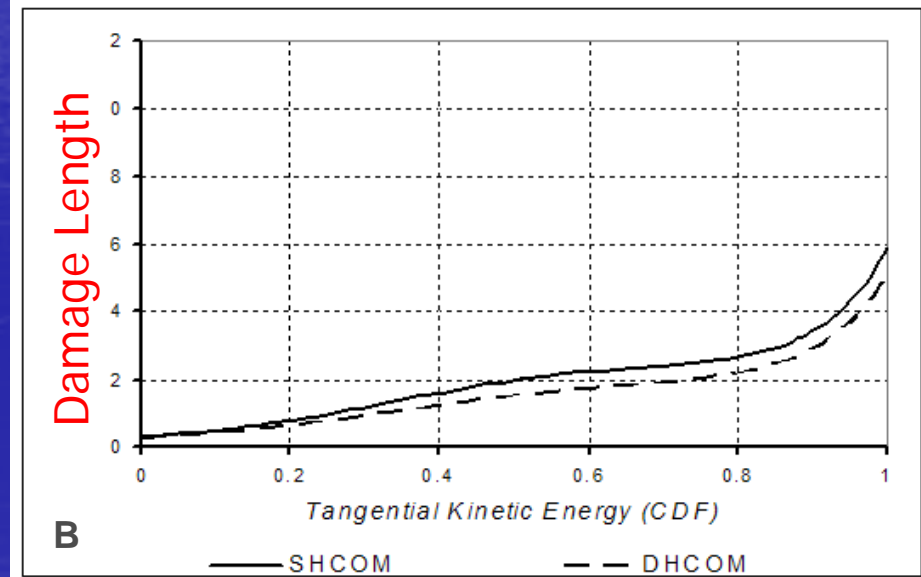
R^2 approx 70%

	SH40	SH150	SHCOM	DH40	DH150	DHCOM
number of data points	7467	7473	14940	7454	7466	14920
R^2 -value	70.9%	68.1%	68.9%	71.5%	69.9%	70.6%
Mallows C_p -value	19.0	19.8	13.1	14.2	24.0	16.0
Coefficients						
β_0	-2.914	-2.661	-2.982	-2.931	-2.786	-2.632
$\beta_{1,1}$	3.078	-1.215	2.246	2.128	2.047	-0.117
$\beta_{2,1}$	5.550	5.303	5.231	6.180	4.692	4.670
$\beta_{3,1}$	0.031	-2.493	-3.369	0.708	-3.224	-1.973
$\beta_{4,1}$	0.546	1.613	1.188	0.655	1.429	1.155
$\beta_{5,1}$	-	-	0.223	-	-	0.052
$\beta_{1,2}$	-	10.181	0.687	0.598	-	5.792
$\beta_{2,2}$	-	-	-	-5.563	-	-
$\beta_{3,2}$	-	20.261	25.010	-	24.187	16.819
$\beta_{4,2}$	-	-0.931	-0.560	-	-0.784	-0.566
$\beta_{5,2}$	-	-	-	-	-	-
$\beta_{1,3}$	-	-8.145	-	-	-	-
$\beta_{2,3}$	-11.982	-6.405	-6.750	-	-5.410	-5.756
$\beta_{3,3}$	-	-68.750	-75.742	-13.309	-69.908	-53.668
$\beta_{4,3}$	-	-	-	-0.158	-	-
$\beta_{5,3}$	-	-	-	-	-	-
$\beta_{1,4}$	-2.924	-	-	-	-	-10.900
$\beta_{2,4}$	9.403	-	-	-	-	-
$\beta_{3,4}$	-	94.811	96.400	27.442	85.081	69.372
$\beta_{4,4}$	-	-	-	-	-	-
$\beta_{5,4}$	-	-	-	-	-	-
$\beta_{1,5}$	2.823	2.008	-	-	0.542	7.798
$\beta_{2,5}$	-	4.134	4.529	2.291	3.724	4.031
$\beta_{3,5}$	-0.480	-44.783	-43.224	-15.354	-36.872	-31.216
$\beta_{4,5}$	-	-	-	-	-	-
$\beta_{5,5}$	-	-	-	-	-	-

Perpendicular Kinetic Energy vs. Maximum Penetration



Tangential Kinetic Energy vs. Damage Length

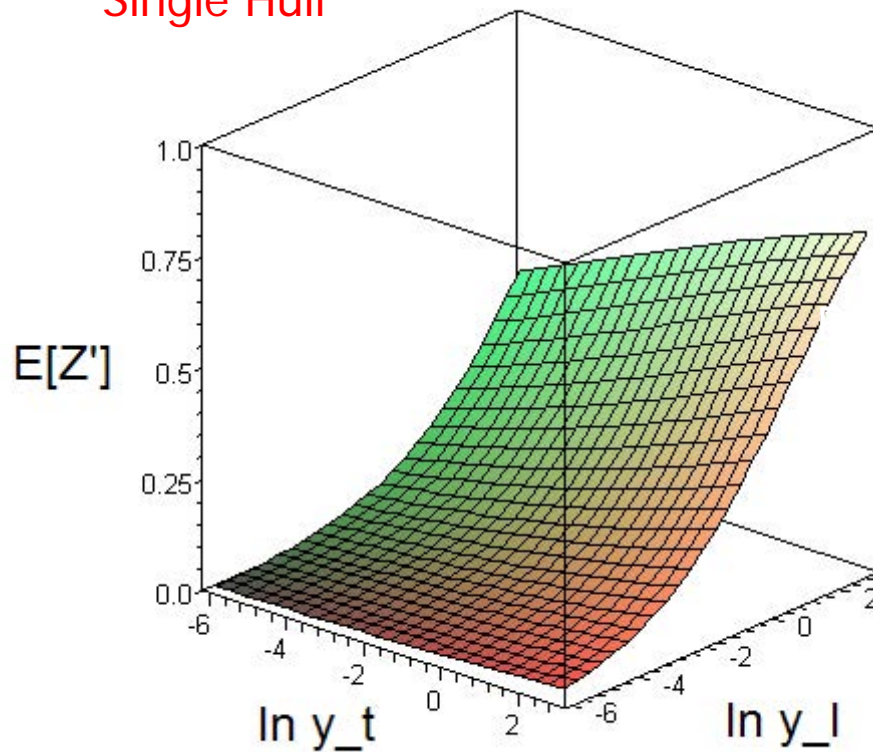


Step 2
Probability
of rupture
calculation

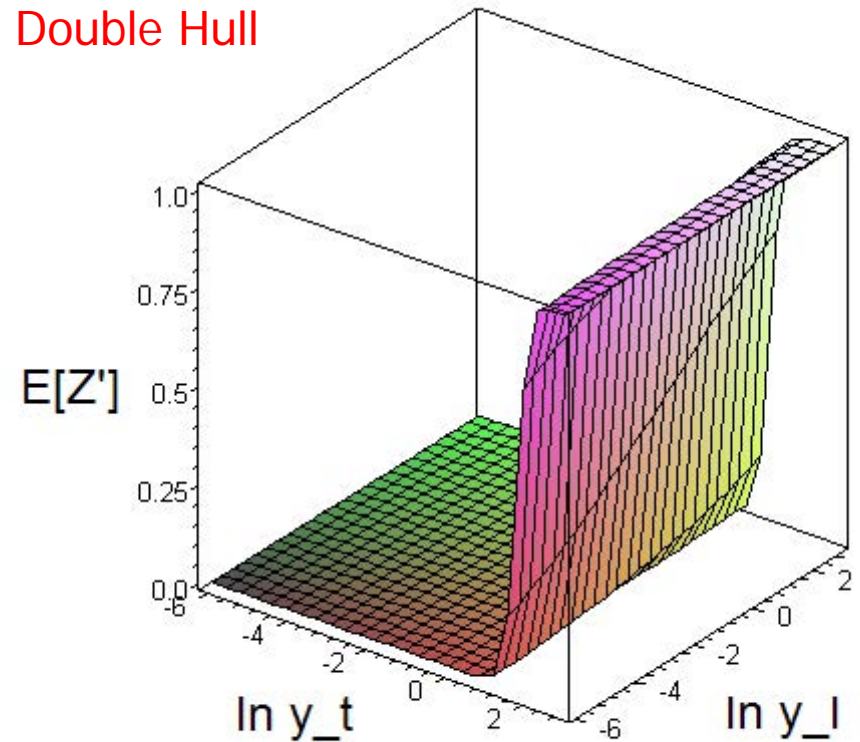
Gradual Function of both
Longitudinal and Transversal Damage

Almost a Step Function
in Transversal Damage only

Single Hull



Double Hull

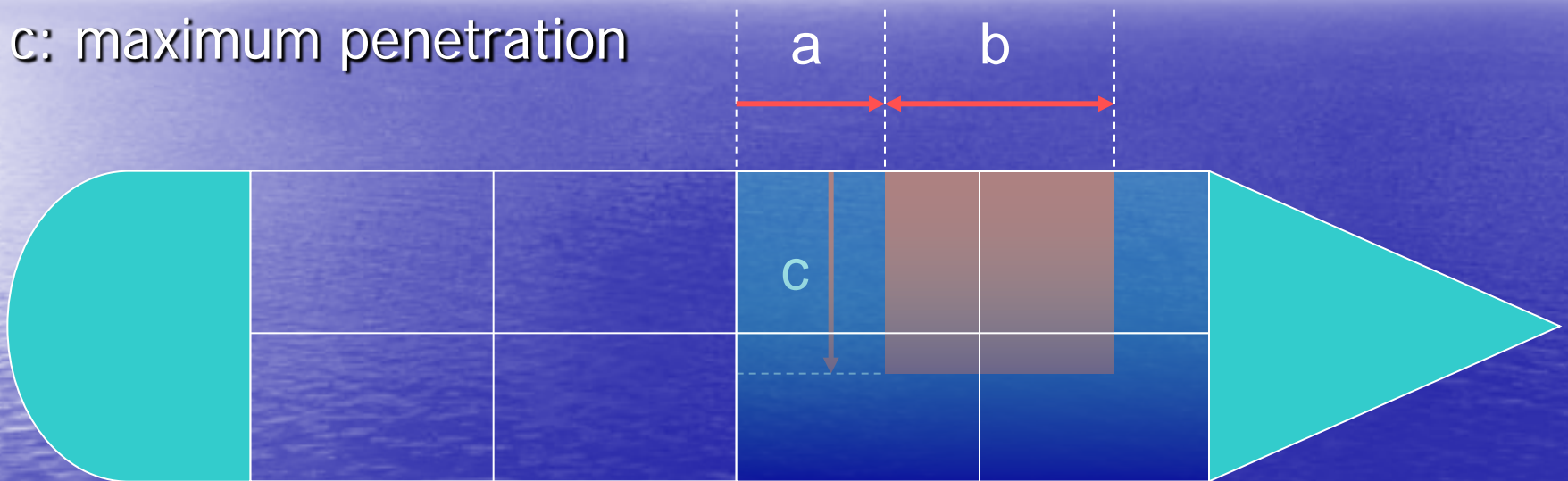


Outflow Volume (Collisions)

a: location from mid ship

b: damage length

c: maximum penetration



Assumption 1:

worst case scenario:

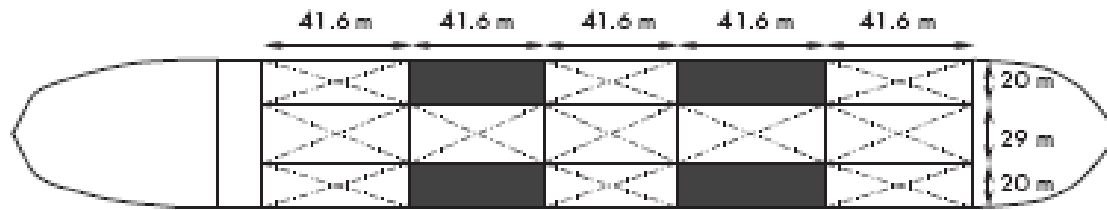
damage area is a square

Assumption 2:

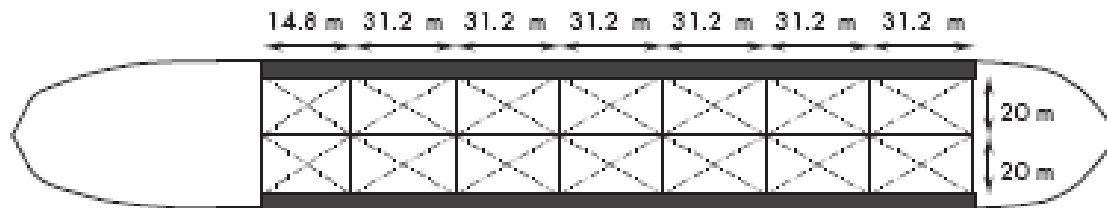
worst case assumption:

all oil from a penetrated compartment is lost

Tanker Configurations 150 kT

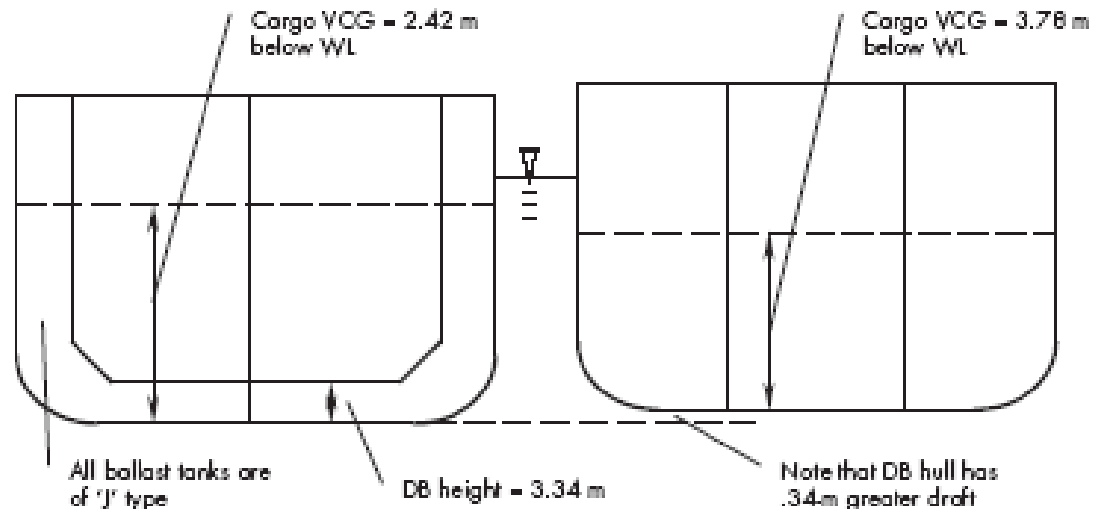


Single Hull



Double Hull

Taken From
NRC 259
Report



Other Aspects of Oil Outflow model

Struck Probability Model

$$Pr(\text{Vessel 2 is struck}) = \frac{T_2}{T_1 + T_2}$$

$$T_2 = \frac{1}{v_2} \left(\frac{w_1}{\sin \phi} + L_2 \right)$$

Time Vessel 2 is Exposed to being hit

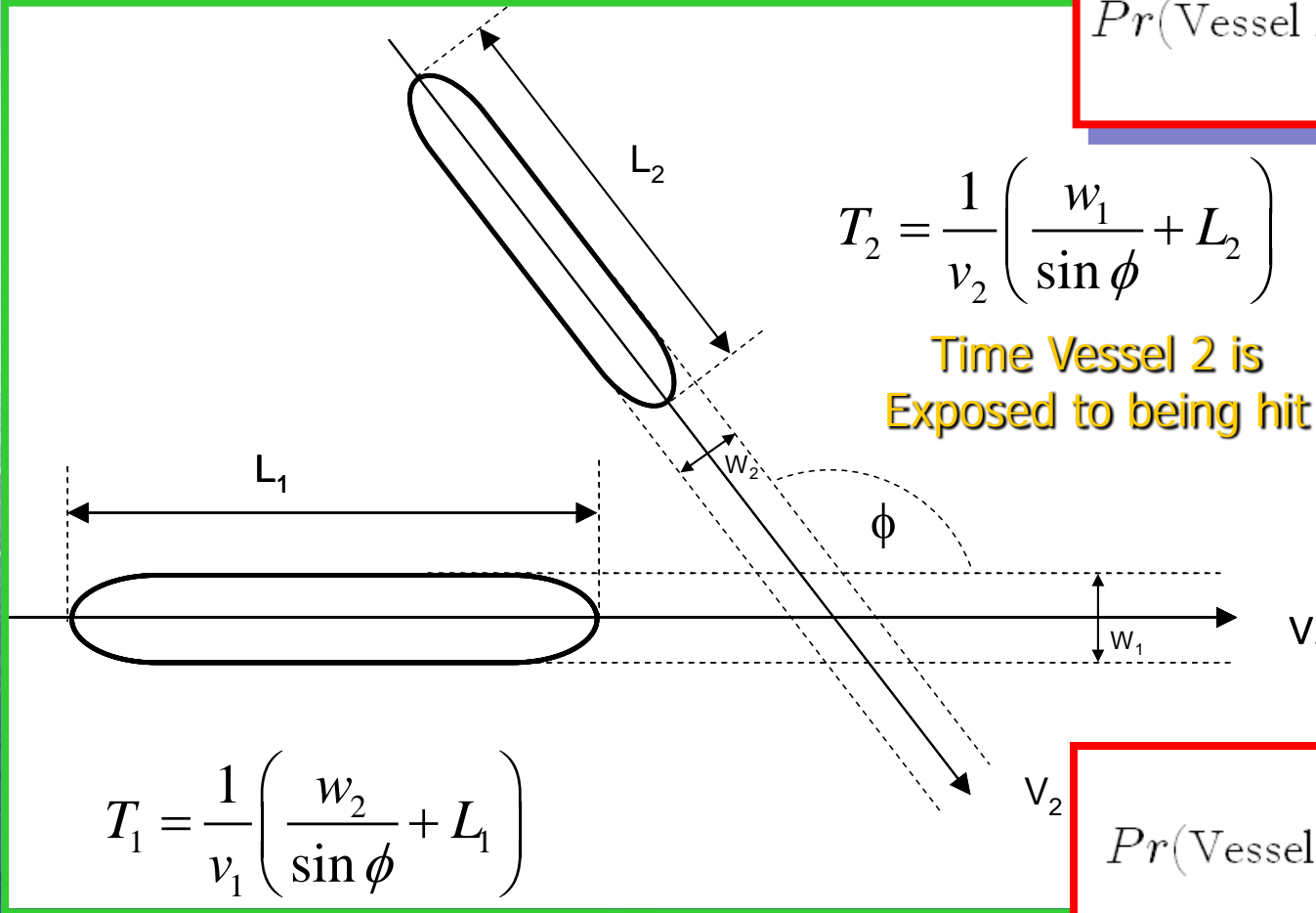
Vessel Size ↑
Struck Prob. ↑

Vessel Speed ↑
Struck Prob. ↓

$$T_1 = \frac{1}{v_1} \left(\frac{w_2}{\sin \phi} + L_1 \right)$$

Time Vessel 1 is Exposed to being hit

$$Pr(\text{Vessel 1 is struck}) = \frac{T_1}{T_1 + T_2}$$

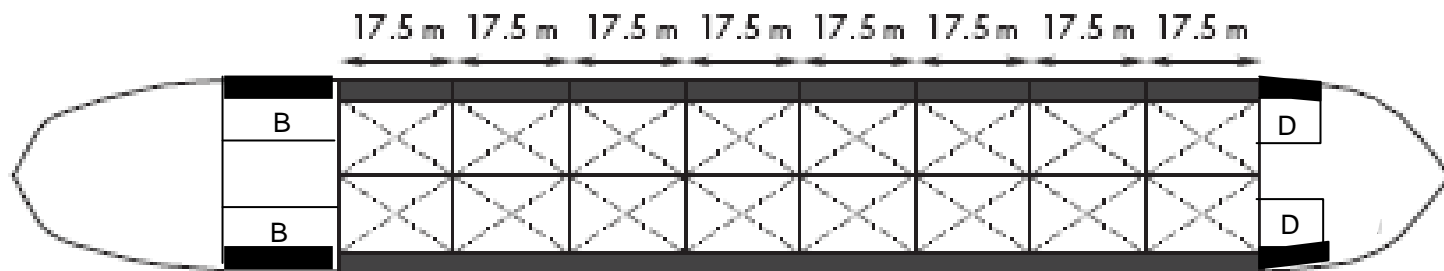
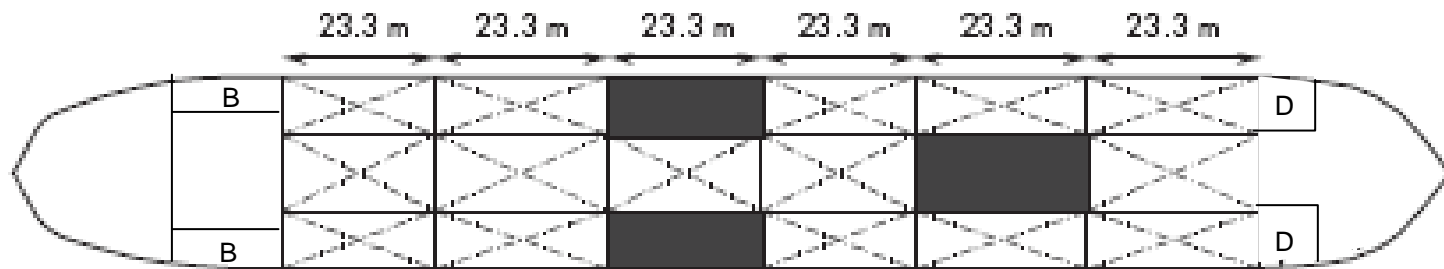


Other Aspects of Oil Outflow model

Fuel Losses of Tankers and other Deep Draft Vessels

Worst Case assumptions for locations of Bunker Fuel and Diesel Fuel

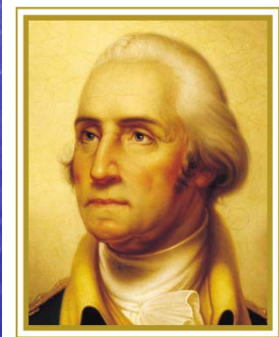
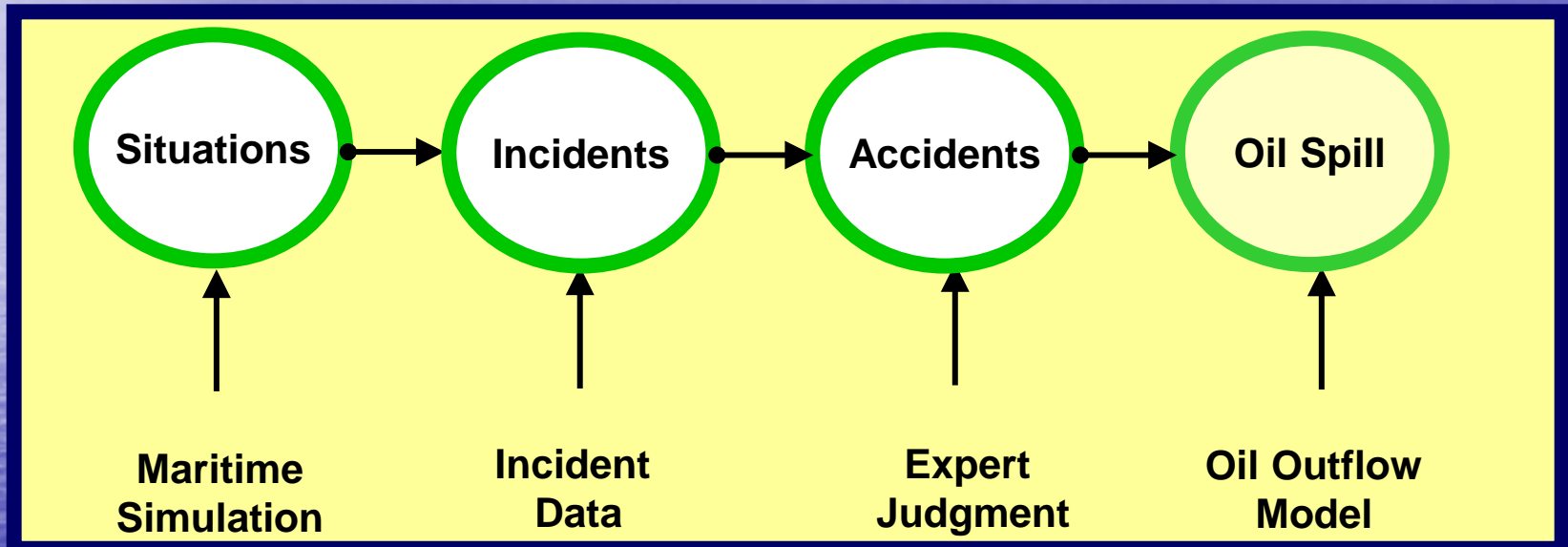
Tanker Configurations 40 kT



Oil Outflow Categories

- **Vessel of Interest - Persistent Oil: VOI - PO**
(Crude and Bunker Fuel) – Tanker, ATB, ITB
- **Vessel of Interest – Non Persistent Oil: VOI - NPO**
(Product and Diesel Fuel) – Tanker, ATB, ITB
- **Interacting Vessel - Persistent Oil: IV - PO**
(Crude and Bunker Fuel) – Colliding Vessels
- **Interacting Vessel – Non Persistent Oil: IV - NPO**
(Product and Diesel Fuel) – Colliding Vessels

Step 4: Integrate Previous 3 Steps



THE GEORGE
WASHINGTON
UNIVERSITY

WASHINGTON DC



Develop Post-Processing Analysis Engine that integrates:

OFI DataBase: OFI.DB - Analysis Database: Analysis.DB

File Analysis View Options Merge Draw Results

Index 1	Index 2	Index 3	Index 4	Index 5	Index 6	Index 7	R	P	D	VESSEL	A	A	No of Occurrences	Aggregated RIP	Average # of Incidents	Average Oil Outflow (m ³)	Int
0	0	0	0	0	0	0	0	0	0	157670	0	0	157670	2.34671172E-6	0.3700060369	9.472514627	
400001058	0	100000000	100000000	0	600000000	0				3			3	5.402873703E-7	1.620862111E-5	4.701843006E-6	
400001059	0	100000000	100000000	0	600000000	0				4			4	2.113950551E-7	8.455802204E-7	6.34897208E-7	
400001060	0	100000000	100000000	0	600000000	0				24			24	2.202417937E-6	5.285603048E-5	0.002390410679	
400001063	0	1											36	1.701192534E-6	5.24293121E-5	0.003121973141	
400001064	0	1											62	6.756151072E-7	4.188813664E-5	0.001303835902	
400001065	0	1											4	5.544696775E-7	2.21787871E-6	7.248902908E-7	
400001070	0	1											3	5.308315206E-7	1.592494601E-6	6.043805991E-6	
400001073	0	100000000	100000000	0	600000000	0				7			7	7.462016114E-7	5.22726128E-6	3.341407365E-5	
400001074	0	100000000	100000000	0	600000000	0				1			1	2.444900238E-7	2.444900238E-7	1.301513055E-6	
400002058	0	100000000	100000000	0	600000000	0				2			2	5.402873703E-7	1.080574741E-6	3.134562004E-6	
400002059	0	100000000	100000000	0	600000000	0				4			4	3.007342854E-7	1.202937142E-6	9.804526948E-7	
400002060	0	100000000	100000000	0	600000000	0				25			25	1.916779775E-6	4.791949436E-5	0.003024196605	
400002063	0	100000000	100000000	0	600000000	0				39			39	1.343336994E-6	5.239014275E-5	0.005057134013	
400002064	0	100000000	100000000	0	600000000	0				75			75	6.361220956E-7	4.770915717E-5	0.001711100795	
400002065	0	100000000	100000000	0	600000000	0				7			7	4.591586845E-7	3.214110791E-6	4.820668603E-6	
400002069	0	100000000	100000000	0	600000000	0				2			2	8.818171153E-7	1.763634231E-6	6.693311944E-6	
400002070	0	100000000	100000000	0	600000000	0				1			1	8.818171153E-7	8.818171153E-7	3.346655972E-6	
400002071	0	100000000	100000000	0	600000000	0				2			2	1.21912207E-6	2.423824414E-6	1.592716626E-5	
400002072	0	100000000	100000000	0	600000000	0				9			9	9.22036915E-7	8.308232235E-6	9.539463159E-5	
400003059	0	100000000	100000000	0	600000000	0				19			19	1.012730501E-6	3.066103323E-5	0.0009324609997	
400003060	0	100000000	100000000	0	600000000	0				13			13	1.508306200E-6	1.960798139E-5	0.001096330457	
400003063	0	100000000	100000000	0	600000000	0				1			1				
400003064	0	100000000	100000000	0	600000000	0				12			12				
400003065	0	100000000	100000000	0	600000000	0				0			0				
400003069	0	100000000	100000000	0	600000000	0				0			0				
400003070	0	100000000	100000000	0	600000000	0				0			0				
400003071	0	100000000	100000000	0	600000000	0				0			0				

OFI Database: C:\2 - PAP - CASES Outflow\D - YES - ONEWAY\Vessel\WTRADFIDB - VESSEL

Analysis Database: C:\2 - PAP - CASES Outflow\D - YES - ONEWAY\Vessel\WESSEL_ANALYSIS.DB

0%

Accident Scenario Counts

Expert Judgment + Incident/Accident Data

Oil Outflow Analysis

$$R = \{ \langle s_i, l_i, x_i \rangle \}_c$$

Kaplan's (1997) System Risk Definition

Description of Case Study

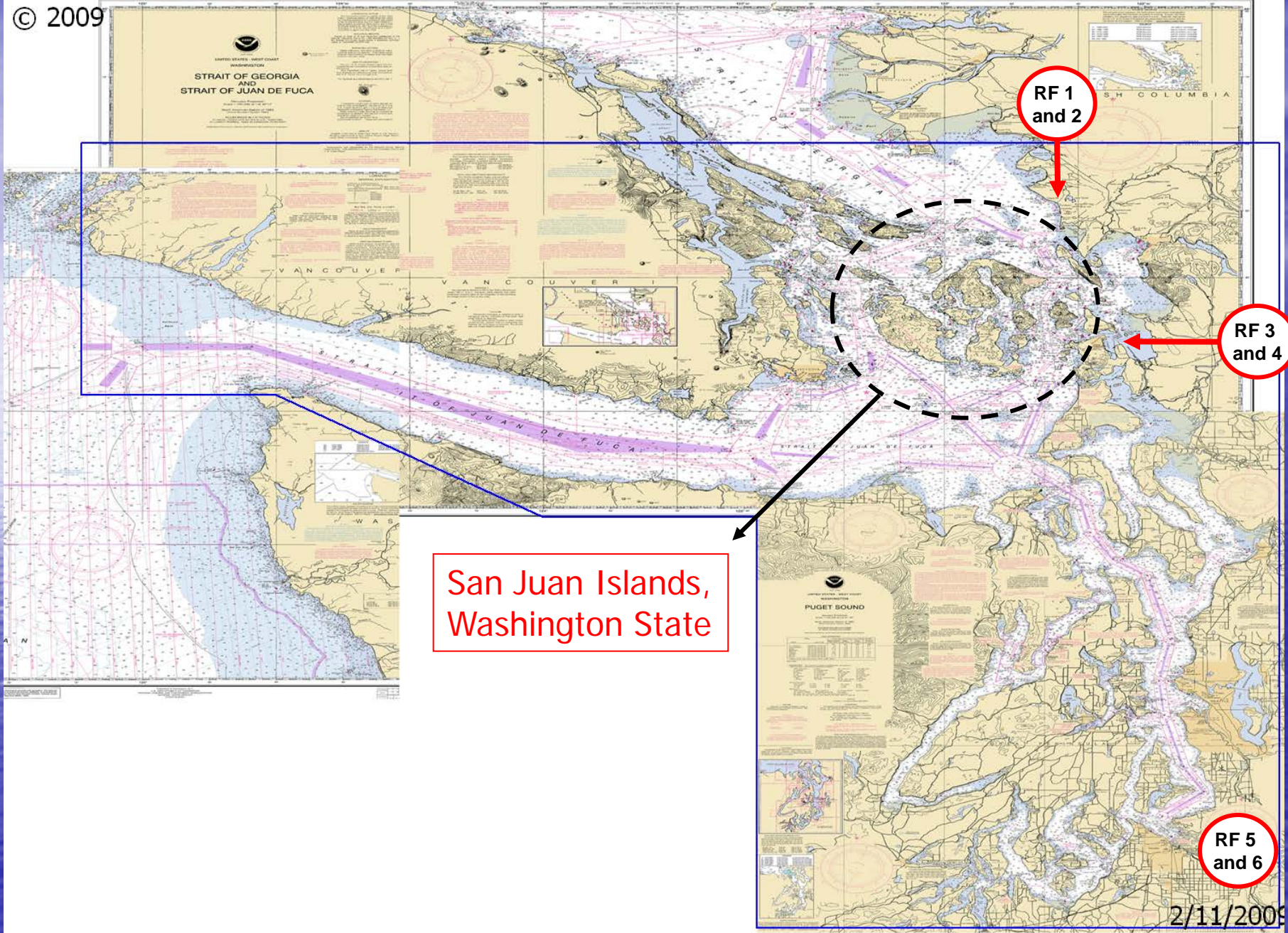
- The analysis results herein evaluate the effectiveness of the three risk intervention measures on the previous slide by considering four scenarios of an MTS simulation of the geographic area within the bleu border on the next slide.
- The vessels of interest (VOI's) are tankers, articulated tug barges and integrated tug barges serving six refineries within this geographic area. The approximate locations of these refineries are identified on the next slide. (One of them in the south operates only as a petroleum tank farm since 1998).
- The four scenarios in question are fictitious scenarios that look back in time, not into the future.

SCENARIO 1: Two-way traffic in Rosario Strait, No Escorting and all VOI's have a single hull.

SCENARIO 2: One-way traffic in Rosario Strait, No Escorting and all VOI's have a single hull.

SCENARIO 3: One-way traffic in Rosario Strait, Escorting Scheme that mimics current regime in study area and all VOI's have a single hull.

SCENARIO 4: One-way traffic in Rosario Strait, Escorting Scheme that mimics current regime in study area and all VOI's have a double hull.

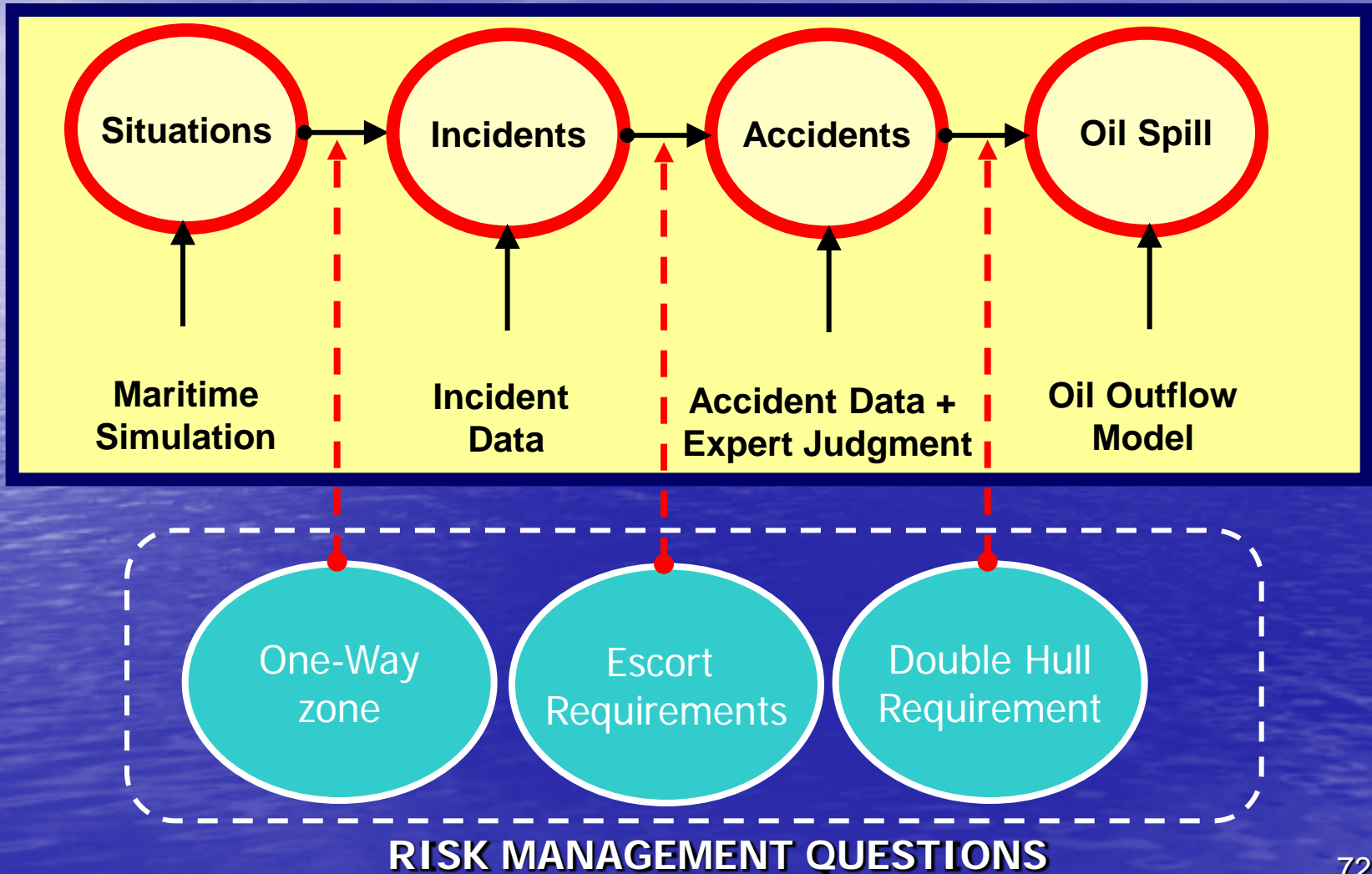


San Juan Islands,
Washington State

RF 5
and 6

2/11/2009

Example Risk Management Effectiveness Analysis

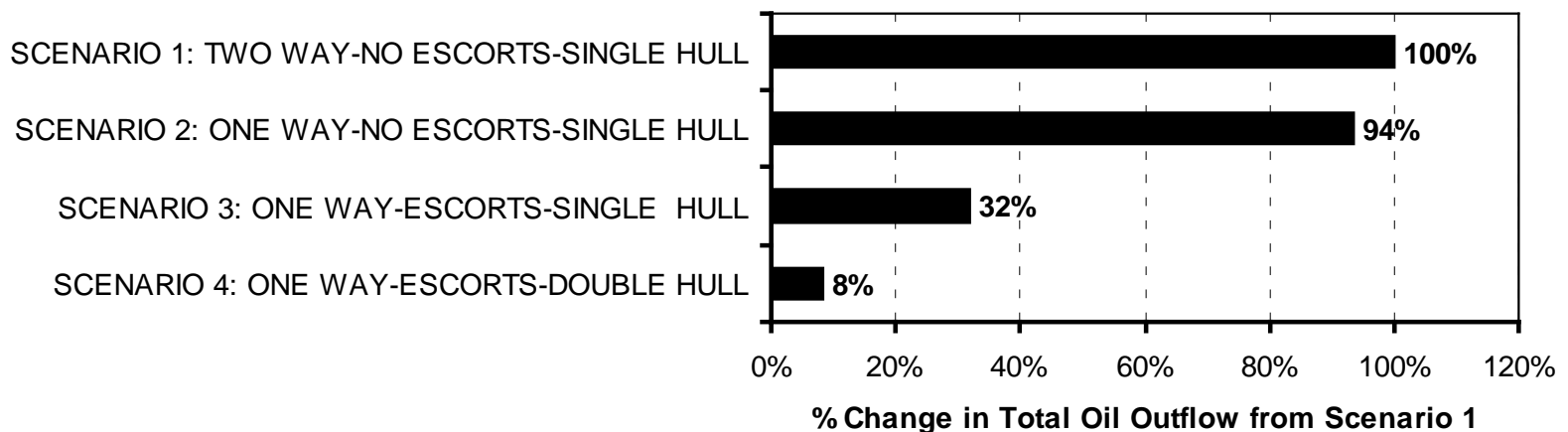


Average Annual Oil Outflow (m ³) by Scenario	Total Outflow
SCENARIO 1: TWO WAY-NO ESCORTS-SINGLE HULL	4300.63
SCENARIO 2: ONE WAY-NO ESCORTS-SINGLE HULL	4027.72
SCENARIO 3: ONE WAY-ESCORTS-SINGLE HULL	1376.81
SCENARIO 4: ONE WAY-ESCORTS-DOUBLE HULL	360.96

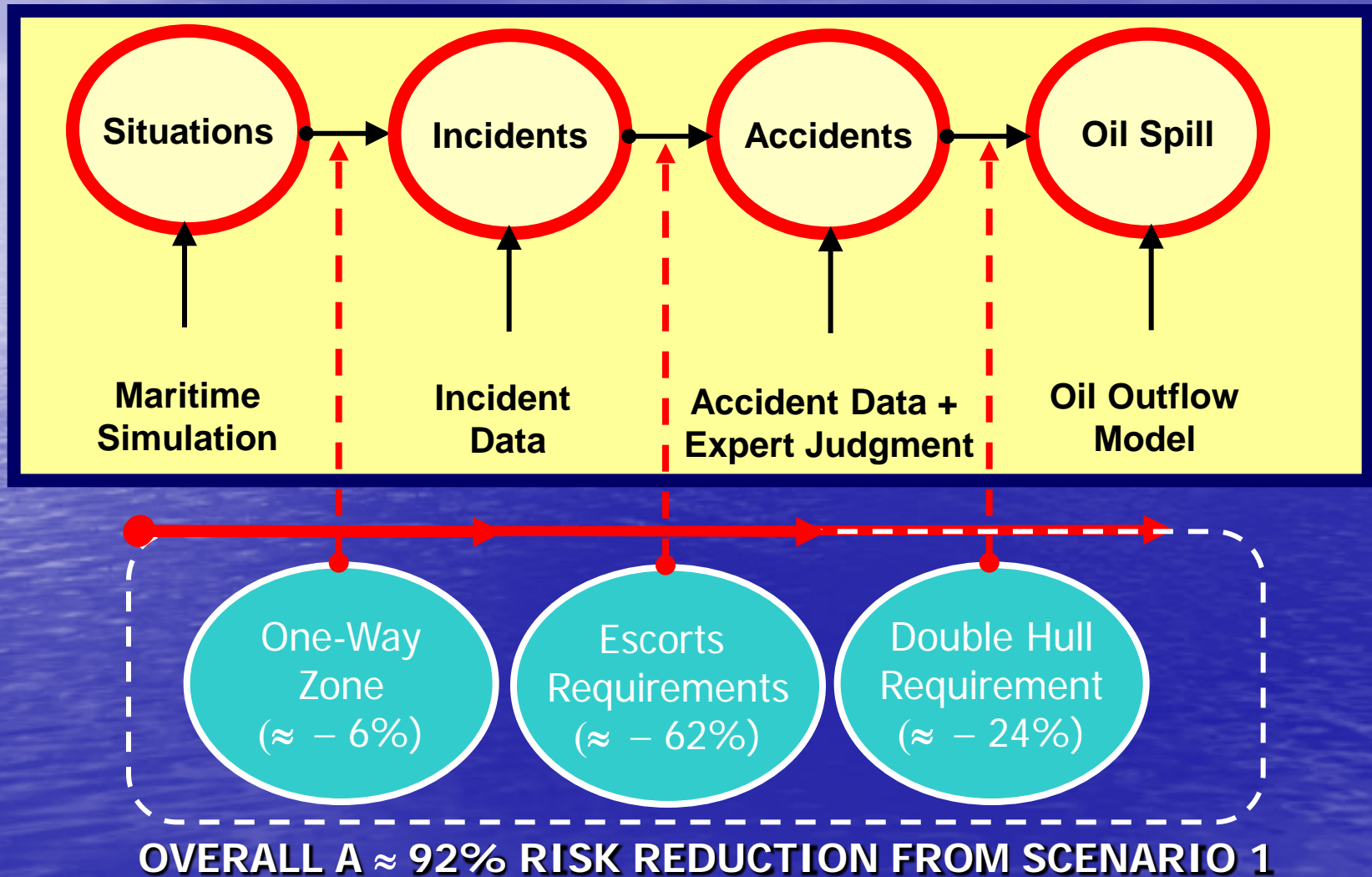
Percentage Change in Oil Outflow (m ³) from Scenario 1	Total Outflow
SCENARIO 1: TWO WAY-NO ESCORTS-SINGLE HULL	100.0% ↓
SCENARIO 2: ONE WAY-NO ESCORTS-SINGLE HULL	93.7% ↓
SCENARIO 3: ONE WAY-ESCORTS-SINGLE HULL	32.0% ↓
SCENARIO 4: ONE WAY-ESCORTS-DOUBLE HULL	8.4% ↓

≈ - 6%
 ≈ - 62%
 ≈ - 24%
 ≈ - 92%

Percentage Change in Oil Outflow (m ³) from Scenario 4	Total Outflow
SCENARIO 1: TWO WAY-NO ESCORTS-SINGLE HULL	1191.4%
SCENARIO 2: ONE WAY-NO ESCORTS-SINGLE HULL	1115.8%
SCENARIO 3: ONE WAY-ESCORTS-SINGLE HULL	381.4%
SCENARIO 4: ONE WAY-ESCORTS-DOUBLE HULL	100.0%



An Oil Spill is a series of cascading events referred to as a Causal Chain



ONE WAY-ESCORTS-DOUBLE HULL

100% of Base Case Total

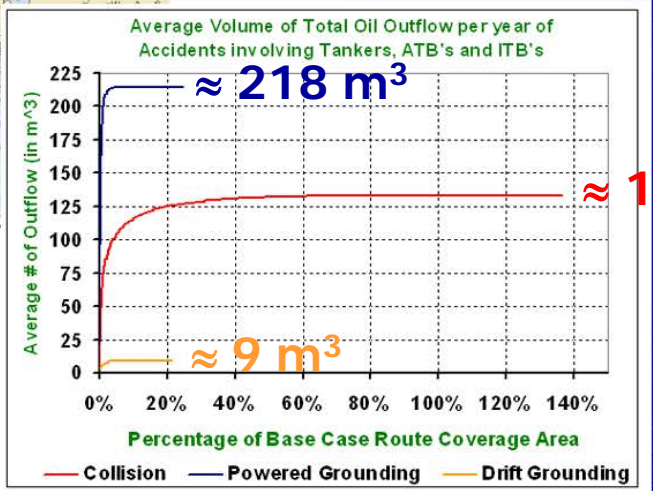
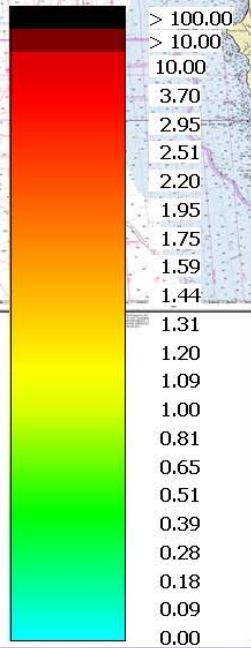
BASE = SCENARIO 4

RF 1 and 2

RF 3 and 4

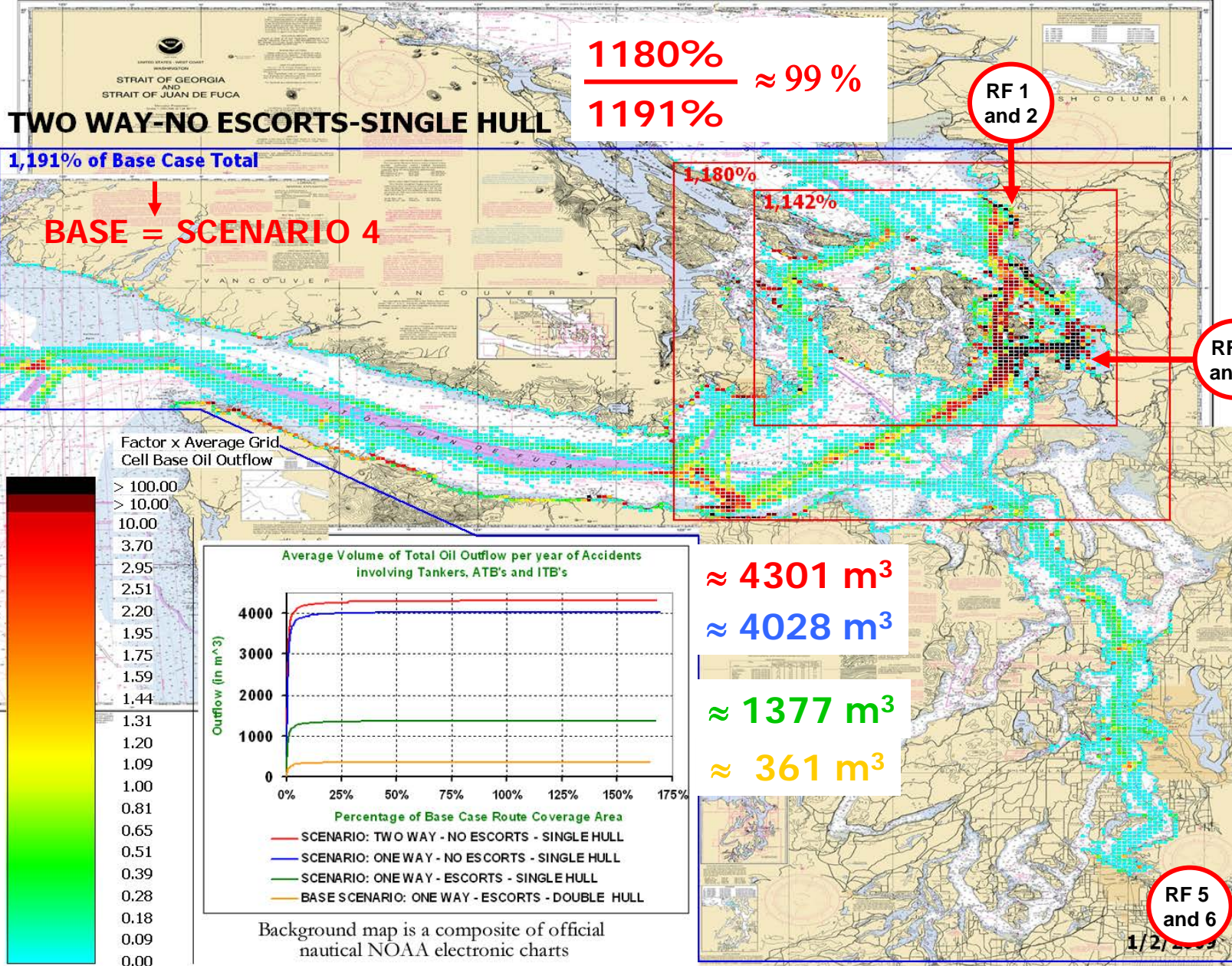
RF 5 and 6

Factor x Average Grid Cell Base Oil Outflow



Background map is a composite of official nautical NOAA electronic charts

OIL OUTFLOW GEOGRAPHIC PROFILE **SCENARIO 4** ALL THREE RISK INTERVENTION IN PLACE



OIL OUTFLOW GEOGRAPHIC PROFILE SCENARIO 1
WITHOUT THREE RISK INTERVENTIONS IN PLACE 76

Observations

- In Scenario 1 (without the three risk interventions) 99% of average oil outflow resided in the larger red rectangle of the geographic profile.
- The three risk interventions remove about 92% of total average oil outflow from Scenario 1.
- Of the remaining 8% from Scenario 1 in Scenario 4, still 95% resides in larger red rectangle.
- Hence, the larger red rectangle in Scenario 4 seems to be the natural targeted area for further risk reduction after implementation of these three risk interventions.
- However, because two of the three risk interventions specifically target this area (one-way and escorting) and the third one (double-hull) also addresses this area (double-hull) it will be progressively more difficult to further reduce risk within that area.

Special Thanks To:

- **US Coast Guard Sector Seattle** for being responsive to our countless data request during the enhancement and improvement of our MTS risk simulation methodology and recommending us to the Puget Sound Harbor Safety Committee.
- **Puget Sound Harbor Safety Committee** who served as a host for bimonthly meetings and provide us access to Seattle Maritime Community.
- **The Seattle Maritime Community** as a whole who unselfishly met with us and provided access to experts both for ship rides but also for their participation in many expert judgment elicitation sessions during which these experts **donated their time for the safety improvement in their Maritime Domain.**

THANK YOU!!!!

- Without their help, efficient and timely response to our repeated questions and data requests we would not have been able to further enhance and improve our MTS Risk Simulation Methodology.



A Complete Traffic Density Profile

100%

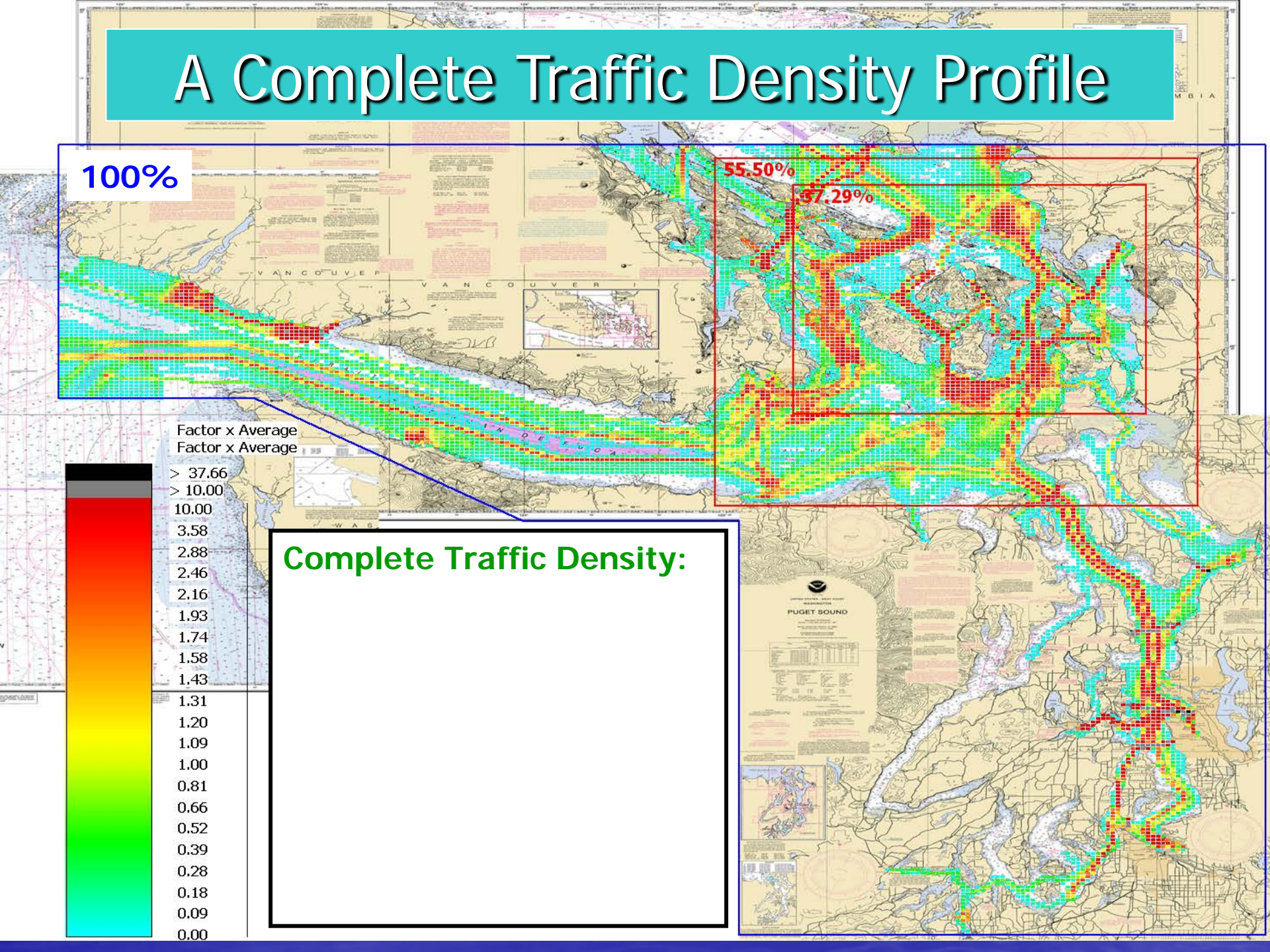
55.50%

57.29%

Factor x Average
Factor x Average

> 37.66
> 10.00
10.00
3.58
2.88
2.46
2.16
1.93
1.74
1.58
1.43
1.31
1.20
1.09
1.00
0.81
0.66
0.52
0.39
0.28
0.18
0.09
0.00

Complete Traffic Density:



Compared to All Traffic

61.64%

38.93%

28.62%

Factor x Average
Factor x Average

> 37.66

> 10.00

10.00

3.58

2.88

2.46

2.16

1.93

1.74

1.58

1.43

1.31

1.20

1.09

1.00

0.81

0.66

0.52

0.39

0.28

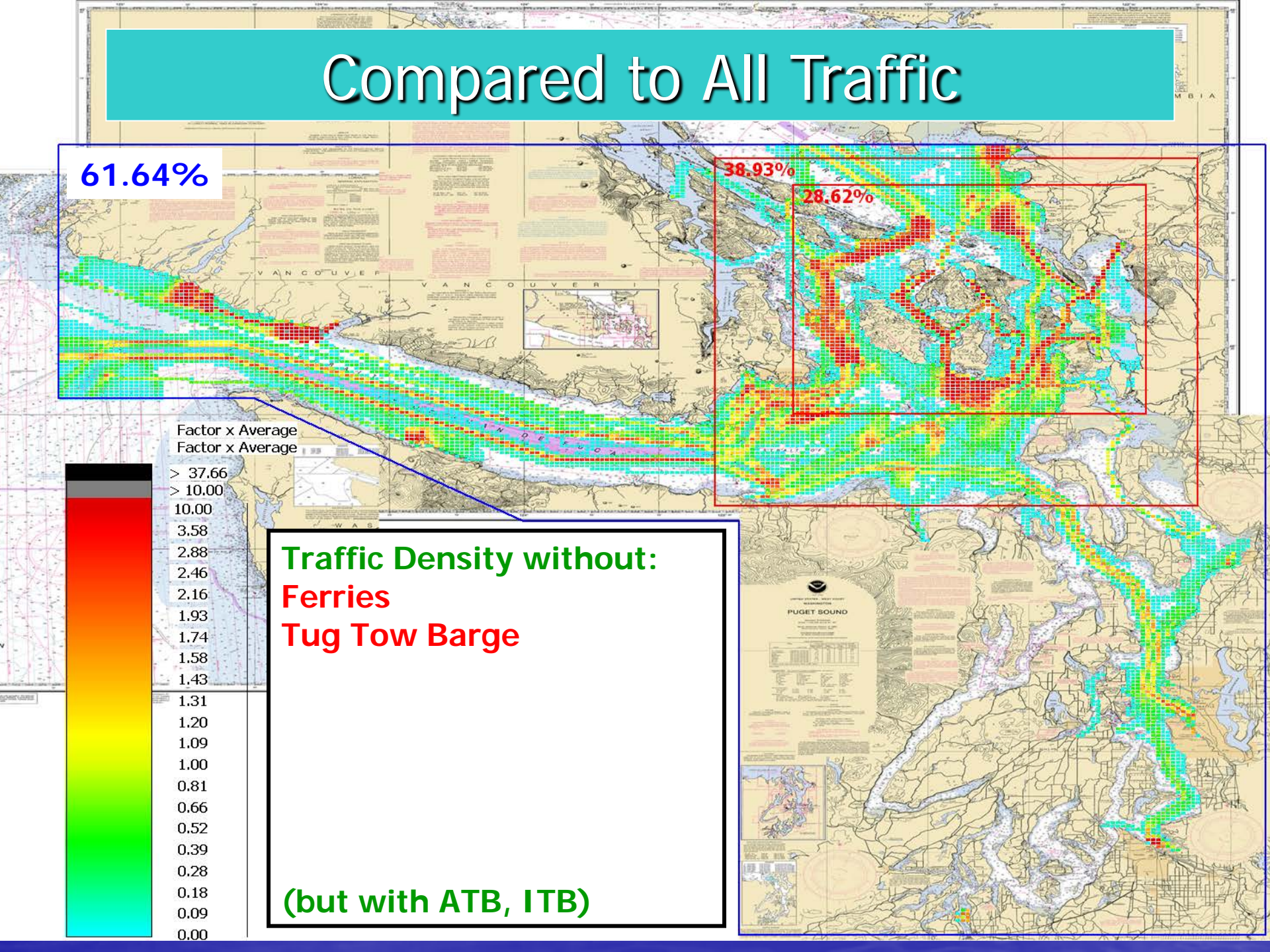
0.18

0.09

0.00

Traffic Density without:
Ferries
Tug Tow Barge

(but with ATB, ITB)



Compared to All Traffic

22.63%

11.54%

5.61%

Factor x Average
Factor x Average

> 37.66
> 10.00
10.00
3.58
2.88
2.46
2.16
1.93
1.74
1.58
1.43
1.31
1.20
1.09
1.00
0.81
0.66
0.52
0.39
0.28
0.18
0.09
0.00

Traffic Density without:

Ferries

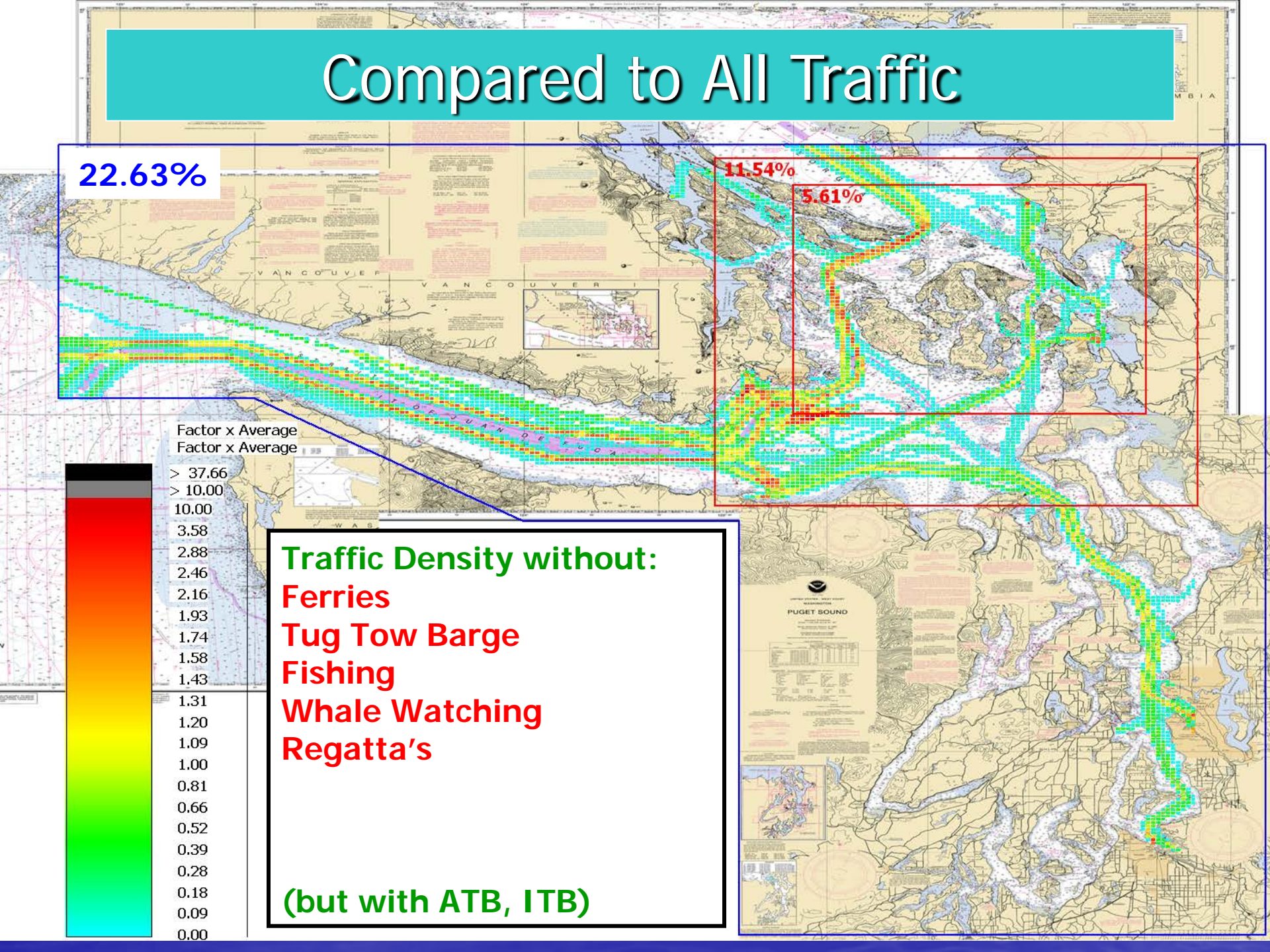
Tug Tow Barge

Fishing

Whale Watching

Regatta's

(but with ATB, ITB)



Compared to All Traffic

17.61%

8.44%

4.57%

Factor x Average
Factor x Average

> 37.66

> 10.00

10.00

3.58

2.88

2.46

2.16

1.93

1.74

1.58

1.43

1.31

1.20

1.09

1.00

0.81

0.66

0.52

0.39

0.28

0.18

0.09

0.00

Traffic Density without:

Ferries

Tug Tow Barge

Fishing

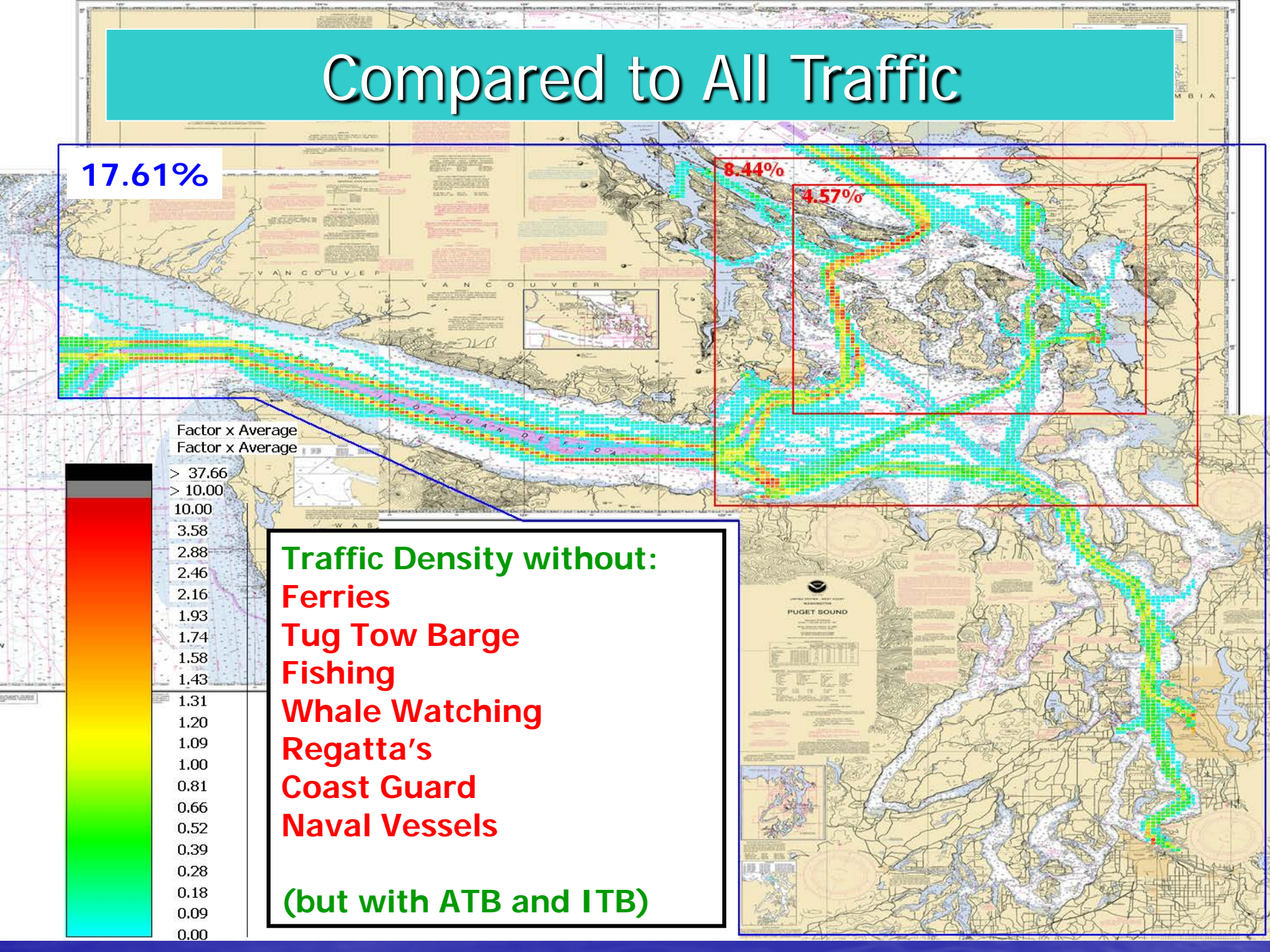
Whale Watching

Regatta's

Coast Guard

Naval Vessels

(but with ATB and ITB)



Compared to All Traffic

15.84%

7.74%

4.26%

Factor x Average
Factor x Average

> 37.66
> 10.00

10.00

3.58

2.88

2.46

2.16

1.93

1.74

1.58

1.43

1.31

1.20

1.09

1.00

0.81

0.66

0.52

0.39

0.28

0.18

0.09

0.00

Traffic Density without:

Ferries

Tug Tow Barge

Fishing

Whale Watching

Regatta's

Coast Guard

Naval Vessels

Supply Vessels

(but with ATB and ITB)

Compared to All Traffic

3.42%

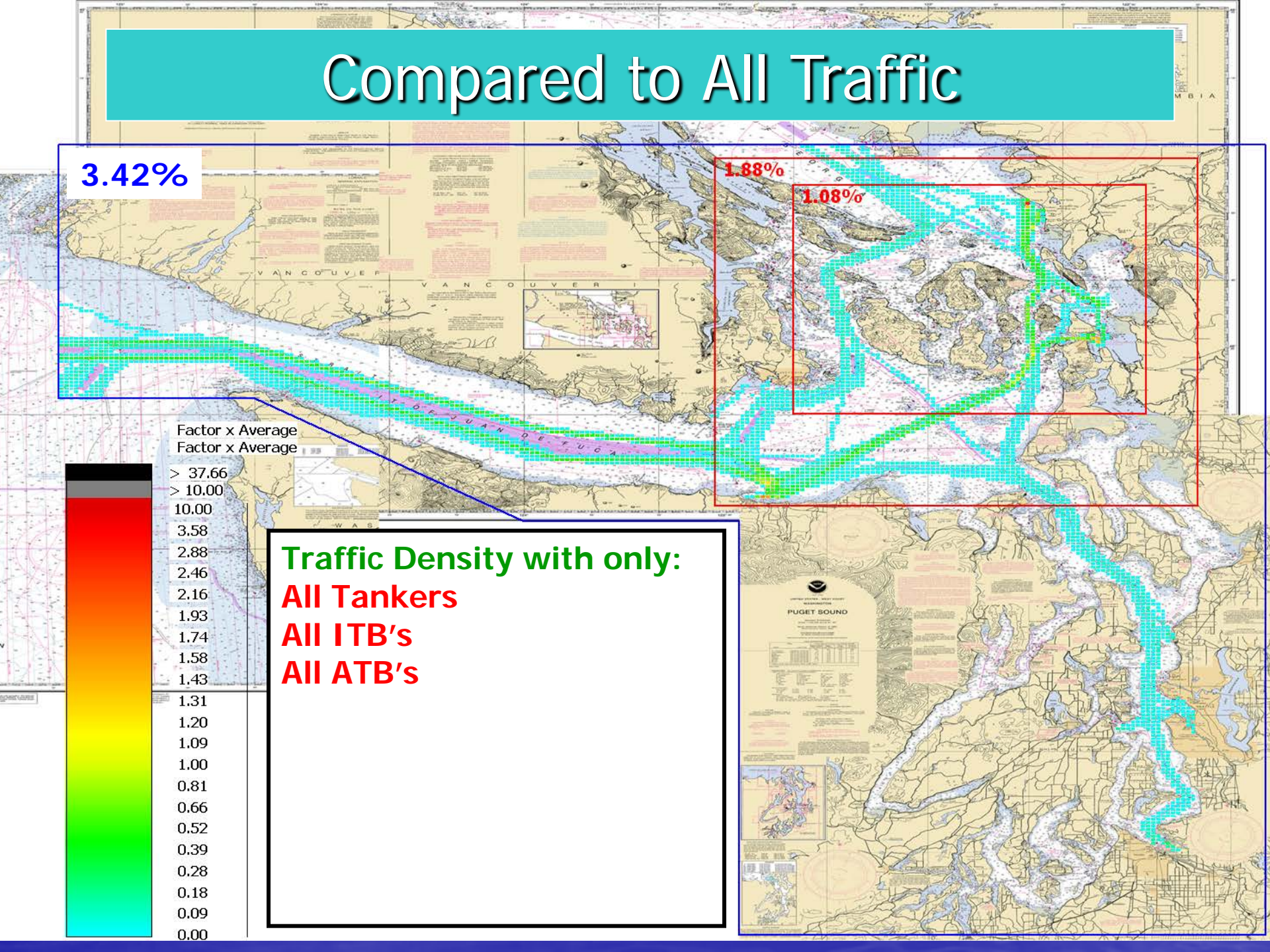
1.88%

1.08%

Factor x Average
Factor x Average

- > 37.66
- > 10.00
- 10.00
- 3.58
- 2.88
- 2.46
- 2.16
- 1.93
- 1.74
- 1.58
- 1.43
- 1.31
- 1.20
- 1.09
- 1.00
- 0.81
- 0.66
- 0.52
- 0.39
- 0.28
- 0.18
- 0.09
- 0.00

Traffic Density with only:
All Tankers
All ITB's
All ATB's



QUESTIONS?

